

# THE USE OF BIOPOLYMERS FOR TISSUE ENGINEERING

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To Mom, Dad, and Leilany

This would not be possible if it were not for you

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## ABSTRACT

Vázquez Portalatín, Nelda M. Ph.D., Purdue University, December 2019. The Use of Biopolymers for Tissue Engineering. Major Professors: Alyssa Panitch, Julie C. Liu.

Osteoarthritis (OA) is a degenerative joint disease characterized by cartilage damage and loss in the joints that affects approximately 27 million adults in the US. Tissue that is damaged by OA is a major health concern since cartilage tissue has a limited ability to self-repair due to the lack of vasculature in cartilage and low cell content. Tissue engineering efforts aim towards the development of cartilage repair strategies that mimic articular cartilage and are able to halt the progression of the disease as well as restore cartilage to its normal function.

This study harnesses the biological activity of collagen type II, present in articular cartilage, and the superior mechanical properties of collagen type I by characterizing gels made of collagen type I and II blends (1:0, 3:1, 1:1, 1:3, and 0:1). The collagen blend hydrogels were able to incorporate both types of collagen and retain chondroitin sulfate (CS) and hyaluronic acid (HA). Cryoscanning electron microscopy images showed that the 3:1 ratio of collagen type I to type II gels had a lower void space percentage (36.4%) than the 1:1 gels (46.5%) and the complex modulus was larger for the 3:1 gels ( $G^*=5.0$  Pa) compared to the 1:1 gels ( $G^*=1.2$  Pa). The 3:1 blend consistently formed gels with superior mechanical properties compared to the other blends and has the potential to be implemented as a scaffold for articular cartilage engineering.

Following the work done to characterize the collagen scaffolds, we studied whether an aggrecan mimic, CS-GAH<sub>6</sub>, composed of CS and HA binding peptides, GAH, and not its separate components, is able to prevent glycosaminoglycan (GAG) and

collagen release when incorporated into chondrocyte-embedded collagen gels. Bovine chondrocytes were cultured and embedded in collagen type I scaffolds with CS, GAH, CS and GAH, or CS-GAH<sub>b</sub> molecules. Gels composed of 3:1 collagen type I and II with CS or CS-GAH<sub>b</sub> were also studied. The results obtained showed CS-GAH<sub>b</sub> is able to decrease GAG and collagen release and increase GAG retention in the gels. CS-GAH<sub>b</sub> also stimulated cytokine production during the initial days of scaffold culture. However, the addition of CS-GAH<sub>b</sub> into the chondrocyte embedded collagen scaffolds did not affect ECM protein expression in the gels. The incorporation of collagen type II into the collagen type I scaffolds did not significantly affect GAG and cytokine production and ECM protein synthesis, but did increase collagen release. The results suggest the complex interaction between CS-GAH<sub>b</sub>, the chondrocytes, and the gel matrix make these scaffolds promising constructs for articular cartilage repair.

Finally, we used Dunkin Hartley guinea pigs, a commonly used animal model of osteoarthritis, to determine if high frequency ultrasound can ensure intra-articular injections of the aggrecan mimic are accurately positioned in the knee joint. A high-resolution small animal ultrasound system with a 40 MHz transducer was used for image-guided injections. We assessed our ability to visualize important anatomical landmarks, the needle, and anatomical changes due to the injection. From the ultrasound images, we were able to visualize clearly the movement of anatomical landmarks in 75% of the injections. The majority of these showed separation of the fat pad (67.1%), suggesting the injections were correctly delivered in the joint space. The results demonstrate this image-guided technique can be used to visualize the location of an intra-articular injection in the joints of guinea pigs and we are able to effectively inject the aggrecan mimic into knee joints.

All of the work presented here suggests that the addition of the aggrecan mimic to collagen I and collagen I and II scaffolds has shown that this type of construct could be useful for treating cartilage damage in the future.

# 1. INTRODUCTION

## 1.1 Background and Significance

Osteoarthritis (OA) is a degenerative joint disease characterized by cartilage damage and loss in the joints. Approximately 27 million adults in the US suffer from this disease [1], with knee OA being one of the top 5 causes of disability among adults [2]. OA patients exhibit pain, swelling and stiffness, all of which may limit the movement in the affected joints. The prevalence of this disease has resulted in a total annual cost of \$89.1 billion in the US [2], most of which is spent on OA treatments. Tissue that is damaged by OA is a major health concern since cartilage tissue has a limited ability to self-repair due to the lack of vasculature in cartilage and low cell content [3]. Tissue engineering efforts aim towards the development of cartilage repair strategies that mimic articular cartilage and are able to halt the progression of the disease as well as restore the damaged cartilage.

## 1.2 Articular Cartilage

Articular cartilage is the connective tissue that forms the smooth, gliding surface of the diarthrodial joints. It is composed of a chondrocyte secreted extracellular matrix (ECM) that lacks blood vessels, nerves, and lymphatic vessels [4]. This ECM is mainly composed of collagen type II, glycosaminoglycans (GAGs), proteoglycans, and water (Figure 1.1). The ECM also contains proteins and other types of collagen in reduced amounts. The chondrocytes in articular cartilage are responsible for maintaining ECM production and homeostasis [5]. These chondrocytes produce collagen molecules that are able to crosslink and stabilize the matrix [5]. The interactions between the crosslinked matrix and proteoglycans results in a reinforcement of the

porous solid matrix. The negative charge of the proteoglycans causes water to be retained inside the matrix making up the fluid phase of articular cartilage. This fluid phase, which also contains gases, small proteins, metabolites, and a high concentration of cations to balance the negatively charged proteoglycans, confers articular cartilage with viscoelasticity and biomechanical properties, such as low-friction, high compressive strength [6], and the abilities to distribute load and deform and reform [7]. Loss of these main components, as is observed in OA, leads to a debilitated matrix with compromised biomechanical properties.

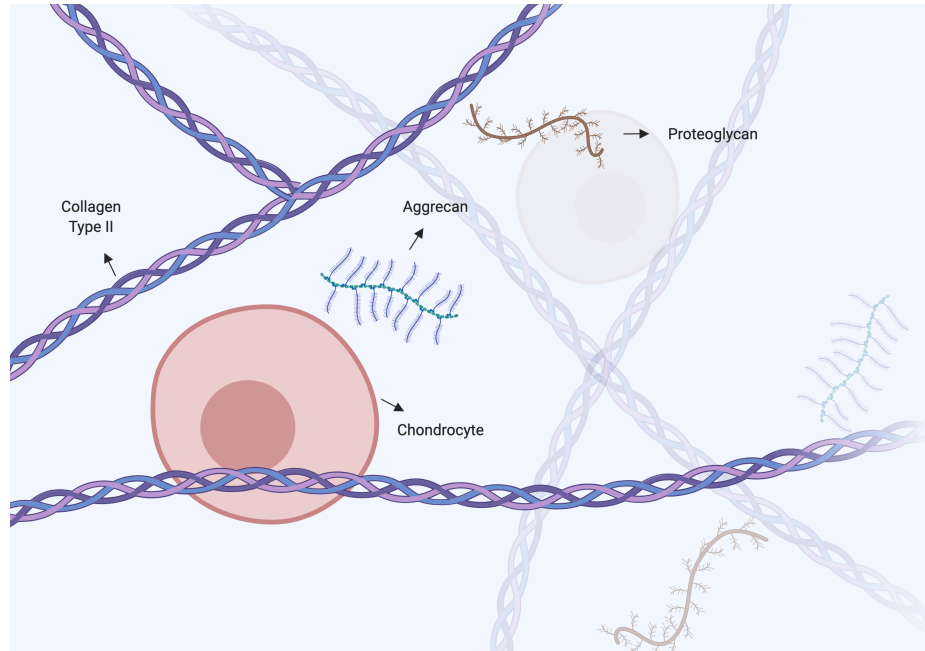


Figure 1.1.: Illustration of the articular cartilage extracellular matrix with chondrocytes, collagen type II, aggrecan and other proteoglycans. Illustration created with biorender.com.

### 1.2.1 Collagen

Collagen type II is the most abundant type of collagen found in articular cartilage. It makes up 90-95% of the collagen present in the ECM [8]. Collagen type II is made up of triple  $\alpha 1(\text{II})$  helical strands that form fibrils that together form the collagen

network that contains the ECM proteoglycans [8–10]. Collagen types I, IV, V, VI, IX, X, and XI are also present in the ECM. These other types of collagen help stabilize and organize the collagen type II network that interacts with the proteoglycan aggregates [11]. These collagens are also involved in pericellular matrix (PCM), the matrix that surrounds each chondrocyte within the ECM, organization by interacting with cartilage proteoglycans [12,13]. Moreover, these collagens are able to anchor the chondrocytes to the PCM in articular cartilage [13] and mediate cell-matrix interactions and intermolecular interactions [13–16]. These interactions confer the cartilage its compressive stiffness and strength [17–20].

### 1.2.2 Proteoglycans

Proteoglycans are glycoconjugates that have GAG side chains attached to a central core protein. Proteoglycans contribute 4-7% wet weight of the ECM [21]. Among the cartilage proteoglycans, aggrecan is one of the most crucial to the proper functioning of articular cartilage. Aggrecan, the largest aggregating proteoglycan present in the ECM, is composed of chondroitin sulfate (CS), keratan sulfate (KS), and O-linked oligosaccharides side chains attached laterally along the length of a core protein (Figure 1.2) [22]. A globular region, located towards the N-terminus of the core protein, called the G1 domain is able to bind to a minimum of 10 disaccharides of hyaluronic acid (HA) through a link protein. This interaction between the G1 domain, link protein, and HA allows many aggrecan molecules to bind to a single HA chain leading to the formation of large multimolecular aggregates [22, 23]. The G1 domain is followed by a short extended region known as the interglobular domain (IGD). This IGD contains proteolytic cleavage sequences that are attacked by proteinases such as matrix metalloproteinases (MMPs) and aggrecanases during cartilage degradation [4, 22]. The IGD is followed by a G2 domain, the second globular domain from the N-terminus, that contains KS chains. A KS domain and a CS domain are found between the G2 and G3 domains. These KS and CS domains have approxi-

mately 30 KS and 100 CS chains attached [22, 24]. The G3 domain, the last domain in aggrecan, is composed of an epidermal growth factor-like module, a carbohydrate recognition domain, a complement binding protein-like module, and a tail. The G3 domain is responsible for facilitating the attachment of GAG chains and enhancing the secretion of the product [22]. All of these components anchor aggrecan within the ECM and, due to their negative charge, provide cartilage with its osmotic properties and resistance to compression [25, 26]. Other smaller proteoglycans present in articular cartilage such as decorin and fibromodulin are involved in fibrillogenesis and interfibril interactions [17, 27–33].

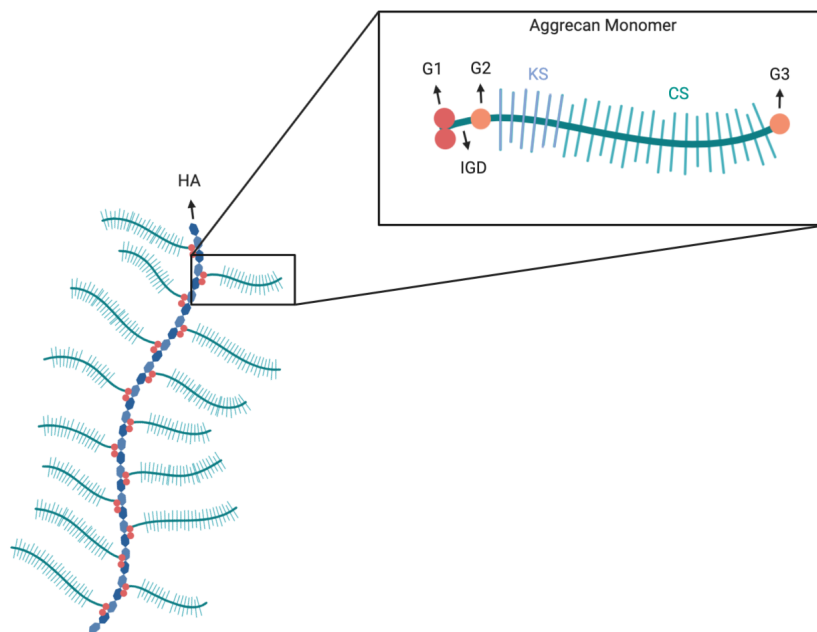


Figure 1.2.: Illustration of the aggrecan molecule. The closeup image shows the aggrecan monomer with its different components. G1, G2, G3: globular domains; KS: keratin sulfate; CS: chondroitin sulfate; IGD: interglobular domain. Illustration created with biorender.com.

### 1.2.3 Articular Cartilage Architecture

Articular cartilage is structurally organized into four different zones: the superficial, middle, deep, and calcified zones [5]. These zones exhibit different composition, organization, cell morphology, and mechanical properties. The superficial zone is a dense layer made up of elongated chondrocytes and collagen fibrils that show parallel alignment to the surface [5]. It expresses different proteins that protect and lubricate the zone to obtain a smooth surface that is shear-resistant [34]. The superficial zone is followed by the middle zone which makes up the majority of the articular cartilage. The middle zone has a lower concentration of collagen, a higher compressive modulus, less organization, and chondrocytes with a more circular morphology [4, 25]. Following the middle zone, the deep zone holds even thicker collagen fibrils that show perpendicular alignment to the articular surface. The chondrocytes in this zone show a parallel arrangement to the collagen fibrils. This layer contains the largest amount of proteoglycans and highest compressive modulus, when compared to the other zones [4, 25].

## 1.3 Osteoarthritis

As previously mentioned, articular cartilage is the connective tissue and load-bearing surface in all synovial joints and is primarily composed of ECM and chondrocytes. These components along with the articular cartilage architecture allow cartilage to lubricate the surface of joints and facilitate distribution of loads. These key functions result in a low-friction movement of opposing joint surfaces allowing for painless movement [35]. However, several factors such as age, obesity, and traumatic injury can lead to articular cartilage degradation [7]. In OA, the ECM of cartilage is shown to degrade as a lesion starting from the articular surface and progressing to the subchondral bone (Figure 1.3). As the ECM degrades, the joint suffers swelling and loss of mechanical properties, all of which limit movement and decrease quality of life. The National Arthritis Data Workgroup states that approximately 27 million



adults in the United States suffer from this disease [1], with knee OA being one of the top 5 causes of disability among adults [2]. The prevalence of this disease in the US has resulted in a total annual cost of \$89.1 billion [2], most of which is spent on OA treatments.

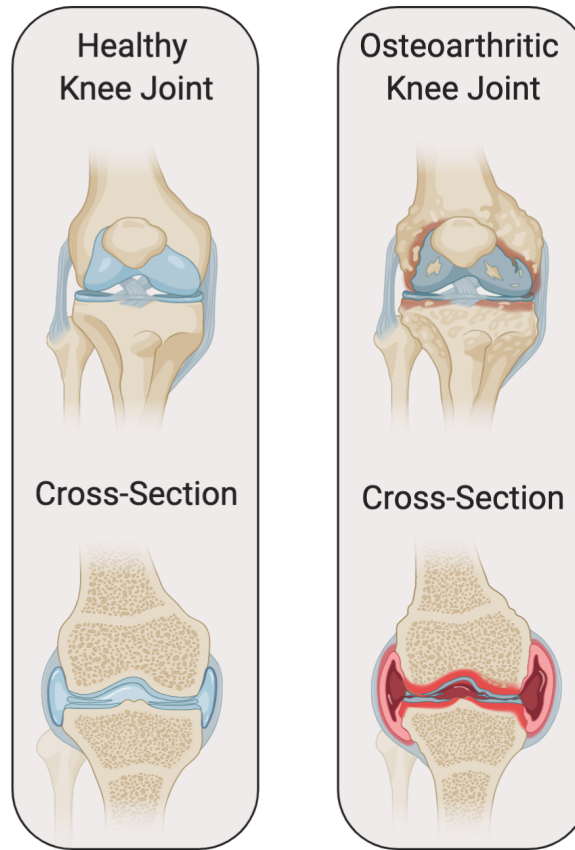


Figure 1.3.: Illustration of healthy and osteoarthritic knee joints and their cross-sections. In OA, cartilage degradation is observed as a lesion starting from the articular surface and progressing to the subchondral bone. Illustration created with biorender.com.

### 1.3.1 OA Pathology

During OA, the ECM undergoes biochemical and mechanical changes that cause articular cartilage degradation. Inflammation plays a big role in OA and can be

triggered by several factors such as age, obesity, and traumatic injury [7]. This inflammation affects the chondrocytes responsible for maintaining ECM production and homeostasis and induces their catabolic activities [5, 36]. Interleukin  $1\beta$  (IL- $1\beta$ ), a proinflammatory cytokine highly upregulated during OA, significantly increases the expression of matrix degrading proteins known as matrix metalloproteinases (MMPs) (MMP-1, -3, -13) and a disintegrin and metalloproteinase with a thrombospondin type 1 motif (ADAMTS) (ADAMTS-4, -5) in chondrocytes [37–39]. In healthy cartilage, aggrecan protects collagen type II from degradation by obstructing proteolytic enzymes from diffusing into the cartilage [40]. However, in early OA, ADAMTS-4 and ADAMTS-5 are responsible for cleaving aggrecan in the IGD (Glu373–Ala374) and releasing the GAG-rich region into the surrounding environment [41–43]. The loss of aggrecan affects the mechanical properties of the tissue and exposes collagen type II to enzymatic degradation. The MMPs are then able to cleave collagen type II [37, 44, 45]. Once the collagen is lost, the articular cartilage is not able to repair itself [46]. The degraded fragments in the tissue further stimulate proteolytic enzyme production, thus, perpetuating the cycle of OA (Figure 1.4). The upregulation of catabolic activities in OA is accompanied by a downregulation of growth factors such as transforming growth factor  $\beta$  (TGF- $\beta$ ), sex determining region Y-box 9 (SOX9), insulin-like growth factor (IGF), and connective tissue growth factor (CTGF) involved in ECM synthesis [47–50]. The downregulation of these factors results in a reduction of anabolic activities furthering the progression of OA [48].

### 1.3.2 Current OA Treatments

Since there is no cure for OA, current treatments aim to alleviate symptoms and prevent progression of the disease. Depending on the severity of the disease, there is a wide range of treatments available to the patients. Common treatments range from exercise and diet control to pharmacological and surgical interventions. Current pharmacological options available for pain relief include analgesics, non-steroidal

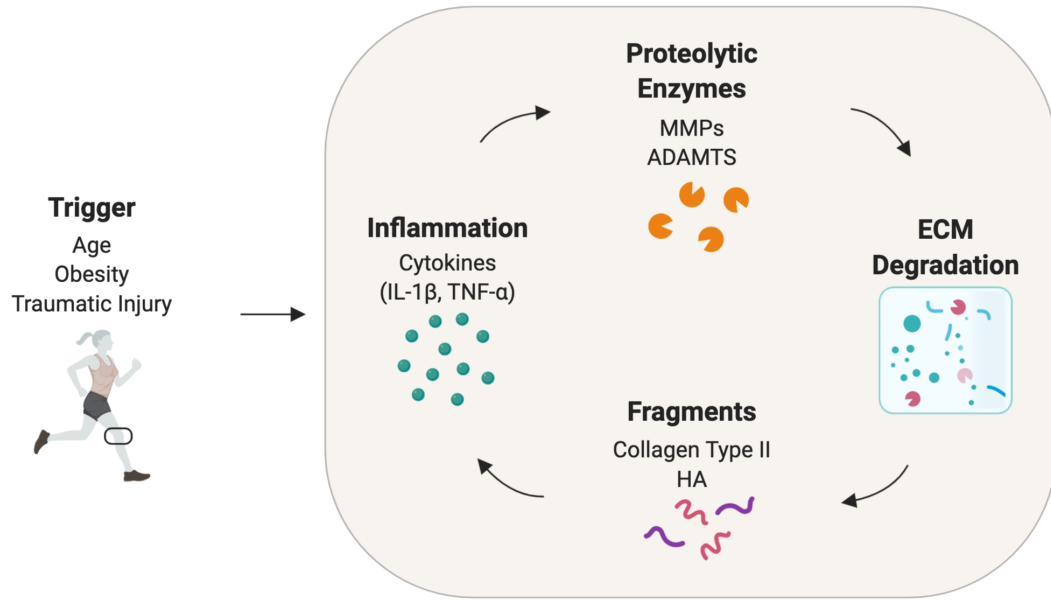


Figure 1.4.: Inflammatory cycle of OA. A trigger, such as a sports injury, induces an inflammatory response that stimulates the production of proteolytic enzymes and a decrease in growth factors. An imbalance in these factors results in damage to the ECM which releases fragments into the surrounding environment. These fragments further stimulate an inflammatory response, thus, perpetuating the cycle of OA. Illustration created with biorender.com.

anti-inflammatory drugs (NSAIDs), and cyclooxygenase-2 (COX-2) inhibitors [25]. However, these drugs should be taken in low doses since they have presented several side effects such as gastric ulcerations and bleeding, increased risk of mild loss of renal function, and hypertension [25]. Other pharmacological treatment options include intra-articular injections of corticosteroids and HA. These injections are limited due to their residence time in the joint space. Patients require multiple injections for these treatments to be efficient in relieving pain [51].

Current surgical interventions to repair damaged articular cartilage include arthroscopic lavage and debridement, microfracture, marrow stimulation techniques, osteochondral autografts and allografts, autologous chondrocyte implantation (ACI), and total knee arthroplasty [52, 53]. These methods, however, pose several complications

and require long rehabilitation. The osteochondral autograft and allograft techniques have resulted in donor site morbidity and implant rejection [53]. The microfracture and marrow stimulation techniques have resulted in subchondral bone loss and axis malalignment [54]. Total knee arthroplasty has proven to be very successful, but patients that undergo this treatment have to limit their activity [55]. Even though these treatments have shown to relieve pain, they are not able to modify the disease and protect the cartilage from further degradation.

#### **1.4 Biomaterials for Articular Cartilage Tissue Engineering**

Many biomaterials are being used to design scaffolds whose aim is to repair damaged articular cartilage [56–59]. Naturally derived materials have been widely used because they possess similar characteristics to the tissue components in cartilage. For the most part, they are biocompatible [60–65] and biodegradable [65–68]. However, their biomechanical properties are not ideal [69–72]. Synthetic materials have been used as an alternative to naturally derived materials because of the control researchers possess over their biomechanical properties [68, 73, 74]. Even though synthetic materials possess useful characteristics for tissue engineering, especially the higher mechanical properties, they also pose some concerns in terms of their biocompatibility [68, 75, 76] and far less complex structures when compared to natural articular cartilage. Tables 1.1 and 1.2 present a summary of the advantages and limitations of common naturally occurring materials and synthetic materials, respectively.

Special consideration is given to the materials when developing a scaffold. The materials, individually or combined, should exhibit adequate properties in a matrix (porosity, adhesion, biodegradability, biocompatibility, stability, cohesiveness, and structural organization) for its successful implementation. For the work presented here, we chose to work with naturally occurring materials, particularly collagen and HA.

Table 1.1.: Advantages and limitations of naturally occurring materials for articular cartilage repair.

<b>Type of Material</b>	<b>Advantages</b>	<b>Limitations</b>
Collagen	Biocompatibility (without telopeptides) [60, 61, 77]; Porosity can be controlled [69, 70]; Adhesive qualities [70, 78, 79]; Can carry different cells [78, 79]	Weak mechanical properties [69, 70]; Cytotoxic [80, 81]; Bioincompatibility (with telopeptides) [60, 61, 77]; Inferior release kinetics when compared to HA-based scaffolds [82]
Fibrin	Biocompatibility [62]; Adhesive qualities [83, 84]; Biodegradability [66]	Cytotoxic potential [72]; Poor mechanical properties [72]
HA	Can carry different cells [85]; Superior release kinetics when compared to collagen-based matrices [86]	Immunogenic [73, 87]
Chitosan	Biocompatibility [63, 88]; Biodegradability [67, 88–90]; Pore size can be controlled [91]; Adhesive qualities [88]	Low cell viability [92]; Low scaffold strength [91]
Alginate	Biocompatibility [64]; Low toxicity [93]; Biodegradability [68]	Does not support cell metabolism [94]; Poor cell adhesion [68]; Poor mechanical properties [71]

*continued on next page*

Table 1.1.: *continued*

Type of Material	Advantages	Limitations
Agarose	Can serve as a cell carrier [95]	Poor mechanical properties [71]
Decellularized ECM	Constructive remodeling [96]; Biocompatibility [65]; Biodegradability [65]; Can serve as a cell carrier [86, 97]	Decellularization process can affect mechanical properties [98]
ECM: extracellular matrix; HA: hyaluronic acid		

Table 1.2.: Advantages and limitations of synthetic materials for articular cartilage repair.

Type of Material	Advantages	Limitations
PEG	Biocompatibility [99, 100]; Non-toxic [99, 100]; Can serve as a cell carrier [101]; Pore size can be controlled [101]	Low biodegradability [102]; Non-adhesive qualities [103]; Lacks the complex organization of the ECM
PLGA	Good mechanical properties [73, 74]; Biodegradability [104]; Biocompatibility [68, 104]; Can serve as cell carriers [104]	Lacks the complex organization of the ECM

*continued on next page*

Table 1.2.: *continued*

Type of Material	Advantages	Limitations
PLA	Biocompatibility [68]; Biodegradability [68]; Good mechanical properties [68]; Can serve as cell carriers [105];	Immunogenic [68]; Lacks the complex organization of the ECM
Carbon fibers	Can serve as cell carriers [106]; Can carry non-biological molecules [106]	Immunogenic [75, 76]; Lacks the complex organization of the ECM
PEG: poly(ethylene glycol); ECM: extracellular matrix; PLGA: poly(lactic-co-glycolic acid); PLA: polylactic acid		

### 1.5 Current Commercially Available Collagen Scaffolds for Cartilage Repair

There are several collagen-based matrix-associated autologous chondrocyte implantation (MACI) products in the cartilage tissue engineering clinical market. The NOVOCART<sup>®</sup> 3D scaffold is based on a collagen type I sponge with a bilayer structure and has shown cartilage repair in a study conducted two years after implantation [107,108]. Another product on the market is MACI<sup>®</sup> which is a membrane made up of collagen type I and III [107,109]. When compared to microfracture, MACI<sup>®</sup> was able to heal more effectively [109]. CaReS<sup>®</sup> scaffolds, made of collagen type I, yielded comparable results to microfracture 3 years after implantation [107,110]. It did, however, show improvement when compared to patients prior to implantation [110]. Also on the market, NeoCart<sup>®</sup> is a collagen type I gel loaded into collagen type I sponges that was able to show greater clinical efficacy than microfracture after two

years [107,111]. Even though these products have shown to improve cartilage repair and are comparable or better than microfracture, there are still areas left for improvement. All of these products are based on collagen type I and do not incorporate collagen type II. Incorporation of collagen type II could help maintain chondrocyte morphology and stimulate cartilaginous tissue synthesis.

## 1.6 Collagen Scaffolds for Articular Cartilage Repair

When developing scaffolds for cartilage repair, the composition and architecture of the native environment is very important. The articular cartilage network and its components provide the tissue with its shear-resistance and compressive strength [4, 25, 34]. Many biomaterials are being used to design scaffolds whose aim is to repair damaged articular cartilage [56–59]. Scaffolds with a network reminiscent of native cartilage could provide the mechanical properties associated with the articular cartilage function.

Collagen is one of the key components in articular cartilage [5]. Hence, collagen type I has been extensively studied and used to develop scaffolds for tissue engineering for over 20 years [108–125]. The collagen structure and composition - a triple helix with ligands [126]- provides an adhesion surface where cells can migrate and differentiate [127]. These collagen fibrils also allow growth factor loading and interactions to take place [128] and can serve as carriers of different types of cells, such as chondrocytes [78, 107, 120, 129] and mesenchymal stem cells (MSCs) [79]. It has been shown that these scaffolds increase healing responses in rabbits [130], chickens [131], and horses [132]. Additionally, its abundant availability [133], biocompatibility [134], and wide clinical approval [107, 134, 135] are some of the advantages this material offers [134]. Collagen type I has, however, a limited chondrogenic ability [107, 115, 134, 136, 137].

Even though collagen type I has been extensively used in articular cartilage tissue engineering, collagen type I is not the most prevalent collagen in cartilage. Col-



lagen type II makes up 90-95% of the collagen produced by the chondrocytes in the ECM, making collagen type II a promising material for articular tissue engineering [8, 138]. For this reason, recent constructs have been designed incorporating collagen type II [97, 112, 113, 115, 124, 137, 139–159]. Collagen type II has been shown to stabilize chondrocyte morphology [107, 112, 139, 141], as well as stimulate chondrogenic differentiation of mesenchymal stem cells (MSCs) and collagen type II synthesis [115, 137, 145, 147, 148, 151, 154, 156, 158]. Similar to collagen type I, collagen type II use in tissue constructs also has disadvantages. Collagen type II is potentially arthritogenic [107, 160, 161] and has limited clinical approval [107, 120]. Collagen type II also forms fibrils of smaller diameter and contains more carbohydrates than collagen type I [162]. Collagen type II has an abundance of bulky disaccharide groups that prevent the formation of highly ordered fibrils with a large diameter [162]. Without crosslinking, scaffolds composed of collagen type II exhibit poor mechanical properties [163]. Different alternatives, including the addition of other materials and types of collagen, are being explored to improve the mechanical properties of scaffolds for tissue repair.

## 1.7 GAGs in Scaffolds for Cartilage Repair

The presence of GAGs in articular cartilage has motivated scientists to use them to synthesize scaffolds or incorporate them in scaffolds for cartilage repair. As previously mentioned, HA is a nonimmunogenic biocompatible GAG present in articular cartilage [164]. Scaffolds consisting of HA have been used as carriers for chondrocytes and MSCs [165] and chondrocytes have shown to be able to maintain their morphology for long periods of time [166]. For instance, Kawasaki *et al* showed that HA-treated cells resulted in a 1.5-fold increase in cell proliferation versus untreated cells [167]. Moreover, sulphated HA allows better cell adhesion and spreading than non-sulphated HA [168]. HA is also involved in cell-cell and cell-matrix interactions, thus, aiding in cell development [169]. However, HA undergoes esterification to ob-

tain a crosslinked porous network with the required physical organization [170] to synthesize a scaffold, causing biocompatibility issues [168]. Low molecular weight HA can also induce immune responses: macrophage and neutrophil activation and proinflammatory cytokine production [73,87].

Past works have studied GAG interaction [117, 118, 124, 139, 141, 171–173] and incorporation into collagen type I gels [117, 118, 123, 124, 139, 141, 172–175] as well as GAG effects on chondrocyte cultures [123, 139, 141, 175, 176]. GAG incorporation and production in scaffolds containing collagen type II have also been studied [124, 139, 141, 142, 149, 152]. Several groups have constructed chondrocyte seeded collagen type II scaffolds with CS and demonstrated their superior ability to stimulate GAG synthesis and preserve chondrocyte morphology in comparison to collagen type I gels [139, 141].

The work presented herein focuses on the incorporation of GAGs and aggrecan mimetic molecules into collagen I scaffolds and collagen I and II blend hydrogels.

## 1.8 Aggrecan Peptidoglycan

Aggrecan has shown to be a component of major importance in articular cartilage. As formerly stated, aggrecan is responsible for protecting collagen type II from degradation and confers cartilage some of its mechanical properties. Our lab has designed and synthesized an aggrecan mimic (CS-BMPH-GAH) by attaching HA binding peptides to a CS backbone via a heterobifunctional crosslinker N- ( $\beta$ -Maleimidopropionic acid) hydrazide, trifluoroacetic acid salt (BMPH) (Figure 1.5) [177]. This aggrecan mimic was able to bind to HA in solution and significantly increase the storage modulus when compared to CS and HA solutions [177]. When incorporated in collagen type I constructs with HA, these mimetic molecules also showed an increase in compressive strength in contrast to collagen type I only gels and collagen type I gels with HA and CS [122, 177]. Additionally, these gels with CS-BMPH-GAH were shown to significantly reduce collagen and HA degradation in the presence of hyaluronidase and

MMP-1 [177]. Sharma *et al* further examined the efficacy of these aggrecan mimics in *ex vivo* and *in vivo* OA models [178]. In an OA environment, these molecules were able to prevent CS degradation and lower cytokine IL-1 $\beta$  production in human OA synovial fluid *ex vivo* models. In *in vivo* rat models, the aggrecan mimic was able to preserve GAG and cartilage in articular cartilage tissue sections from rat knee joints [178]. Thus, CS-BMPH-GAH molecules are able to functionally mimic aggrecan [122,177].

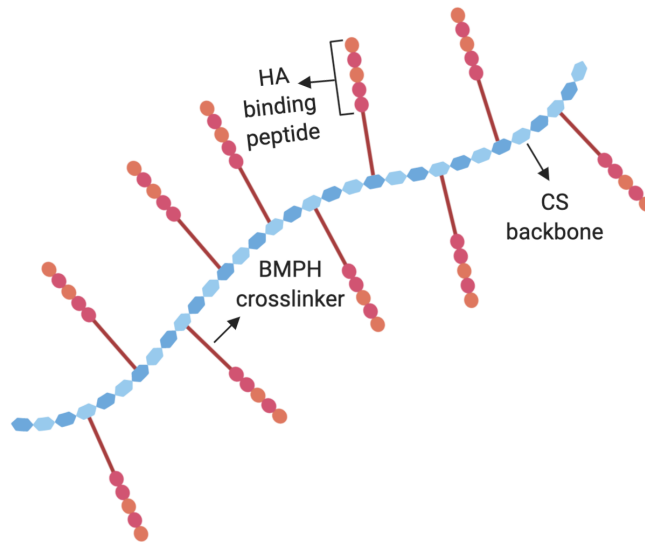


Figure 1.5.: Illustration of the aggrecan mimic. The aggrecan mimic is made up of an average of 10 moles of an HA binding peptide attached to a chondroitin sulfate (CS) backbone via a heterobifunctional crosslinker (BMPH). Illustration created with biorender.com.

## 1.9 Thesis Outline and Contributions

Tissue engineering efforts aim towards the development of cartilage repair strategies that mimic articular cartilage. With this in mind, the work presented here took into account the articular cartilage components and the protective role of aggrecan in cartilage degradation to develop a scaffold composed of collagen type I and II that also incorporates the aggrecan mimic to halt the progression of OA and stimulate cartilage repair.

Chapter 2 focuses on the development and characterization of hydrogels composed of blends of collagen type I to collagen type II and whether the addition of collagen type I to a collagen type II hydrogel affected the amount of protein incorporated into the gels, the mechanical properties of the gels, and the structure of the collagen network. The effects of adding HA and/or CS on gel formation were also investigated. This chapter consists of a manuscript by Nelda Vázquez Portalatín, Claire E. Kilmer, Alyssa Panitch, and Julie C. Liu, published in *Biomacromolecules*, Volume 17, Issue 10, 2016. Authors Claire Kilmer and I contributed equally to this work. Claire Kilmer performed the molecular assays and I performed all other experiments.

Chapter 3 characterizes a new aggrecan mimic synthesis process and studies the effects of the mimetic molecule and its separate components on chondrocytes embedded in collagen type I constructs. This chapter also explores the effects of the addition of collagen type II to the chondrocyte embedded collagen type I gels with CS or the aggrecan mimic. LC MS/MS was performed by Michelle Salemi from the University of California Davis Proteomics Core Facility. Proteomic analysis was done by Michelle Salemi and me. I designed and performed all other experiments and data analysis presented.

Chapter 4 seeks to determine if high-frequency ultrasound could be used to ensure intra-articular injections of the aggrecan mimic are correctly deposited in the knee joint. Dunkin Hartley guinea pigs were used for this study. Dr. Gert Breur participated in data analysis, injection assistance, and editing. Dr. Alyssa Panitch

was involved in data analysis, editing, and providing financial support. Dr. Craig Goergen and I participated in data collection and analysis, injection assistance, and writing. Dr. Craig Goergen was also involved in editing.

Chapter 5 summarizes the findings presented in the previous chapters and briefly outlines the scope of future work.

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## 2. CHARACTERIZATION OF COLLAGEN TYPE I AND II BLENDED HYDROGELS FOR ARTICULAR CARTILAGE TISSUE ENGINEERING

This chapter consists of a manuscript by Vazquez-Portalatin N, Kilmer CE, Panitch A, and Liu JC, published in *Biomacromolecules*, Volume 17, Issue 10, 2016.

### 2.1 Abstract

Biomaterials that provide signals present in the native extracellular matrix have been proposed as scaffolds to support improved cartilage regeneration. This study harnesses the biological activity of collagen type II and the superior mechanical properties of collagen type I by characterizing gels made of collagen type I and II blends. The collagen blend hydrogels were able to incorporate both types of collagen and retained chondroitin sulfate and hyaluronic acid. Cryoscanning electron microscopy images showed that the 3:1 ratio of collagen type I to type II gels had a lower void space percentage (36.4%) than the 1:1 gels (46.5%). The complex modulus was larger for the 3:1 gels ( $G^*=5.0$  Pa) compared to the 1:1 gels ( $G^*=1.2$  Pa). The 3:1 blend consistently formed gels with superior mechanical properties compared to the other blends and has the potential to be implemented as a scaffold for articular cartilage engineering.

### 2.2 Introduction

Osteoarthritis (OA) is a debilitating condition that affects over 27 million Americans and is defined by degradation in articular cartilage extracellular matrix (ECM) [1]. Patients suffer from pain and stiffness in the joints associated with the onset of

OA. Tissue that is damaged by OA is a major health concern since cartilage tissue has a limited ability to self-repair due to the lack of vasculature in cartilage and low cell content [2].

Tissue engineering seeks to repair damaged cartilage by introducing an optimized combination of cells, scaffold, and bioactive factors that can be transplanted into a patient [3]. To prevent rejection and to provide a favorable environment for the attachment of cells, it is important to ensure that the biomaterial used for the scaffold is biocompatible. In addition, scaffold properties can induce the differentiation of encapsulated cells to a specific lineage [3]. Finally, the mechanical properties of the scaffold must match those of the surrounding tissue [4].

Many different biomaterial scaffolds are being studied as a way to repair damaged articular cartilage due to OA [5]. For example, hydrogel scaffolds made of collagen promote the formation of cartilage by encapsulating cells and mimicking native tissue [6]. The conservation of the structure and sequence of collagen across species has facilitated the biomedical application of collagen from many different sources [7]. Collagen type I hydrogels with embedded mesenchymal stem cells (MSCs) promote differentiation to chondrocytes and repair cartilage defects [6]. However, it has been shown that collagen type II hydrogels promote the differentiation of embedded MSCs to chondrocytes more efficiently than collagen type I gels [8, 9]. Collagen type II stimulates a more rounded cell shape, which is an important determinant for stem cell differentiation [10]. In addition, collagen type II and alginate hydrogels have been shown to initiate and maintain the differentiation of MSCs to chondrocytes without the addition of other bioactive molecules [9].

Collagen type II makes up 90-95% of the collagen produced by chondrocytes in the ECM and is a promising scaffold material for use in articular cartilage [11]. However, when compared to collagen type I, collagen type II is glycosylated to a greater extent [12, 13]. The high number of bulky disaccharide groups in collagen type II appears to hinder the formation of highly ordered fibrils [12, 13]. Without cross-linking, collagen type II exhibits poor mechanical properties when forming a hydrogel on its own [14].

Previous studies have blended collagen types to change the mechanical properties of collagen hydrogels [15].

During fibrillogenesis, collagen organization is affected by pH, ionic strength, and interactions with other components in the matrix. Previously, our lab created and characterized hydrogels made from collagen type I and III blends for use in skin and vasculature tissue engineering, and the addition of collagen type III increased the rate of collagen fibrillogenesis [15]. Many different studies have investigated the effects of glycosaminoglycans (GAGs), an important nonfibrillar component of the native cartilage tissue ECM, on the rate of fibrillogenesis and collagen fibril diameter, and the conflicting data is summarized in the referenced paper [16]. GAGs attach to a protein core to form proteoglycans, which comprise 4-7% of the wet weight of healthy cartilage, and allow articular cartilage to withstand compressive forces [17,18]. Creating a collagen blend hydrogel with GAGs would thus more closely mimic the native structure of articular cartilage [19]. In this study, we sought to examine the effects of GAGs on collagen fibrillogenesis and to determine the amount of GAGs incorporated into the collagen hydrogel. Thus, we are interested in the interactions between a blend of collagen type I, collagen type II, and GAGs such as chondroitin sulfate (CS) and hyaluronic acid (HA).

The objective of this study was to create a hydrogel with a blend of collagen types I and II, and it was hypothesized that these blended hydrogels would have superior mechanical properties compared to gels made with collagen type II alone. We investigated whether the addition of collagen type I to a collagen type II hydrogel altered the amount of protein incorporated into the gels, the gels mechanical properties, and the structure of the collagen network. In addition, we examined the effects of adding HA and/or CS on gel formation.

## 2.3 Materials and Methods

### 2.3.1 Gel Preparation

Collagen type I, which was extracted from rat tail, was purchased from BD Biosciences (Franklin Lakes, NJ). Lyophilized chicken sternal collagen type II and hyaluronic acid ((1.5-1.8)  $\times 10^6$  Da) were purchased from Sigma-Aldrich (Saint Louis, MO). Sodium chondroitin sulfate from shark cartilage was purchased from Seikagaku (Tokyo, Japan). Stock solutions of both collagen types I and II were prepared in 20 mM acetic acid at a concentration of 5 mg/mL. The pH values of the solutions were raised to 7.4 with the addition of 10x phosphate buffered saline (PBS), 1 M NaOH, and 1x PBS, and the final concentrations of the collagen solutions were 4 mg/mL. The gels were prepared with collagen type I: collagen type II ratios of 1:0, 3:1, 1:1, 1:3, and 0:1 (Table 2.1). Glycosaminoglycans (e.g., CS or HA) were dissolved in 1x PBS to a concentration of 10 mg/mL and were added prior to polymerization. Gels were made with CS, HA, or a 1:1 ratio of CS to HA at a final concentration of 0.2 mg/mL of added glycosaminoglycans.

Table 2.1.: Concentration of collagen type I and collagen type II in different ratio blends.

Gel Ratio	Collagen I (mg/ml)	Collagen II (mg/ml)
1:0	4	0
3:1	3	1
1:1	2	2
1:3	1	3
0:1	0	4

### 2.3.2 Collagen Incorporation into a Gel

The amount of collagen incorporated into the gels was determined using a previously described method [15,20]. Briefly, the samples were heated at 37 °C overnight to ensure that the solutions had polymerized. The gel was centrifuged for 15 min at 11000*g*. The supernatant was separated from the pellet by decanting. The supernatant from each sample was tested ( $n = 3$ ) for the total amount of collagen present using a bicinchoninic acid (BCA) assay (Pierce, Rockford, IL) following the manufacturers protocol. Standard curves with different concentrations for each collagen type I to collagen type II ratio (1:0, 3:1, 1:1, 1:3, and 0:1) were used to determine the amount of collagen present within the supernatant. The amount of protein in the gel was calculated indirectly as the total amount of collagen prepared minus the amount of collagen in the supernatant.

A modified enzyme-linked immunosorbent assay (ELISA) was then performed to measure the amount of collagen type II in the supernatant ( $n = 3$ ). A standard curve was created by adsorbing collagen with different ratios of collagen types I and II on a 96-well plate with a high binding surface (Corning, Corning, NY). The supernatant from the hydrogels and different ratio blends of collagen type I and II were diluted to a total protein concentration of 10  $\mu\text{g/mL}$ . All samples and standards were then diluted 1:100 in 1x PBS and adsorbed onto the surface of a 96-well plate for 24 h at 4 °C. The wells were rinsed three times with blocking buffer (5% nonfat powdered milk in 1x PBS), blocked with blocking buffer for 2 h at room temperature, and rinsed three more times with blocking buffer. The plate was incubated for 24 h at 4 °C with a primary antibody for collagen type II (Abcam 34712, Cambridge, MA). The wells were rinsed with blocking buffer before being incubated with an HRP-conjugated secondary antibody (Life Technologies 16035, Carlsbad, CA) for 2 h at room temperature. A substrate reagent solution from a Substrate Reagent Pack (R&D Systems, Minneapolis, MN) was added to each well and incubated for 20 min at room temperature. A stopping solution of 2 N  $\text{H}_2\text{SO}_4$  was added to each well, and



the absorbance was determined on a Spectromax M5 plate reader (Molecular Devices, Sunnyvale, CA) at 450 nm. Using the standard curves with the different collagen ratios, the ratios of collagen type I to collagen type II in our samples were quantified. The amounts of collagen types I and II in the gels were calculated indirectly by using the measured total protein concentration in the supernatant and the amounts of collagen types I and II in the supernatant.

### **2.3.3 CS and HA Incorporation into a Gel**

A dimethylmethylen blue assay (DMMB) was performed to measure the amount of CS in the supernatant from gels made with varied amounts of HA or CS ( $n = 3$ ). Specifically, 20  $\mu\text{L}$  of the supernatant were mixed with 180  $\mu\text{L}$  of DMMB reagent. The absorbance was measured on a plate reader at 525 nm. A standard curve of CS was created to quantify the amount of CS in the supernatants. The amount of CS retained in the gel was calculated indirectly.

A hyaluronic acid sandwich ELISA assay (Echelon Biosciences, Salt Lake City, UT) was performed following the manufacturers protocol. Samples and standards were prepared in 1x assay buffer, and 100  $\mu\text{L}$  was incubated in each well of the HA detection plate for 1 h. The plate was washed three times with 1x Tris Buffered Saline (TBS) before 100  $\mu\text{L}$  of HA detector was incubated for 1 h. After three more washes with 1x TBS, 100  $\mu\text{L}$  of 3,3',5,5'-Tetramethylbenzidine (TMB) solution was added and allowed to develop for 20 min. Finally, 50  $\mu\text{L}$  of 2 N  $\text{H}_2\text{SO}_4$  was added to stop the reaction before absorbance was read at 450 nm.

### **2.3.4 Cryoscanning Electron Microscopy (cryoSEM)**

Samples for cryoSEM were prepared as previously described [13]. Briefly, collagen gels ( $n = 2-4$ ) with different ratios of collagen type I to II were prepared on SEM holders and were incubated overnight at 37 °C to allow polymerization of the proteins. Samples with a 3:1 ratio of collagen type I to collagen type II with added CS, HA, or

a 1:1 ratio of CS to HA were also prepared for cryoSEM imaging. The sample holders were then moved to the cryo holder, frozen in liquid nitrogen slush, moved to a Gatan Alto 2500 prechamber (Gatan Inc., Pleasanton, CA), cooled to  $-155^{\circ}\text{C}$ , and fractured. The samples were sublimated at  $-90^{\circ}\text{C}$  for 10-15 min and sputter-coated for 120 s with platinum. Afterward, the samples were transferred to the microscope cryostage, which had been cooled to  $-145^{\circ}\text{C}$ , for imaging. All samples were imaged with an FEI NOVA nanoSEM field emission SEM (FEI, Hillsboro, OR) using the TLD (through the lens) or ET (Everhart-Thornley) detector operating at 5 kV accelerating voltage.

Fibril diameter measurements ( $n \geq 782$ ) were analyzed using ImageJ software (National Institutes of Health, Bethesda, MD). As previously described, a perpendicular line was drawn across a fibril to obtain a measurement [15]. Three blinded individuals took 10 fibril diameter measurements per image, and each individual analyzed three or more images of each type of gel.

ImageJ software was also used to obtain void space information ( $n \geq 15$ ) from the cryoSEM images. A Diameter J plugin was used to segment the images and determine the fraction of image containing void space.

### 2.3.5 Rheology

An ARG2 rheometer (TA Instruments, New Castle, DE) was used to perform rheological analysis with a 20 mm cone geometry. Gels ( $n = 4$ ) were subjected to frequency sweeps from 0.01 to 1 Hz using a controlled stress of 0.5 Pa.

### 2.3.6 Statistics

The data are represented as a mean with error bars corresponding to one standard deviation. Single factor analysis of variance (ANOVA) and Tukey's post hoc tests were performed for the total protein concentration in the gels, the percentage of CS retained, and rheology data. Nested factorial models were used to perform ANOVA and Tukey's *post hoc* tests to analyze the fibril diameter and void space percentage

data. For all statistical tests, a value of  $\alpha = 0.05$  was chosen, and significance was chosen to be a  $p$ -value at or below 0.05.

## 2.4 Results and Discussion

The total amount of protein in the supernatant was measured using a BCA assay and was used to calculate the total amount of protein in the gel. As the ratio of collagen type I to collagen type II decreased and thus the amount of collagen type II used to create the hydrogel increased, there was a statistical decrease in the final protein concentrations in the gels (Figure 2.1A). An ELISA was used to measure the amount of collagen type II in the supernatant, and this information was used to calculate the amounts of collagens type I and II in both the supernatant and gel. As the ratio of collagen type I to collagen type II in the starting solution decreased, the amounts of collagen types I and II incorporated in the gel respectively decreased and increased (Figures 2.1B and 2.1C). The amount of collagen type I in the gel decreased proportionally as the ratio of collagen type I to collagen type II decreased. However, the amount of collagen type II was not inversely proportional to the ratio of collagen type I to collagen type II. Instead, there was no statistically significant difference in the amount of collagen type II incorporated in the gel when the ratio of collagen type I to collagen type II was decreased from 1:3 to 0:1. A subset of the gels were immunostained for collagen type II to verify collagen type II incorporation in the gel (Figure S 2.6). As expected, when more collagen type II was incorporated in the gel, an increase in fluorescence signal was observed. Since the amount of collagen type II incorporated in 0:1 gels did not differ from the 1:3 gels, the 0:1 gels were no longer considered in future experiments.

Next, we investigated whether the final protein concentrations in the blended collagen gels were altered due to the addition of HA and/or CS. The final protein concentration in the supernatant was measured for three different ratios of collagen type I to collagen type II (3:1, 1:1, and 1:3). Gels had no HA or CS added or were

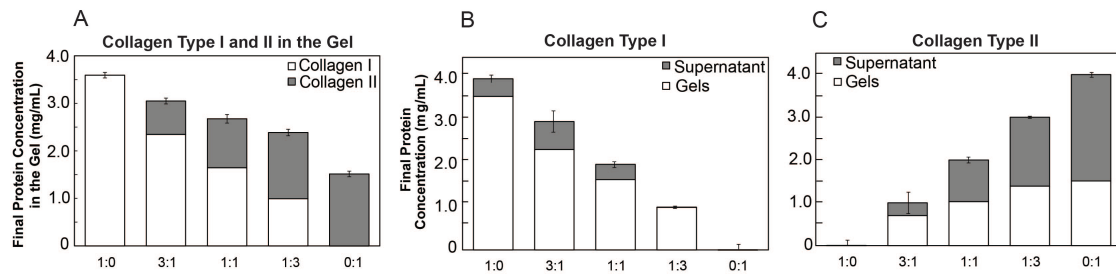


Figure 2.1.: (A) Final collagen concentration in the gel at different ratio blends uses white bars to represent collagen type I found in fibrillary form and gray bars to represent collagen type II measured in fibrillary form. Data ( $n = 3$ ) are represented as the mean  $\pm$  the standard deviation of the total concentration of collagen (both collagen types I and II) in the gel. An ANOVA and Tukey's honestly significant difference *post hoc* test were performed and indicate a significant difference in the total protein concentration in the gel between each ratio ( $p < 0.05$ ). The final concentration of collagen in the gel and supernatant for (B) collagen type I and (C) collagen type II. The white bars represent collagen content in fibrillar form, whereas the gray bars represent collagen measured in the supernatant ( $n = 3$ ). The error bars represent the standard deviation of the amount of collagen type II in the supernatant.

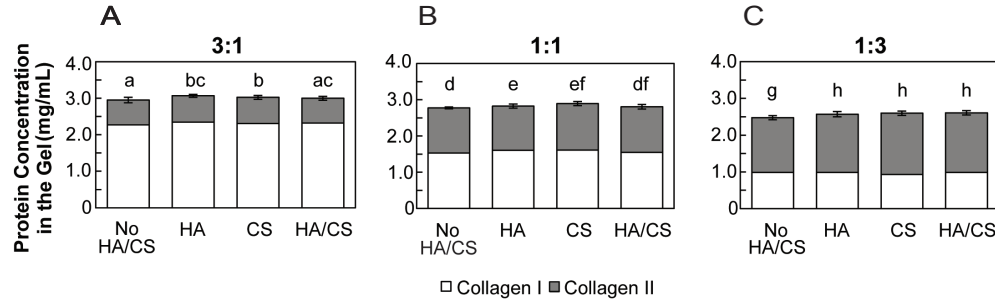


Figure 2.2.: Final protein concentration in the fibrils at different ratio blends of collagen type I and collagen type II with the addition of HA, CS, or both HA and CS. The gels were created containing a (A) 3:1, (B) 1:1, or (C) 1:3 ratio of collagen type I to collagen type II. An ANOVA and Tukey's honestly significant difference *post hoc* tests were performed. Different letters indicate groups with significantly different total protein concentrations incorporated in the gel ( $p < 0.05$ ). Data ( $n = 3$ ) are represented as the mean  $\pm$  the standard deviation.

supplemented with HA, CS, or a combination of both HA and CS. When only HA or only CS was included, there was a significant increase in the total amount of protein incorporated into the gel. Thus, the addition of HA and/or CS did not negatively impact the total concentration of protein in the 3:1, 1:1, or 1:3 gels and therefore did not inhibit gel formation (Figure 2.2). The increase of protein incorporated into the gels upon addition of only CS is consistent with experiments performed by Stuart *et al.* using collagen type I gels [16]. Stuart and co-workers found that adding CS decreased the amount of collagen in the supernatant and thus resulted in more collagen incorporated into the gel [16]. In previous experiments, CS also increased the rate of fibrillogenesis of collagen type I and resulted in fibrils of smaller diameter [21]. These results also indicated that the addition of CS increased the number and shape of nucleation sites and promoted the aggregation of collagen molecules end-to-end [22].

The percentage of CS retained in the gel was calculated using the supernatants from the gels supplemented with CS or both HA and CS (Figure 2.3A). There was a statistically significant difference in the percentage of CS retained when adding only CS to gels made with different ratios of collagen type I to II. In addition, there was a

statistical difference in the percentage of CS retained when adding a 1:1 ratio of CS to HA to the different gel blends tested (3:1, 1:1, and 1:3). The interactions between CS and collagen are known to be ionic since increasing ionic strength reduces the binding of CS [23,24]. Furthermore, collagen type II is believed to bind more CS than collagen type I due to a stronger ionic interaction between collagen type II and GAGs. For example, Pieper *et al.* attempted unsuccessfully to remove GAGs from bovine tracheal cartilage collagen type II by washing with a high ionic strength solution [25]. In this study, the amount of collagen type II in the supernatant significantly increased with a decrease in the ratio of collagen I to collagen II (Figure 2.1), and these results could explain the significant decrease in the percentage of CS retained within the gel. When comparing the percentage of CS retained for gels with only CS added to gels with CS and HA added, there was no statistical difference for the 3:1 gels, but there were a statistical difference for the 1:1 and 1:3 gels.

The percentage of HA retained in the gel was calculated using the supernatants from the gels supplemented with HA or both HA and CS (Figure 2.3B). There was no significant difference between the three different hydrogel blends when HA was added. When HA and CS were added, there was also no significant difference between the three different ratios. There were statistical differences between gels that had HA added and gels that had both CS and HA added for the 3:1 and 1:3 gels. However, there was no statistical difference between the 1:1 gels that had CS compared to 1:1 gels that had HA. The HA that was used for these experiments was high-molecular-weight HA ((1.5 - 1.8) x 10<sup>6</sup> Da). High-molecular-weight HA chains form topological interactions between chains that reduce their mobility [26]. Collagen molecules are separated from the HA molecules due to the reduction in mobility and topological hindrance. The separated collagen molecules then begin to nucleate and aggregate to form fibrils without interacting with the HA molecules. In addition, HA is known to form aggregates with ECM molecules. The aggregates of ECM molecules form a viscous barrier that inhibits the displacement of macromolecules in chondrocyte cultures [27]. These previous findings suggest that, in our experiments, supplemented

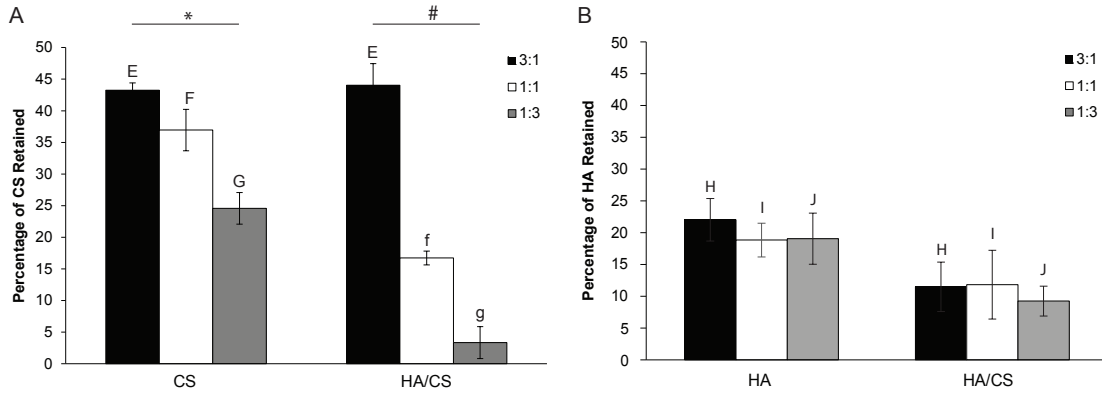


Figure 2.3.: Percentage of (A) CS and (B) HA retained in the fibrils. Gels were created using varying ratios of collagen type I to II (3:1, 1:1, and 1:3) with either CS, HA, or both CS and HA added into the gels. ANOVA and Tukey's honestly significant difference *post hoc* tests were performed. The \* indicates significant differences ( $p < 0.05$ ) between the three different hydrogel blends when CS is added. The # indicates significant differences ( $p < 0.05$ ) between the three different hydrogel blends when HA and CS are added. EE indicates there is no statistical difference ( $p > 0.05$ ) between the 3:1 gels. Ff and Gg indicate that there were statistical differences ( $p < 0.05$ ) between the 1:1 and 1:3 gels, respectively. There is no significant difference ( $p > 0.05$ ) between the three different hydrogel blends when either HA or HA and CS were added. Hh and Jj indicate that there were statistical differences ( $p < 0.05$ ) between the 3:1 and 1:3 gels, respectively. II indicates there is no statistical difference ( $p > 0.05$ ) between the 1:1 gels. Data ( $n = 3$ ) are represented as the mean  $\pm$  the standard deviation.

HA interacts with the added CS and precludes the CS from being incorporated within the collagen gels. The 1:3 blend was not pursued in further experiments due to the significant decrease in CS incorporated into the gels when compared to the 3:1 and 1:1 gels.

CryoSEM was performed to observe the network and structure of the collagen fibrils in the gels. Figure 2.4A shows representative cryoSEM images of different ratio blends of collagen type I to collagen type II. In these images, collagen fibrils and the networks these fibrils form within the gels can be seen. Furthermore, qualitatively, the fibril diameters appear to be similar between the gels, but the void space seems to increase with the addition of collagen type II. Thick lamellar-like structures are

also present in the SEM images, and similar structures have been observed in other SEM images of collagen hydrogels [15].

To quantify our observations, the collagen fibril diameters were measured using ImageJ software, and the diameter distribution in the gels at different ratio blends is presented in Figure 2.4B. The 1:0, 3:1, and 1:1 gels showed unimodal distributions, and the average fibril diameter showed no significant differences among the different blends (Figure S 2.7). ImageJ, along with a DiameterJ plugin, was used to obtain the void space percentage in the gels (Figure 2.4C). The 1:1 gels showed a significant increase in void space percentage (46.5%) compared to gels with ratios of 1:0 (35.1%) and 3:1 (36.4%).

CryoSEM images of 3:1 collagen type I to collagen type II gels with no GAGs or supplemented with CS, HA, or both CS and HA were also analyzed to examine the collagen fibril network within the gels (Figure S 2.8A). The collagen fibril diameters (Figure S 2.8B) and void space percentage (Figure S 2.8C) were measured using ImageJ software, and there were no significant differences observed.

The unimodal distribution shape of fibrils (Figure 2.4B) obtained in this study is similar to what was previously shown for gels composed of collagen type I only and collagen type I and collagen type III blends [15, 16, 28, 29]. However, gels composed of only collagen type I have also shown bimodal distributions [15, 16, 30]. The fibril diameter ranges exhibited by collagen type I only gels (up to 0.65  $\mu\text{m}$ ) and collagen type I and II blends (up to 0.6  $\mu\text{m}$ ) were larger than those previously reported for gels composed of collagen type I only (up to 0.4  $\mu\text{m}$ ) [16, 29] and collagen type I and III blends (up to 0.2  $\mu\text{m}$ ) [15]. The addition of collagen type II to the blends did not significantly affect the average fibril diameter with or without the addition of GAGs (Figures S 2.7 and S 2.8B).

The void space percentage results obtained for the gels without GAGs (Figure 2.4C) are similar to previous studies that showed gels composed of 70% collagen type I and 30% collagen type III or gels composed of 30% collagen type I and 70% collagen type III had an increase in void space percentage ( $\sim 45\text{-}50\%$ ) compared to



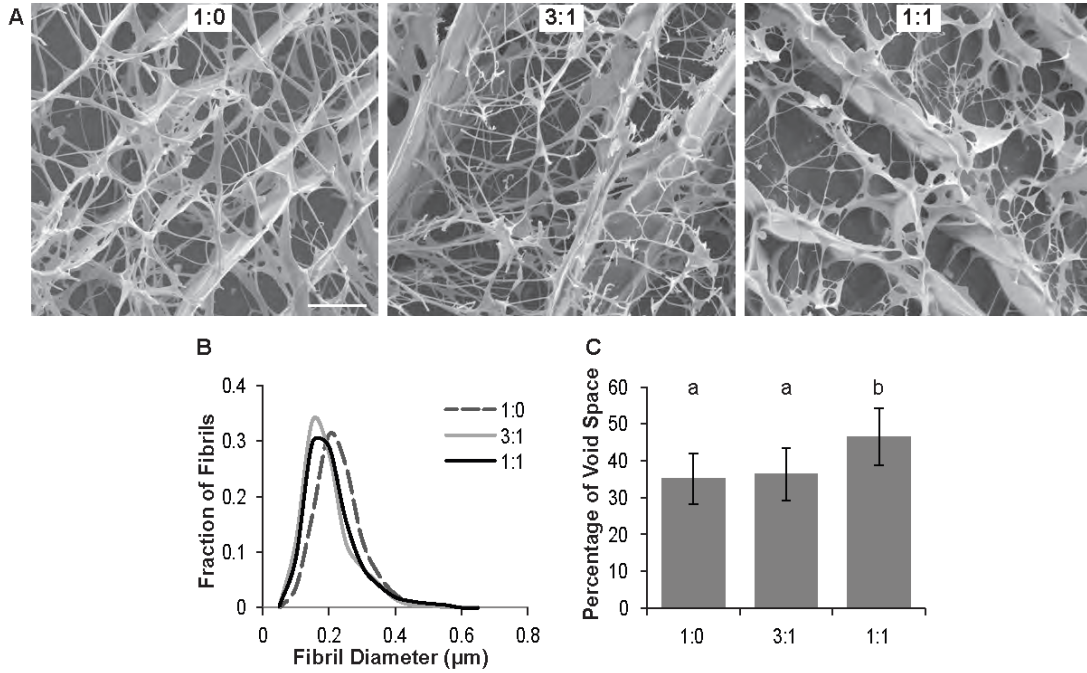


Figure 2.4.: Collagen networks for different ratio blends. (A) Representative cryoSEM images of different ratio blends of collagen type I to collagen type II show the collagen fibril network within the gels. Scale bar represents 5  $\mu\text{m}$ . (B) Distribution of collagen fibril diameters in the gels at different ratio blends. (C) Percentage of void space for the gels based on cryoSEM images obtained at 10 000x magnification. ANOVA and Tukey's *post hoc* tests were performed on the percentage of void space data by using nested factorial models. The different letters indicate groups with a significant difference ( $p < 0.05$ ) in the percentage of void space in the gels. Data ( $n \geq 15$ ) for void space percentage are represented as the mean  $\pm$  the standard deviation.

gels composed of collagen type I only (30%) [15]. The significant increase in void space percentage as the ratio of collagen type I to collagen type II in the blends decreased suggests that the collagen type II addition limits fibril formation. Collagen type II may coat the fibrils formed by collagen type I and inhibit lateral aggregation of fibrils as has been shown for collagen type I and III gels [31]. This interaction between collagen type I and II could affect the stability of the gel and, thus, its mechanical properties.

Another explanation for the results seen from the analysis of the SEM images could be due to the fact that addition of collagen type II reduced the total collagen concentration in the gel (Figure 2.1A) and also reduced the collagen type I concentration (Figure 2.1B). A previous study showed that hydrogels have statistically similar fibril diameters when polymerized with varying collagen type I concentrations [32], and these results are consistent with the results seen with the collagen type I and II blend hydrogels in this study. In addition, similar to the results seen for the blended collagen I and II hydrogels, another study showed that decreasing the collagen type I concentration increased the void space [33].

Frequency sweeps from 0.01 to 1 Hz were performed to characterize and understand the mechanical properties of these gels. The frequency sweeps showed that at 1 Hz, the 1:0, 3:1, and 1:1 gels respectively had average storage moduli ( $G'$ ) of  $21.2 \pm 5.9$ ,  $4.9 \pm 1.0$ , and  $1.2 \pm 0.2$  Pa (Figure 2.5); loss moduli ( $G''$ ) of  $2.4 \pm 0.5$ ,  $1.2 \pm 0.1$ , and  $0.3 \pm 0.1$  Pa (Figure 2.5); and complex moduli ( $G^*$ ) of  $21.4 \pm 5.9$ ,  $5.0 \pm 0.9$ , and  $1.2 \pm 0.2$  Pa (Figure S 2.9). The addition of collagen type II to the gels significantly decreased their stiffness, and this result could be attributed to a decrease in total protein concentration in the gel (Figure 2.1A) and the increase in void space (Figure 2.4C). Previously performed studies showed concentration-dependent changes in mechanical properties [34,35]. In particular, single collagen type I fibrils or collagen type I and agarose blend hydrogels demonstrated that higher collagen type I concentrations increased the mechanical properties [34,35]. Other studies with collagen type I membranes [36], collagen type I hydrogels [12], and collagen type I/III blended

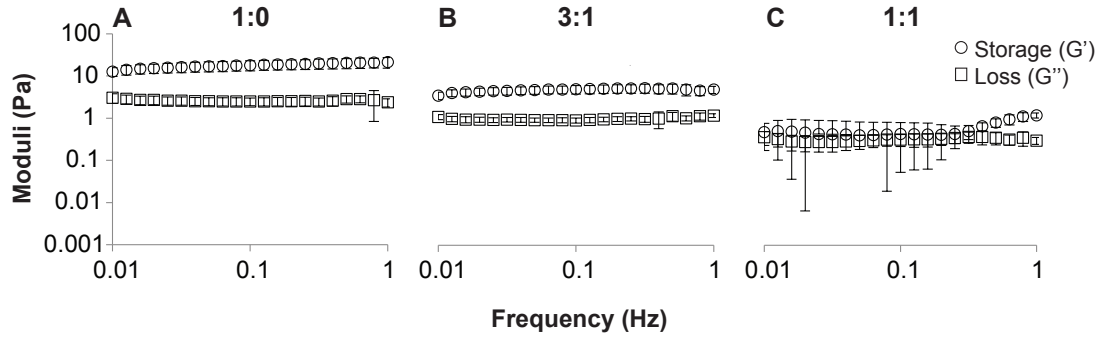


Figure 2.5.: Frequency sweeps of storage and loss moduli of gels prepared from mixtures of (A) 1:0, (B) 3:1, and (C) 1:1 collagen type I to collagen type II. ANOVA and Tukey's *post hoc* tests were performed. At 1 Hz, a significant difference ( $p < 0.05$ ) was observed between the storage moduli for the three different gel blends. At 1 Hz, the loss modulus for the 1:1 gels was significantly different ( $p < 0.05$ ) from the loss moduli of the 1:0 and 3:1 gels. Data ( $n = 4$ ) are represented as the mean  $\pm$  the standard deviation.

hydrogels [11] correlated an increase in porosity, or void space, with a decrease in the respective mechanical properties of tensile stress [36]; stiffness [12]; and storage, loss, and complex moduli [11].

The decrease in stiffness with increasing collagen II also suggests that the ability of collagen type II to alter network structure and fibril growth can affect the gels' mechanical properties. Previous work has shown that collagen type III also alters fibril formation of collagen I and, thus, the mechanical properties of the gel [15]. However, collagen gels composed of collagen type I and III blends exhibit higher mechanical properties than the gels composed of collagen types I and II shown here. At 1 Hz, gels composed of collagen type I and III blends exhibited a storage moduli range of 115-175 Pa and a loss moduli range of 15-25 Pa. Similarly, collagen type I gels had a storage moduli of  $\sim 170$  Pa and loss moduli of  $\sim 24$  Pa [15]. In another study, Stuart and coworkers found their collagen type I gels exhibited a storage modulus of  $\sim 145$  Pa and a loss modulus of  $\sim 23$  Pa [16]. While these collagen type I gels exhibited higher mechanical properties than the 1:0 gels presented herein, Shayegan *et al.* have

also seen lower storage moduli (0.1 - 0.3 Pa) and loss moduli (0.7 - 1.0 Pa) for collagen type I gels at 4mg/ml to 5 mg/ml concentrations [37]. The difference in mechanical properties of these gels could be attributed to different collagen type I sources, gel preparation methods, and protein concentrations. Other studies have improved the mechanical properties of their gels by incorporating HA [26, 30, 38], dermatan sulfate [26], and other crosslinking agents [38–40]. Calderon *et al.* demonstrated that noncrosslinked collagen type II gels exhibited significantly lower compressive stress ( $27.6 \pm 6.5$  kPa) and compressive modulus ( $1.4 \pm 0.35$  kPa) than those that had been crosslinked (48.9-188.1 kPa and 2.4-10.3 kPa, respectively) [14]. Halloran *et al.* have also presented similar results where noncrosslinked atelocollagen type II scaffolds showed lower storage moduli than gels that had been crosslinked with microbial transglutaminase [38].

In this study, our goal was to develop and characterize collagen blend gels that would harness the biological activity of collagen type II, which is the most abundant type of collagen produced by chondrocytes and has the ability to initiate and maintain the differentiation of MSCs to chondrocytes without added bioactive molecules [8–11]. There is growing interest in making scaffolds for tissue engineered cartilage that utilizes collagen type II, and many current studies utilize collagen type II as a cross-linked sponge [41–43]. Due to the fact that collagen type II exhibits poor mechanical properties when forming a hydrogel on its own, studies have explored ways to enhance the mechanical properties of collagen type II hydrogels by developing composite scaffolds [14, 44, 45]. From the experiments conducted, the 3:1 gels were able to incorporate both collagen type I and collagen type II within the gels. It is important to note that an antibody was able to detect collagen type II, and therefore it is likely that collagen type II would be accessible to cells embedded in the scaffolds. The 3:1 gels also retained a significantly higher amount of CS, which allows articular cartilage to withstand compressive forces, than the other blends. Adding GAGs to the collagen gels creates a more biologically relevant scaffold for articular cartilage tissue engineering. Moreover, compared to the 1:1 gels, the 3:1 gels exhibited lower

void space percentages and higher storage, loss, and complex moduli. Thus, based on all these properties, the 3:1 collagen type I to collagen type II blend has the potential to be implemented as a scaffold to effectively engineer articular cartilage tissue.

## 2.5 Conclusion

In this study, we created hydrogels composed of different ratios of collagen type I to collagen type II to elucidate their unique properties. We demonstrated that the addition of collagen type II alters gel formation, network structure, and mechanical properties. From the five different blends we created, the 3:1 collagen type I to collagen type II ratio blend has the potential to be implemented effectively for use in tissue engineering. Future work will focus on encapsulating cells and adding growth factors within these hydrogels.

## 2.6 Acknowledgements

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## 2.7 Supporting Information

### Collagen Type II Incorporation Materials and Methods

Collagen type II in the gel was visualized using immunostaining with a primary antibody for collagen type II (Abcam 34712, Cambridge, MA). The gels were fixed in 3% paraformaldehyde for 1 h. After fixation, the gels were rinsed three times

with 1x PBS, blocked with blocking buffer (5% nonfat powdered milk in 1x PBS) for 1 h at room temperature, and rinsed three times with 1x PBS. The gels were incubated with the primary antibody for 24 h at 4 °C. The gels were rinsed with 1x PBS before being incubated with a goat anti-rabbit AlexaFluor® 555 (Cell Signaling Technology 4413S, Danvers, MA) for 24 h at 4 °C. All confocal images were obtained using a 20x objective on a Nikon Ti-EC-1 Plus microscope (Nikon, Melville, NY). Nikon NIS-Elements AR software (version 3.2) was utilized to analyze fluorescence images.

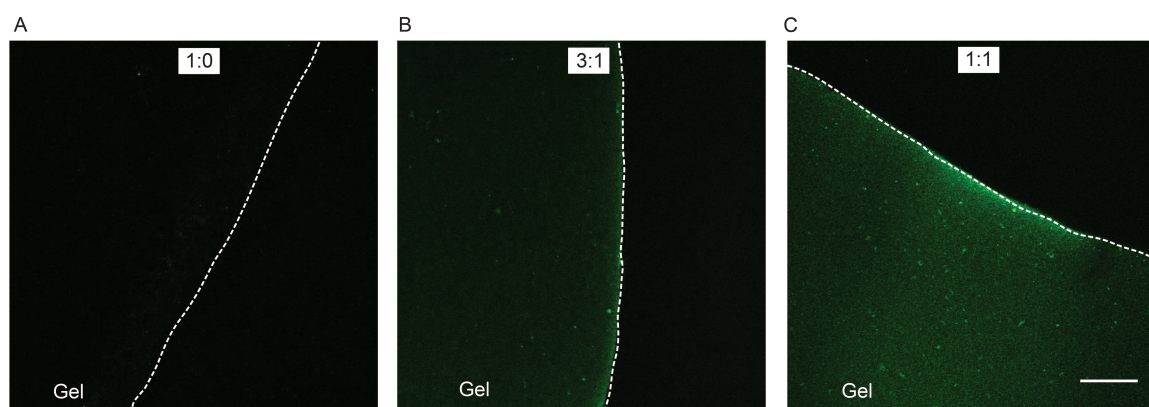


Figure S 2.6.: Representative images of immunostaining for collagen type II (green) hydrogels at different blends of (A) 1:0, (B) 3:1, and (C) 1:1 ratios of collagen type I to collagen type II. The boundary of the gel is indicated with the white, dashed line, and the side containing the gel is notated in the figure. Scale bar represents 100  $\mu\text{m}$ .

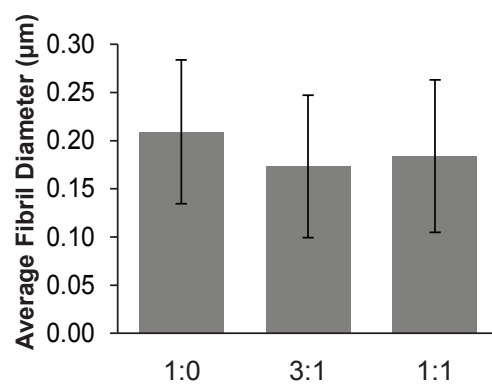


Figure S 2.7.: Average fibril diameter in the gels at different ratio blends. ANOVA and Tukey's *post hoc* tests were performed on the average fibril diameter data by using nested factorial models. For the average fibril diameter data, there were no significant differences observed between the different blends. Data ( $n \geq 782$ ) are represented as the mean  $\pm$  the standard deviation.

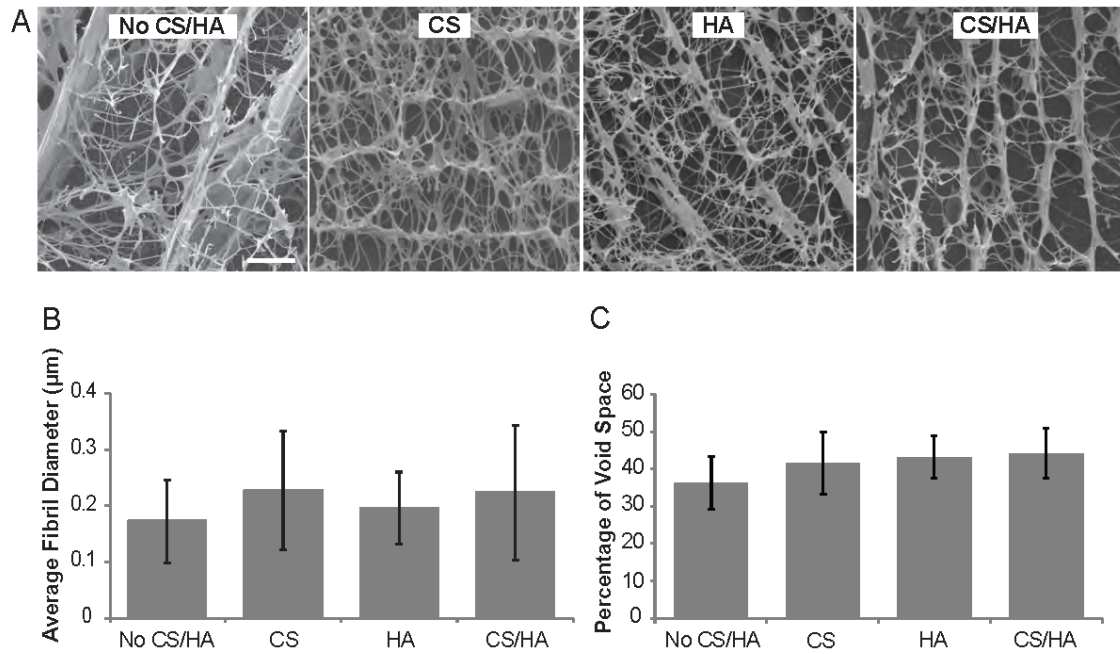


Figure S 2.8.: Effect of adding GAGs in collagen networks of different ratio blends. (A) Representative cryoSEM images of 3:1 collagen type I to collagen type II gels with no GAGs added or supplemented with CS, HA, or both CS and HA show the collagen fibril network within the gels. Scale bar represents 5  $\mu\text{m}$ . (B) Average fibril diameter and (C) percentage of void space for the gels based on cryoSEM images obtained at 10,000x magnification. ANOVA and Tukey's *post hoc* tests were performed on the average fibril diameter and the percentage of void space data by using nested factorial models. There were no significant differences observed between gels that had no GAGs added or gels supplemented with CS, HA, or both CS and HA ( $p > 0.05$ ). Data ( $n \geq 58$  for fibril diameter,  $n \geq 8$  for void space percentage) are represented as the mean  $\pm$  the standard deviation.



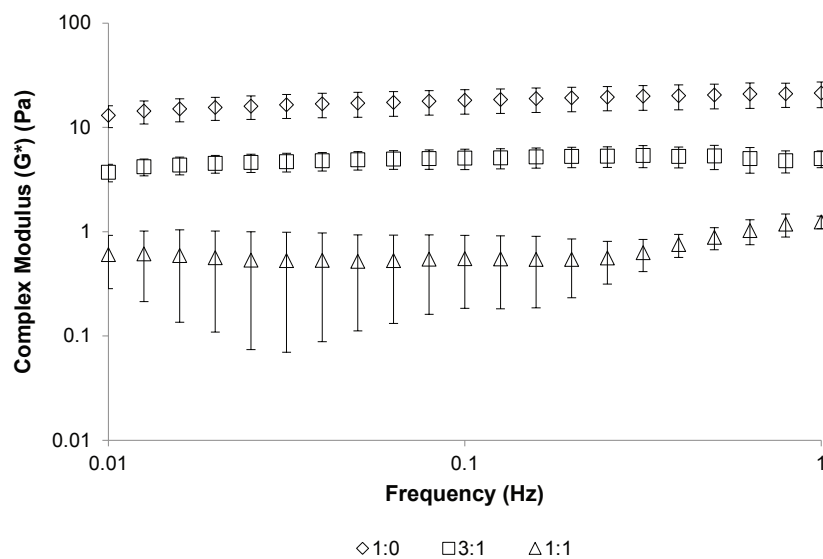


Figure S 2.9.: Complex modulus of gels prepared from mixtures of 1:0, 3:1, and 1:1 collagen type I to collagen type II. ANOVA and Tukey's *post hoc* tests were performed. At 1 Hz, a significant difference ( $p < 0.05$ ) was observed between the complex moduli for the three different ratios. Data ( $n = 4$ ) are represented as the mean  $\pm$  the standard deviation.

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### 3. INCORPORATION OF AGGREGAN MIMETIC MOLECULES IN COLLAGEN HYDROGELS

The supporting information in this chapter consists of parts of a manuscript by Sharma S, Vazquez-Portalatin N, Calve S, and Panitch A, published in ACS Biomaterials Science & Engineering, Volume 2, Issue 2, 2016.

#### 3.1 Abstract

Articular cartilage tissue engineering efforts aim towards the development of cartilage repair strategies that mimic healthy articular cartilage. In this work, we studied whether an aggrecan mimic, CS-GAH<sub>b</sub>, composed of chondroitin sulfate (CS) and hyaluronic acid (HA) binding peptides, GAH, and not its separate components, is able to prevent GAG and collagen degradation when incorporated into chondrocyte-embedded collagen gels. Previously, the aggrecan mimic was synthesized using a crosslinker and was named CS-BMPH-GAH. Here, we introduce a new chemistry to synthesize CS-GAH<sub>b</sub> without the crosslinker. Bovine chondrocytes were cultured and embedded in collagen type I scaffolds with CS, GAH, CS and GAH, or CS-GAH<sub>b</sub> molecules. Gels composed of 3:1 collagen type I and II with CS or CS-GAH<sub>b</sub> were also studied. Gels were cultured for 8 days and the media and gels were collected throughout the culture period. GAG and collagen degradation, GAG retention in the gels, and cytokine production were investigated. A preliminary set of gels were used to perform LC-MS/MS and detect the proteins produced by the chondrocytes in the collagen scaffolds. The results obtained showed CS-GAH<sub>b</sub> is able to decrease GAG and collagen release and increase GAG retention in the gels. CS-GAH<sub>b</sub> also stimulated cytokine production during the initial days of scaffold culture. However, the addition of CS-GAH<sub>b</sub> into the chondrocyte embedded collagen scaffolds did not

affect ECM protein expression in the gels. The incorporation of collagen type II into the collagen type I scaffolds did not significantly affect GAG and cytokine production and ECM protein synthesis, but did increase collagen release. The results suggest the complex interaction between CS-GAH<sub>b</sub>, the chondrocytes, and the gel matrix make these scaffolds promising constructs for articular cartilage repair.

### 3.2 Introduction

Collagen type I has been extensively studied and used to develop scaffolds for articular cartilage tissue engineering [1–18]. Collagen type I is an attractive material because of its abundant availability [19], biocompatibility [20], wide clinical approval [20–22], and its contribution to chondrocyte proliferation [10, 22, 23]. Collagen type I has, however, a limited chondrogenic ability [4, 20, 22, 24, 25]. Recent constructs have been designed incorporating collagen type II [1, 2, 4, 17, 25–47]. This interest in collagen type II stems from the fact that collagen type II is the major component of the articular cartilage extracellular matrix (ECM) and forms the network of fibrils that contain the ECM proteoglycans [48, 49]. Collagen type II is able to stabilize chondrocyte morphology [1, 22, 26, 28], as well as stimulate chondrogenic differentiation of mesenchymal stem cells (MSCs) and collagen type II synthesis [4, 25, 32, 34, 35, 39, 42, 44, 46]. Similar to collagen type I, collagen type II use in tissue constructs also has drawbacks. Collagen type II is potentially arthritogenic [22, 50, 51] and has limited clinical approval [10, 22].

Proteoglycans are glycoconjugates that have glycosaminoglycan (GAG) side chains attached to a central core protein and contribute 4-7% wet weight of the ECM in articular cartilage [52]. Aggrecan is the largest aggregating proteoglycan present in the ECM and contains CS side chains attached to an HA core protein [53, 54]. All of these components anchor aggrecan within the ECM and, due to their negative charge, provide cartilage with its osmotic properties and resistance to compression conferring cartilage some of its mechanical properties [55, 56]. Aggrecan has also been

shown to protect collagen type II from degradation [57, 58]. However, aggrecan contains proteolytic cleavage sequences that are attacked by proteinases such as matrix metalloproteinases (MMPs) and aggrecanases during cartilage degradation present in osteoarthritis (OA) [53, 59].

Articular cartilage tissue engineering efforts aim towards the development of cartilage repair strategies that mimic articular cartilage. Past works have studied GAG interaction [6, 7, 17, 26, 28, 60–62] and incorporation into collagen type I gels [6, 7, 16, 17, 26, 28, 61–64] as well as GAG effects on chondrocyte cultures [16, 26, 28, 64, 65]. GAG incorporation and production in scaffolds containing collagen type II have also been studied [17, 26, 28, 29, 36, 40]. Several groups have constructed chondrocyte seeded collagen type II scaffolds with CS and demonstrated their superior ability to stimulate GAG synthesis and preserve chondrocyte morphology in comparison to collagen type I gels [26, 28]. We previously prepared 3:1 collagen type I and II collagen gels and demonstrated their ability to retain GAGs [17].

Previously, our lab designed and synthesized an aggrecan mimic (CS-BMPH-GAH) composed of HA binding peptides attached to a CS backbone [66] via a heterobifunctional crosslinker N- ( $\beta$ -Maleimidopropionic acid) hydrazide, trifluoroacetic acid salt (BMPH). This molecule was able to bind to HA in solution and significantly increase the storage modulus when compared to CS and HA solutions [66]. When incorporated in collagen type I constructs with HA, these molecules also showed an increase in compressive strength in contrast to collagen type I only gels and collagen type I gels with HA and CS [12, 66]. Additionally, these gels with CS-BMPH-GAH were shown to significantly reduce collagen and HA degradation in the presence of hyaluronidase and MMP-1 [66]. Sharma *et al* further examined the efficacy of these aggrecan mimics in *ex vivo* and *in vivo* OA models [67]. In an OA environment, these molecules were able to prevent CS degradation and lower cytokine IL-1 $\beta$  production in human OA synovial fluid *ex vivo* models. In *in vivo* rat models, the aggrecan mimic was able to preserve GAG and cartilage in articular cartilage tissue sections



from rat knee joints [67]. These results suggest CS-BMPH-GAH molecules are able to functionally mimic aggrecan [12, 66].

Even though Sharma *et al* studied these molecules in collagen type I gels and their effects on chondrocytes, the effects of the separate components and the assembled molecule were not compared. In the work described herein, we synthesized the CS-BMPH-GAH molecule using a new chemistry that does not require the BMPH crosslinker and labeled the new molecule as CS-GAH<sub>b</sub>. We further studied the effects of the CS-GAH<sub>b</sub> molecule and its separate components on chondrocytes embedded in collagen type I constructs. Furthermore, we also studied the effects of the addition of collagen type II to the chondrocyte embedded collagen type I gels with CS or CS-GAH<sub>b</sub>.

### 3.3 Materials and Methods

#### 3.3.1 Peptide Synthesis

A hyaluronic acid (HA) binding peptide sequence (GAHWQFNALTVR) [68] was modified to include a GSG spacer and a hydrazide group at the C terminus resulting in a modified peptide with a GAHWQFNALTVRGSG-NHNH<sub>2</sub> sequence (Figure 3.1). The modified HA binding peptide was obtained from InnoPep (San Diego, CA). The synthesis of a biotinylated version of the modified HA-binding peptide was loosely based on the method described by Zheng *et al* (Figure 3.1A) [69]. The non-biotinylated and the biotinylated HA-binding peptides were labeled GAH (Figure 3.1B) and GAH<sub>b</sub>, respectively, for the rest of the work discussed herein.

#### Resin Modification

Resin was modified with a hydrazide group according to previous work [69]. Briefly, 1 g of 2-Chlorotrityl chloride (2-ClTrt) resin with a 1.52 mmol/g loading was washed and allowed to swell in 50% (v/v) dichloromethane (DCM)/ dimethyl-

formamide (DMF) for 1 h. The resin was then modified with 10 mL of 10.8% (v/v) hydrazine hydrate and 100  $\mu$ L of N,N-diisopropylethylamine (DIPEA) and left to react for 2 h at room temperature. The resin was drained and left to react for another hour with 10 mL of 10.8% (v/v) hydrazine hydrate and 100  $\mu$ L of DIPEA to ensure hydrazine hydrate coupling. After modifying the resin with a hydrazide group, 10 mL of 10% (v/v) methanol and DMF were added to the resin and allowed to shake for 30 min. Glycine, the first amino acid, along with ethyl(hydroxyimino)cyanoacetate (Oxyma Pure) were dissolved in DMF and added to the modified resin. DIPEA and N,N'-diisopropylcarbodiimide (DIC) were also added to the resin with a 4 M excess. After coupling the glycine to the resin overnight, more glycine with Oxyma Pure, DIC, and DIPEA were added to the resin to ensure full coupling of the first amino acid. The modified resin was washed, dried with DCM, and stored at  $-80^{\circ}\text{C}$  until further use.

### **GAH Automated Peptide Synthesis**

A 0.1 mmol of the modified glycine-NHNH<sub>2</sub> 2-ClTrt resin was weighed and placed into the reaction vessel of a Liberty Blue peptide synthesizer (CEM Corporation, Matthews, NC). Next, 0.1 mmol of the necessary amino acids were weighed, dissolved in DMF to a 0.2 M final concentration, and placed in their corresponding positions on the instrument. The resin was allowed to swell in DMF and was then deprotected with 20% piperidine using 30 W microwave power at  $60^{\circ}\text{C}$  for 3 min. The resin was washed with DMF three times before adding 2.5 mL of the amino acid, 1 mL of the activator (DIC), and 0.5 mL of the activator base (Oxyma Pure). The first five amino acids were coupled at  $50^{\circ}\text{C}$  for 40 min, except for arginine. The other nine amino acids were coupled at  $50^{\circ}\text{C}$  for 1 h. Due to arginine-arginine interactions, the amino acid was double coupled at  $50^{\circ}\text{C}$  for 1 h for each coupling step. After each amino acid coupling, the resin was washed with DMF and deprotected with piperidine. Finally, the resin was washed with DMF and the peptide sequence was deprotected one last

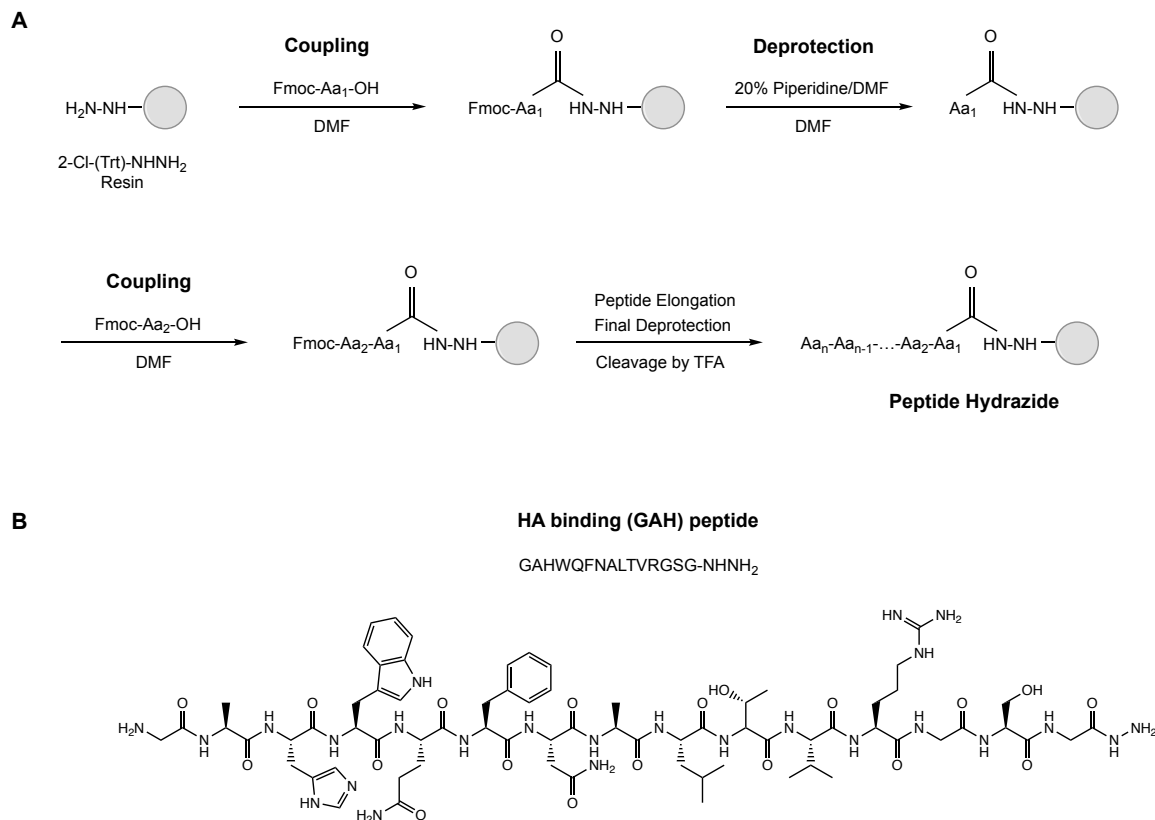


Figure 3.1.: Illustrations of (A) the peptide synthesis process with a hydrazide-modified resin and (B) the synthesized HA binding peptide with a hydrazide group. Modified from Zheng *et al* [69].

time (Figure 3.1A). Once the synthesis was done, the resin with the attached peptide was collected into a 10 mL disposable polypropylene column, washed with DMF and DCM, and dried.

### Peptide Biotinylation

After synthesis, the GAH peptide 3.1B) and resin were washed with DMF. Biotin was dissolved to 1 mM in 5 mL of a 1:1 DMF to dimethyl sulfoxide (DMSO) solution. Once dissolved, 2.1 mL of a 0.45 M (2-(1H-benzotriazol-1-yl)-1,1,3,3-tetramethyluronium hexafluorophosphate (HBTU)/hydroxybenzotriazole (HOBt) solution and 0.3

mL of DIPEA were added to the biotin solution. The activated biotin solution was added to the resin and allowed to couple to the peptide overnight. A ninhydrin test was performed to ensure the coupling was complete. The resin was washed three times with 1:1 DMF:DMSO to remove the uncoupled biotin. Afterwards, the resin was washed twice with DMF and two more times with DCM. Finally, the resin was allowed to dry prior to cleavage.

### Peptide Cleavage

The biotinylated peptide with the hydrazide group was cleaved from the resin by reacting it for 2 h while shaking with 3 mL of a trifluoroacetic acid (TFA) cocktail consisting of 88% (v/v) TFA, 5% (w/v) phenol, 5% (v/v) H<sub>2</sub>O, and 2% (v/v) triisopropylsilane (TIPS) [69]. The cleaved peptide was filtered and collected into a 50 mL conical tube. The resin was washed two more times with the TFA cocktail and the filtrate was collected into the tube. Cold diethyl ether was added to the filtrate to precipitate the crude peptide. The peptide was then centrifuged at 3,000*g* for 5 min at room temperature. The supernatant was decanted and the crude peptide, with diethyl ether, was centrifuged two more times. The crude peptide was allowed to air dry before purification.

### Peptide Purification

The crude GAH<sub>b</sub> peptide was dissolved in 10% acetonitrile (ACN) in H<sub>2</sub>O and purified on an ÄKTA Pure FPLC (GE Healthcare Bio-Sciences, Pittsburgh, PA) with a C18 prep protein column packed with 5  $\mu$ m silica particles (AAPTEC, Louisville, KY) and an ACN gradient with 0.1% TFA. Peptide molecular weight was confirmed by matrix-assisted laser desorption ionization time-of-flight (MALDI TOF) mass spectrometry with a Bruker UltraFlex extreme analyzer (Bruker Daltonics Inc., Billerica, MA).

### 3.3.2 Peptidoglycan Synthesis

The synthesis of the CS-GAH<sub>b</sub> molecules was modified from the one described by Bernhard *et al* [66]. The peptides were conjugated to a chondroitin sulfate (CS) (Seikagaku, Tokyo, Japan) backbone via an amide bond using a new DMTMM (4-(4,6-dimethoxy-1,3,5-triazin-2-yl)-4-methyl-morpholinium chloride) chemistry (Figure 3.2) [70]. Briefly, the CS was dissolved in 0.1 M 2-(N-morpholino)ethanesulfonic acid (MES) buffer (pH 4.5). To activate the carboxyl groups in the backbone, 184.48  $\mu$ L of 0.27 M DMTMM were used to react the CS for 5 min at room temperature. After the CS solution was activated, 1 mole of the GAH<sub>b</sub> peptide per 1 mole of CS were dissolved to 10 mg/mL in 0.1 M MES buffer and added to the activated CS to functionalize it. The solution was allowed to react in a shaker for 12 h at room temperature. Once the biotinylated peptide had been conjugated to the CS backbone, an average of 10.5 moles of the GAH peptide per 1 mole of CS were added to the molecule solution and allowed to react in a shaker for 60 h at room temperature. The solution was filtered via a KR2i KrosFlo tangential flow filtration system (Repligen, Waltham, MA) with a modified polyethersulfone (mPES) hollow fiber filter with a 10kD MWCO. Our lab had previously described these peptide conjugated sugar backbone molecules as peptidoglycans. The CS-GAH<sub>b</sub> peptidoglycans were frozen, lyophilized, and stored at  $-80^{\circ}\text{C}$  for later use.

### 3.3.3 Peptide Quantification

The final concentration of peptides attached to the CS backbone was quantified using a Pierce Quantitative Colorimetric Peptide Assay (Thermo Scientific, Waltham, MA) in a SpectraMax M5 Multimode Microplate Reader (Molecular Devices, Sunnyvale, CA). This assay was used to quantify the GAH peptide concentration attached to the CS backbone via colorimetric absorbance of a copper complex reduced by the amide bonds present in the sample. Briefly, 20  $\mu$ L of the CS-GAH<sub>b</sub> molecule and 180  $\mu$ L of the working reagent were combined into a 96-well plate and left shaking

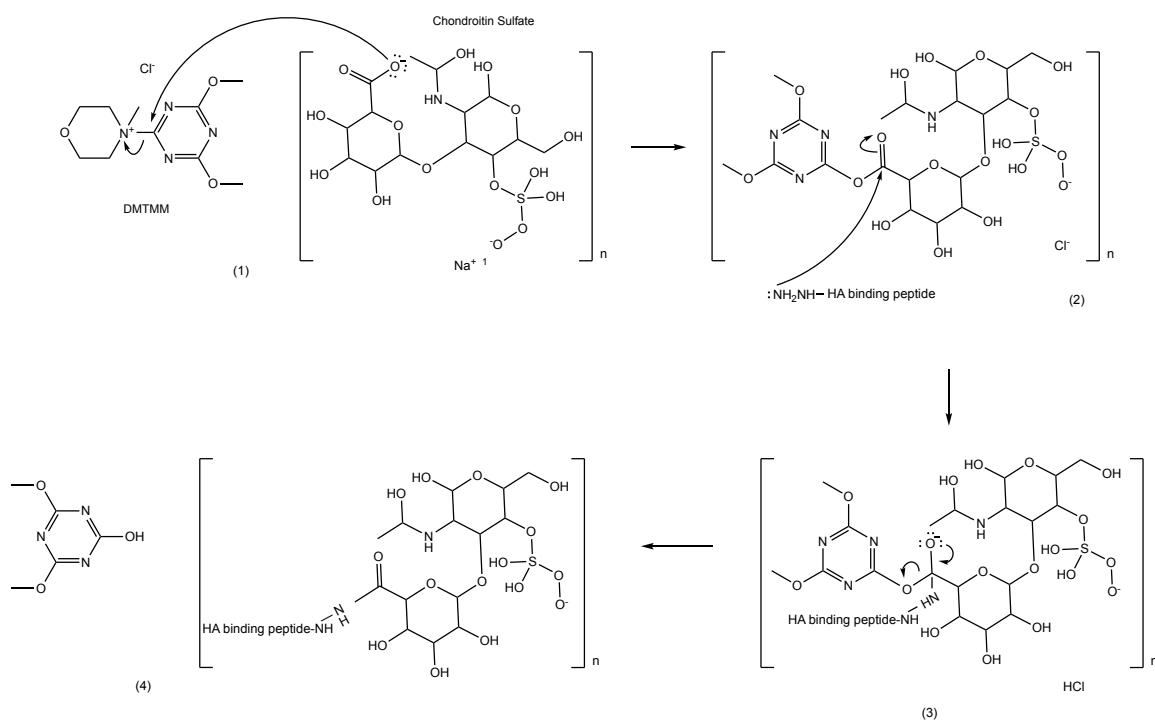


Figure 3.2.: Peptidoglycan synthesis via DMTMM chemistry. The molecule synthesis starts with a (1) nucleophilic attack of the carboxylic group in CS on the triazine part of DMTMM. This attack forms (2) an ester bond between the CS and DMTMM. The nucleophilic amine on the HA binding peptide then attacks the ester's carbonyl carbon to form (3) an amide bond. This reaction results in the (4) attachment of HA binding peptides to the CS backbone.

for a minute. The plate was then incubated at 37 °C for 15 min. The absorbance of the samples was measured at 480 nm. A GAH peptide standard curve was used to calculate the peptide concentration in the samples.

### 3.3.4 Chondrocyte Isolation and Culture

Fetal bovine chondrocytes were isolated from bovine knees obtained from an abattoir (Animal Technologies, Tyler, TX) as described by Sharma [12]. Cartilage was harvested from the knees using a scalpel and washed with 1x PBS three times before slicing it into smaller pieces. The cartilage was then added to 50 mL conical tubes with 25 mL of DMEM/F12 medium containing 0.1% bovine serum albumin (BSA), 0.2% (w/v) collagenase P, 100 units/mL penicillin, 100 µg/mL streptomycin, and 3% fetal bovine serum (FBS). The cartilage was degraded by incubating the tubes in a shaker at 37 °C and 230 rpm for 2 h. Afterwards, 10 mL of 10% FBS DMEM/F12 containing 0.1% BSA, 25 mM HEPES, 100 units/mL penicillin, 100 µg/mL streptomycin, and 50 µg/mL ascorbic acid 2-phosphate were added to the degraded cartilage. The samples were then filtered using a sterile 70 µm cell strainer to release the chondrocytes in the solution. The chondrocytes were washed by centrifuging them at 1,000 rpm for 5 min. The supernatant was decanted and the pellet was resuspended in 10 mL of 10% FBS DMEM/F12. The chondrocytes were washed two more times before counting them with 0.4% (w/v) Trypan Blue and resuspending them in chondrocyte growth medium supplemented with growth medium supplement mix (PromoCell GmbH, Heidelberg, Germany), 100 units/mL penicillin, and 100 µg/mL streptomycin. The cells were then cultured in flasks with an initial seeding density of 10,000 cells/cm<sup>2</sup>, and incubated at 37 °C and 5% CO<sub>2</sub> in a humidified incubator. The medium was changed 24 h after the initial plating and then every 2 days.

### 3.3.5 Chondrocyte-embedded Collagen Gels Preparation

Collagen gels were prepared similarly to work previously done in our lab [17]. Stock solutions of rat tail collagen type I (BD Biosciences, Franklin Lakes, NJ) and chicken sternal collagen type II (Millipore Sigma, Saint Louis, MO) were prepared in 20 mM acetic acid at a concentration of 5 mg/mL. The pH of the collagen solutions was raised to 7.4 with the addition of 10x PBS and 1 M NaOH. The final concentrations of the collagen solutions were brought to 4 mg/mL with the addition of HA and the corresponding glycosaminoglycan (GAG) in 1x PBS. The gels prepared consisted of 1:0 or 3:1 collagen type I to collagen type II ratio with 0.2 mg/mL of added hyaluronic acid (HA). HA ( $1.5 - 1.8 \times 10^6$  Da) (Millipore Sigma, Saint Louis, MO) was dissolved in 1x PBS to 10 mg/mL. The GAG (e.g. CS or CS-GAH<sub>b</sub>) and GAH peptide stock solutions were dissolved in 1x PBS to a final concentration of 65 mg/mL. Gels were made with CS, GAH, both CS and GAH, or CS-GAH<sub>b</sub> at a final concentration of 47  $\mu$ M of added CS or peptidoglycan.

Controls were prepared without GAGs or peptide. The isolated fetal bovine chondrocytes were added to stock solutions of either 1:0 or 3:1 collagen type I to collagen type II at a final concentration of  $5 \times 10^5$  cells/mL. These components were gently mixed to avoid bubbles in the solutions. Gels with a final volume of 100  $\mu$ L were allowed to polymerize in a 96-well plate for 2 h at 37°C in a 5% CO<sub>2</sub> humidified incubator [71]. After polymerization, 150  $\mu$ L of chondrocyte growth medium were added to each gel and gels were allowed to equilibrate for 3 days. The experimental design for this study is shown in Table 3.1. The culture medium was collected two days after gel polymerization and was labeled as day -1. The third and last day of equilibration was termed as day 0. The culture medium containing the GAGs, collagen, and proteins released from the gels was collected and replaced every 2 days for 8 days. Gels for GAG retention were collected at days 0, 4, and 8 and stored in 150  $\mu$ L of RNA later at  $-80^\circ\text{C}$  until further testing.



Gels for preliminary proteomic analysis were synthesized with or without CS-GAH<sub>b</sub>. These constructs were prepared with 3:1 collagen type I to collagen type II blends, except for the control samples. These gels were also cultured for 21 days and were collected at days 0, 7, and 21. The gels prepared and collected were: Control Day 0, 3:1 Control Day 0, Control Day 7, 3:1 CS-GAH<sub>b</sub> Day 7, and 3:1 CS-GAH<sub>b</sub> Day 21. Once collected, the gels were stored in 150  $\mu$ L of RNA later at  $-80^{\circ}\text{C}$  until testing.

Table 3.1.: Experimental design for the chondrocyte-embedded collagen gels.

Samples	Collagen (mg/mL)		HA (mg/mL)	Molecules (mg/mL)		
	Type I	Type II		CS	GAH	CS-GAH <sub>b</sub>
Control	4	-	0.2	-	-	-
CS	4	-	0.2	1.88	-	-
GAH	4	-	0.2	-	0.07	-
CS, GAH	4	-	0.2	1.88	0.07	-
CS-GAH <sub>b</sub>	4	-	0.2	-	-	2.60
3:1 CS	3	1	0.2	1.88	-	-
3:1 CS-GAH <sub>b</sub>	3	1	0.2	-	-	2.60

### 3.3.6 Gel Digestion

Gels needed for GAG retention analysis were digested in a papain solution as described previously [72]. A papain digestion buffer was prepared with 125  $\mu$ g/mL papain, 5 mM L-cysteine, 100 mM sodium phosphate monobasic ( $\text{NaH}_2\text{PO}_4$ ), and 5 mM ethylenediaminetetraacetic acid (EDTA) and pH at 6.5. The lyophilized gels were placed in microcentrifuge tubes and 110  $\mu$ L of the papain digestion buffer were added to each sample. The gels were incubated at  $60^{\circ}\text{C}$  for 24 h followed by  $100^{\circ}\text{C}$  for 10 min. Afterwards, the gels were centrifuged, frozen at  $-80^{\circ}\text{C}$ , and lyophilized. The gels were then resuspended in 110  $\mu$ L of ultrapure water for further analysis.

### 3.3.7 Glycosaminoglycan Retention and Release

GAG release was detected by measuring the amount of CS and CS-GAH<sub>b</sub> released into the cell culture media as previously described [73]. Briefly, 20  $\mu$ L of sample were added to a well in a 96-well plate and 180  $\mu$ L of dimethylmethylen blue (DMMB) dye solution were added to each sample. The absorbance was measured at 525 nm. CS and CS-GAH<sub>b</sub> standard curves were used to obtain the GAG concentrations in the samples. GAG release is reported as day to day release from days -1 and 0. Data presented at days 4 and 8 is reported as cumulative release from days 2 and 4 and days 6 and 8, respectively. GAG retention in the gels was detected by measuring the amount of CS and CS-GAH<sub>b</sub> present in the digested gel samples collected at days 0, 4, and 8.

### 3.3.8 Collagen Release

The amount of collagen released into the cell culture medium was measured with a Sircol Collagen Assay (Biocolor, Carrickfergus, United Kingdom). Briefly, 50  $\mu$ L of medium sample were combined with 50  $\mu$ L of chondrocyte growth medium and 1 mL of Sircol dye reagent to fully saturate the collagen molecules. The samples were placed in a mechanical shaker for 30 min to allow the collagen-dye complex to precipitate out of the solution. The samples were then centrifuged and the supernatants were removed. The unbound dye was removed by adding 750  $\mu$ L of an acid-salt wash reagent to each sample followed by centrifugation and supernatant removal. For each sample, the collagen bound dye was dissolved in 250  $\mu$ L of alkali reagent. 200  $\mu$ L of the samples were transferred to a 96-well plate and the absorbance was measured at 555 nm. A collagen standard curve was used to obtain the collagen concentrations in the samples. Collagen release was measured from media collected at days -1 and 0. Measurements for days 4 and 8 is reported as cumulative release from days 2 and 4 and days 6 and 8, respectively.

### 3.3.9 Cytokine Release

Culture medium obtained from the chondrocyte embedded collagen gels were used to analyze interleukin-6 (IL-6) and tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ) release. The cytokines were measured with DuoSet ELISA kits (R&D Systems, Minneapolis, MN) according to manufacturer's instructions. Briefly, 96-well plates were incubated overnight with 100  $\mu$ L/well of diluted capture antibody at room temperature. The wells were washed three times with 0.05% Tween 20 in PBS and were blocked with 300  $\mu$ L of 5% Tween 20 in PBS for 1 h. The plates were washed once again before 100  $\mu$ L per sample were added. The samples were incubated for 2 h at room temperature and washed before 100  $\mu$ L/well of the detection antibody were added. The plates were incubated for another 2 h before washing and adding 100  $\mu$ L/well of the Streptavidin-HRP solution. The samples were incubated in the dark for 20 min. The plates were washed once again and 100  $\mu$ L/well of the Substrate Solution were added. The plates were then incubated in the dark for 20 min. Afterwards, 50  $\mu$ L/well of the 2N H<sub>2</sub>SO<sub>4</sub> stop solution were added. Finally, the optical density of these samples were measured at 450 nm and 540 nm. The values with wavelength correction were obtained by subtracting the 540 nm readings from the readings at 450 nm. Standard curves were prepared with bovine IL-6 and TNF- $\alpha$ . Cytokine release is reported as day to day release from days -1 and 0. Data presented at days 4 and 8 is reported as cumulative release from days 2 and 4 and days 6 and 8, respectively.

### 3.3.10 Proteomics Analysis

The frozen chondrocyte-embedded collagen gels stored in RNA later for proteomic analysis were reduced, alkylated and extracted following a modified protocol based on previous work done by Savaliev *et al* [74]. Briefly, the gels were cut into  $\sim 1$  mm<sup>3</sup> bands and placed into microcentrifuge tubes. The gels were washed three times with 150  $\mu$ L of 50 mM ammonium bicarbonate (AMBIC) and dehydrated by washing them three more times with ACN while shaking. The ACN was discarded and the gels

were rehydrated in 10 mM dithiothreitol (DTT) in 50 mM AMBIC and incubated for 30 min at 56 °C to reduce the disulfide bonds in the protein. The gel pieces were dehydrated in ACN three times. The ACN was replaced with 150  $\mu$ L 55 mM iodoacetamide (IAA) in 50 mM AMBIC and incubated for 20 min in the dark at room temperature. The gels were washed twice with 200  $\mu$ L of 50 mM AMBIC and then dehydrated with four ACN washes. The dehydrated gels were rehydrated in 150  $\mu$ L of 6.2 mg/mL trypsin in 50 mM AMBIC and digested overnight at 37 °C.

After digestion, the supernatants were collected into new microcentrifuge tubes. Peptides were extracted from the gel pieces by sonicating them in a water bath for 10 min in a 60% ACN and 0.1% TFA solution and centrifuging them for 10 min. The supernatants were collected and combined with the supernatants collected previously. The mixture was dried in a speed vac until there were 10  $\mu$ L of liquid left.

The digested gels were analyzed by liquid chromatography-mass spectrometry (LC-MS/MS) on a Thermo Scientific Q Exactive Plus Orbitrap mass spectrometer as previously described [75]. The spectral data obtained from the MS/MS were searched with Uniprot Bovine Proteome database, a database of common laboratory contaminants ([www.thegpm.org/crap](http://www.thegpm.org/crap)) and an equal number of reverse decoy sequences, employing X! Tandem (The GPM, [thegpm.org](http://thegpm.org); version X! Tandem Alanine). Scaffold (version Scaffold\_4.8.9, Proteome Software Inc., Portland) was used to corroborate the peptide and proteins identified. An overall peptide decoy FDR of 1.0% and a protein decoy FDR of 0.12% were used as thresholds. Proteins that contained similar peptides and were not differentiated based on MS/MS analysis were clustered.

### 3.3.11 Statistics

The data are represented as a mean with error bars corresponding to standard deviation. Statistical significance was analyzed with two way analysis of variance (ANOVA) and Tukey's *post hoc* tests with  $\alpha=0.05$ . Each experiment was repeated twice with  $n \geq 3$  in each data set, except for the proteomics analysis. Data presented

here were obtained from one of the experiment runs. Preliminary proteomics analysis were performed once with  $n=2$ .

### 3.4 Results

#### 3.4.1 Peptidoglycan synthesis and peptide quantification

The GAH and GAH<sub>b</sub> peptides, along with CS, were used to synthesize CS-GAH<sub>b</sub>, an HA binding molecule that mimics aggrecan. Previously, Bernhard *et al* [66] designed and synthesized this molecule through oxidation chemistry using N-( $\beta$ -Maleimidopropionic acid) hydrazide, trifluoroacetic acid salt (BMPH) as a heterobifunctional crosslinker to attach the GAH peptides to the CS backbone. In this work, DMTMM chemistry was used to synthesize the molecules because it is inexpensive, does not require a crosslinker addition, and requires less steps than the BMPH chemistry [70]. Molecules synthesized with this new chemistry appear to behave similarly to those made through oxidation chemistry. With DMTMM chemistry (Figure 3.2), an average of 9.6 moles of the GAH peptide were attached per 1 mole of the CS backbone.

#### 3.4.2 CS-GAH<sub>b</sub> decreases GAG release into media and increases GAG retention in the gels

We previously diffused HA binding (CS-BMPH-GAH) and collagen II binding (CS-BMPH-WYRGRL) molecules through normal and aggrecan depleted bovine cartilage explants as described in Section 3.8 [76]. They showed the amount of CS released into cell culture media decreased considerably over the course of the 21 day culture period and used the cumulative amount of CS seen in culture media over a period of 7 days for assessment (Figure S 3.9A). The amount of CS released from the ECM into the culture was significantly lower for explants that were treated with CS-BMPH-GAH as compared to explants that were aggrecan depleted and left untreated ( $p < 0.01$ ).

No significant difference was seen for explants treated with CS-BMPH-WYRGRL or CS-BMPH-GAH+CS-BMPH-WYRGRL (Figure S3.9B). Based on these results, we further studied the effects of the CS-GAH molecule and its separate components on chondrocytes embedded in collagen type I constructs. Furthermore, we also studied the effects of the addition of collagen type II to the chondrocyte embedded collagen type I gels.

The amount of GAGs released from the collagen type I gels into the culture decreased over the course of the 8 day culture period (Figure 3.3A). At day -1, the amount of GAGs released from the gels into the culture was significantly higher for gels with only CS when compared to the rest of the gels (Figure 3.3C). The gels containing CS-GAH<sub>b</sub> had an initial significantly lower GAG release than the other gels containing CS. Control and GAH peptide gels had a significantly lower GAG release than the gels initially prepared with CS-GAH<sub>b</sub> or CS. No significant difference was observed among the gels at days 4 and 8.

GAGs released from the collagen I and 3:1 collagen I and II gels with added CS or CS-GAH<sub>b</sub> decreased over the course of the 8 day culture period as well (Figure 3.3B). At days -1 and 0, the amount of GAGs released from the CS-GAH<sub>b</sub> and 3:1 CS-GAH<sub>b</sub> gels were significantly lower than those released by gels containing CS (Figure 3.3D). However, the amount of GAGs released from the CS-GAH<sub>b</sub> gels was not significantly different from the amount released by the 3:1 CS-GAH<sub>b</sub> gels. No significant difference was observed among the gels at days 4 and 8. The GAG release differences observed were between gels containing CS or CS-GAH<sub>b</sub>. The addition of collagen type II into the gels did not seem to make a difference when compared to the collagen type I only gels.

The amount of GAGs retained in the collagen type I gels remained constant for the control gels and the gels containing GAH peptide only (Figure 3.4A). However, the amount of GAGs retained in the gels decreased for the rest of the gels over the course of the 8 day culture period. While the initial GAG concentration retained was similar among the CS, CS and GAH, and CS-GAH<sub>b</sub> gels, the CS-GAH<sub>b</sub> gels were

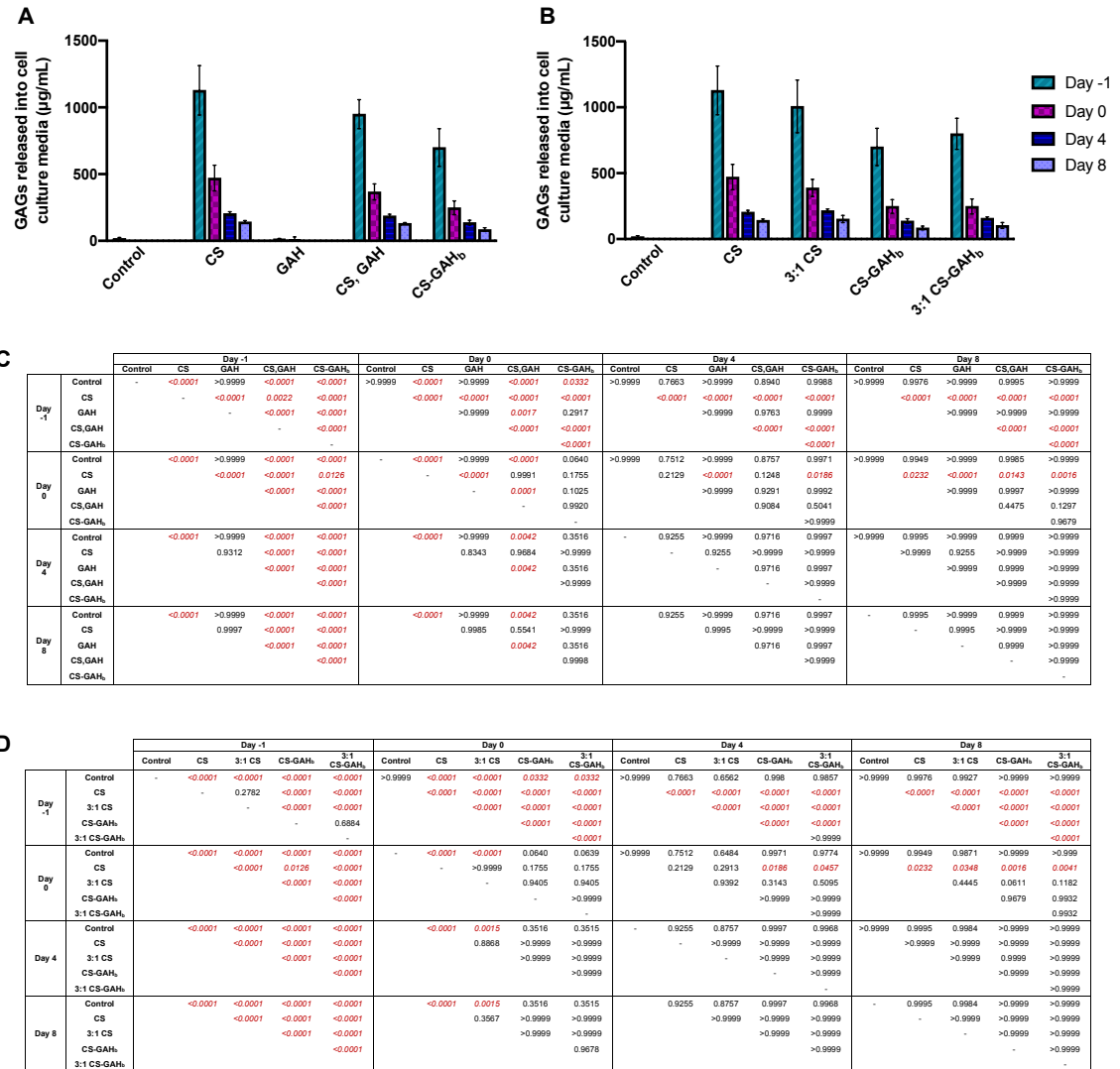


Figure 3.3.: GAGs released from collagen gels into cell culture media over an eight day culture period. GAG release was measured every 2 days and is shown as day to day measurements for days -1 and 0. For days 4 and 8, data is reported as cumulative measurements from days 2 and 4 and days 6 and 8, respectively. GAG loss was measured by a DMMB assay. GAGs released from chondrocyte embedded (A) collagen I gels with added CS, GAH, CS and GAH, or CS-GAH<sub>b</sub> and (B) collagen I and 3:1 collagen I and collagen II gels with added CS or CS-GAH<sub>b</sub> were analyzed. P-values obtained from Tukey's *post hoc* tests denote statistical significance (red) between the (C) collagen I gels and (D) collagen I and II blend gels ( $\alpha=0.05$ ). Bars represent average  $\pm$  standard deviation ( $n \geq 3$ ).

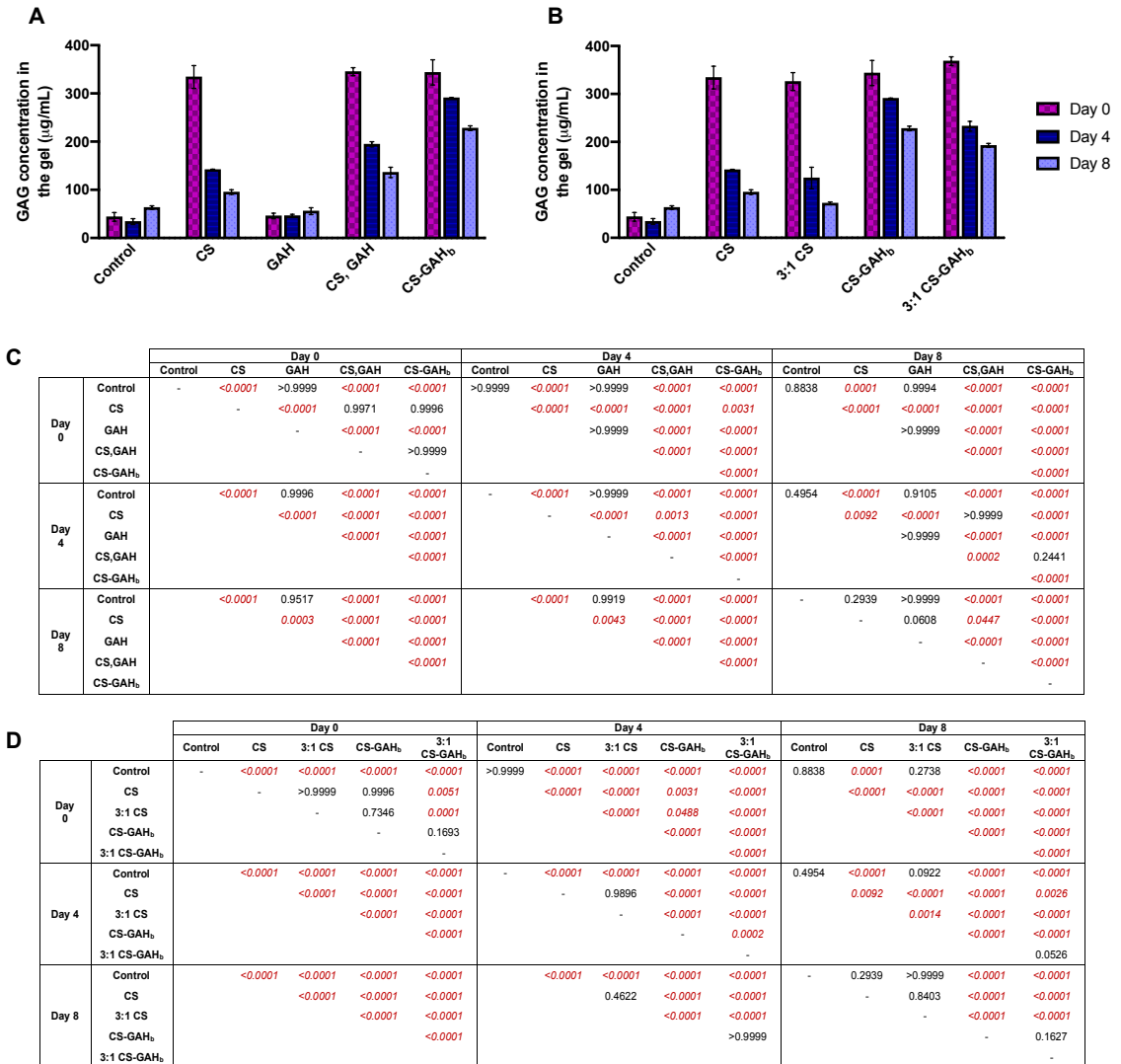


Figure 3.4.: GAGs retained in collagen gels over an eight day culture period. GAGs retained were measured from gels cultured up to days 0, 4, and 8 by performing a DMMB assay. GAGs retained in chondrocyte embedded (A) collagen I gels with added CS, GAH, CS and GAH, or CS-GAH<sub>b</sub> and (B) collagen I and 3:1 collagen I and II gels with added CS or CS-GAH<sub>b</sub> were analyzed. P-values obtained from Tukey's *post hoc* tests denote statistical significance (red) between the (C) collagen I gels and (D) collagen I and II blend gels ( $\alpha=0.05$ ). Bars represent average  $\pm$  standard deviation ( $n \geq 3$ ).



able to retain a significantly higher concentration of GAGs at the end of the 8 days (Figures 3.4A and 3.4C).

The concentration of GAGs retained from the collagen I and 3:1 collagen I and II gels with added CS or CS-GAH<sub>b</sub> decreased over the course of the 8 day culture period (Figure 3.4B). At day -1, the amount of GAGs retained in the 3:1 CS-GAH<sub>b</sub> gels was significantly higher than those retained by gels containing CS (Figure 3.4D). However, the amount of GAGs retained from the CS-GAH<sub>b</sub> gels was not significantly different to the amount retained by the 3:1 CS-GAH<sub>b</sub> gels. At the end of the 8 days, the CS-GAH<sub>b</sub> gels were able to retain a significantly higher concentration of GAGs than the rest of the gels. The largest GAG retained differences observed were between CS or CS-GAH<sub>b</sub> incorporation in the gels. Nevertheless, the addition of collagen type II into the gels seemed to decrease the amount of GAG retained when comparing the CS-GAH<sub>b</sub> and 3:1 CS-GAH<sub>b</sub> gels.

### **3.4.3 GAGs decrease collagen release into cell culture media while addition of collagen type II increases it**

Although the collagen type I gels started to release collagen into the cell culture media at day 4 (Figure 3.5A), the control gels were the only ones to show a significant increase in collagen release over the 8 day period (Figure 3.5C). At day -1, the 3:1 collagen I and II gels with added CS or CS-GAH<sub>b</sub> showed a significant amount of collagen released into the media (Figures 3.5B and 3.5D). Meanwhile, the rest of the gels did not show any collagen release until day 4. At day 4, the 3:1 CS-GAH<sub>b</sub> gels released a significantly higher amount of collagen than the rest of the gels, except for the control. Moreover, at day 8, the 3:1 CS and 3:1 CS-GAH<sub>b</sub> gels released a significantly higher amount of collagen than the rest of the gels, except for the control. The control gels and the gels containing collagen type II released higher amounts of collagen than the rest. Incorporation of GAGs (CS or CS-GAH<sub>b</sub>) decreased collagen

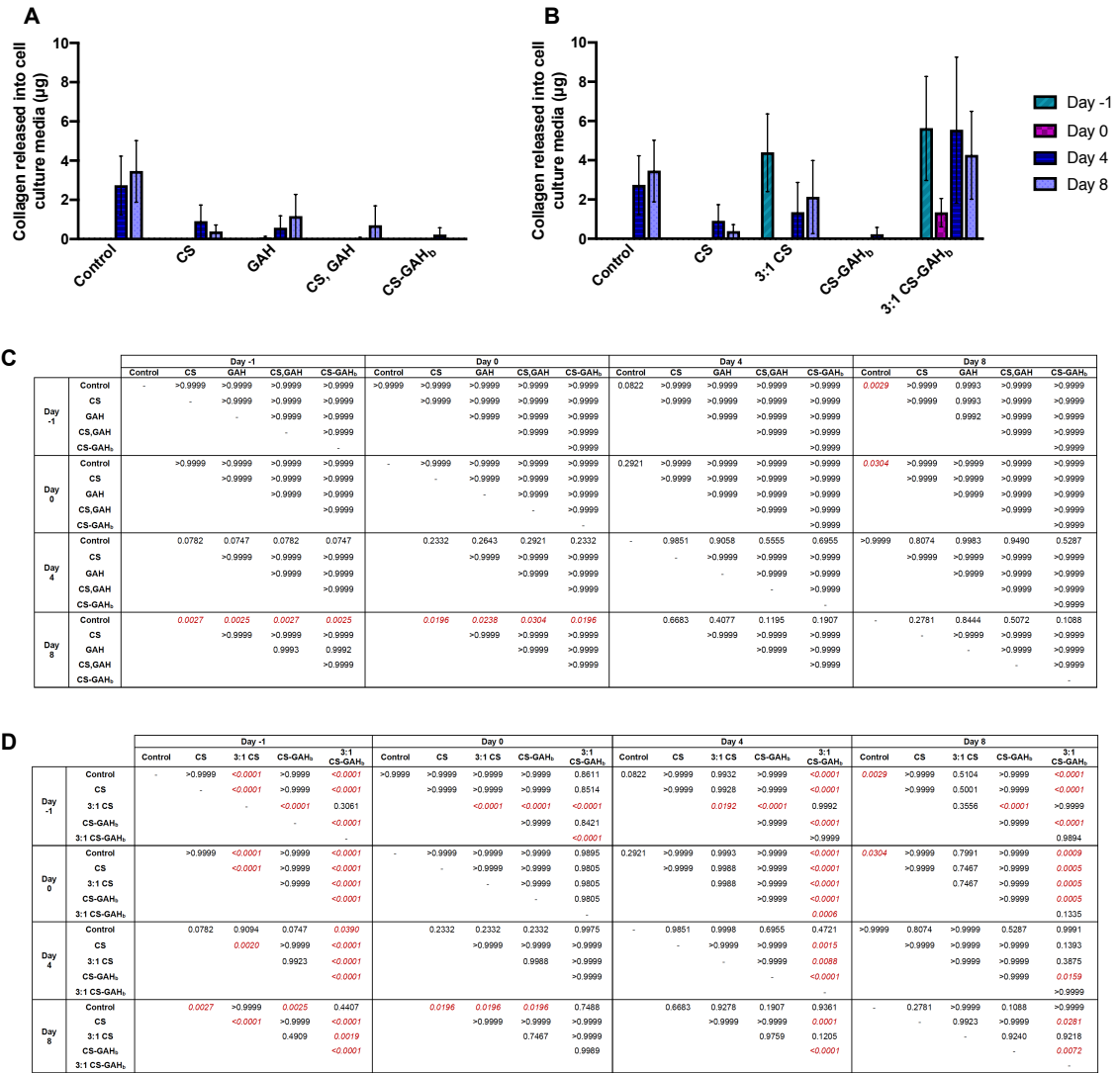


Figure 3.5.: Collagen released into cell culture media over an eight day culture period. Collagen released was measured every 2 days and is shown as as day to day measurements for days -1 and 0. For days 4 and 8, data is reported as cumulative measurements from days 2 and 4 and days 6 and 8, respectively. Collagen loss was measured by a Sircol assay. Collagen released from chondrocyte embedded (A) collagen I gels with added CS, GAH, CS and GAH, or CS-GAH<sub>b</sub> and (B) collagen I and 3:1 collagen I and II gels with added CS or CS-GAH<sub>b</sub> were analyzed. P-values obtained from Tukey's *post hoc* tests denote statistical significance (red) between the (C) collagen I gels and (D) collagen I and II blend gels ( $\alpha=0.05$ ). Bars represent average  $\pm$  standard deviation ( $n \geq 3$ ).

release into cell culture media. However, in the presence of GAGs, the addition of collagen type II in the gels increases collagen release.

#### **3.4.4 CS-GAH<sub>b</sub> increases cytokine release into cell culture media**

IL-6 and TNF- $\alpha$  production were studied to determine whether the addition of the molecules or their separate components stimulates the production of proinflammatory cytokines. IL-6 production released into cell culture media was only detected for the CS-GAH<sub>b</sub> collagen gels (Figure 3.6A). The IL-6 production in the rest of the collagen type I gels was too low to detect. There was an initial spike in IL-6 production at day -1, followed by a decrease in production at day 0 and another significant spike at day 4 (Figures 3.6A and 3.6C). IL-6 release into the culture media was also observed for the 3:1 CS-GAH<sub>b</sub> gels (Figure 3.6B). While the CS-GAH<sub>b</sub> collagen I gels showed spikes in IL-6 release, the 3:1 CS-GAH<sub>b</sub> gels presented a significant release at day -1 followed by a decrease over the course of the 8 day culture period (Figures 3.6B and 3.6D). The IL-6 production in the rest of the 3:1 collagen I and II gels was too low to detect.

Similar to IL-6, TNF- $\alpha$  release into cell culture media was observed for the CS-GAH<sub>b</sub> collagen gels only (Figure 3.7A). The TNF- $\alpha$  release for the rest of the gels was too low to detect. Significant increases in TNF- $\alpha$  release for the CS-GAH<sub>b</sub> collagen gels were detected for days -1 and 4 (Figure 3.7C). TNF- $\alpha$  release was also observed for the 3:1 CS-GAH<sub>b</sub> gels (Figure 3.7B). Both the CS-GAH<sub>b</sub> and the 3:1 CS-GAH<sub>b</sub> gels showed spikes in TNF- $\alpha$  release at days -1 and 4 (Figure 3.7D). The TNF- $\alpha$  collected in the media for the rest of the 3:1 collagen I and II gels was too low to detect.

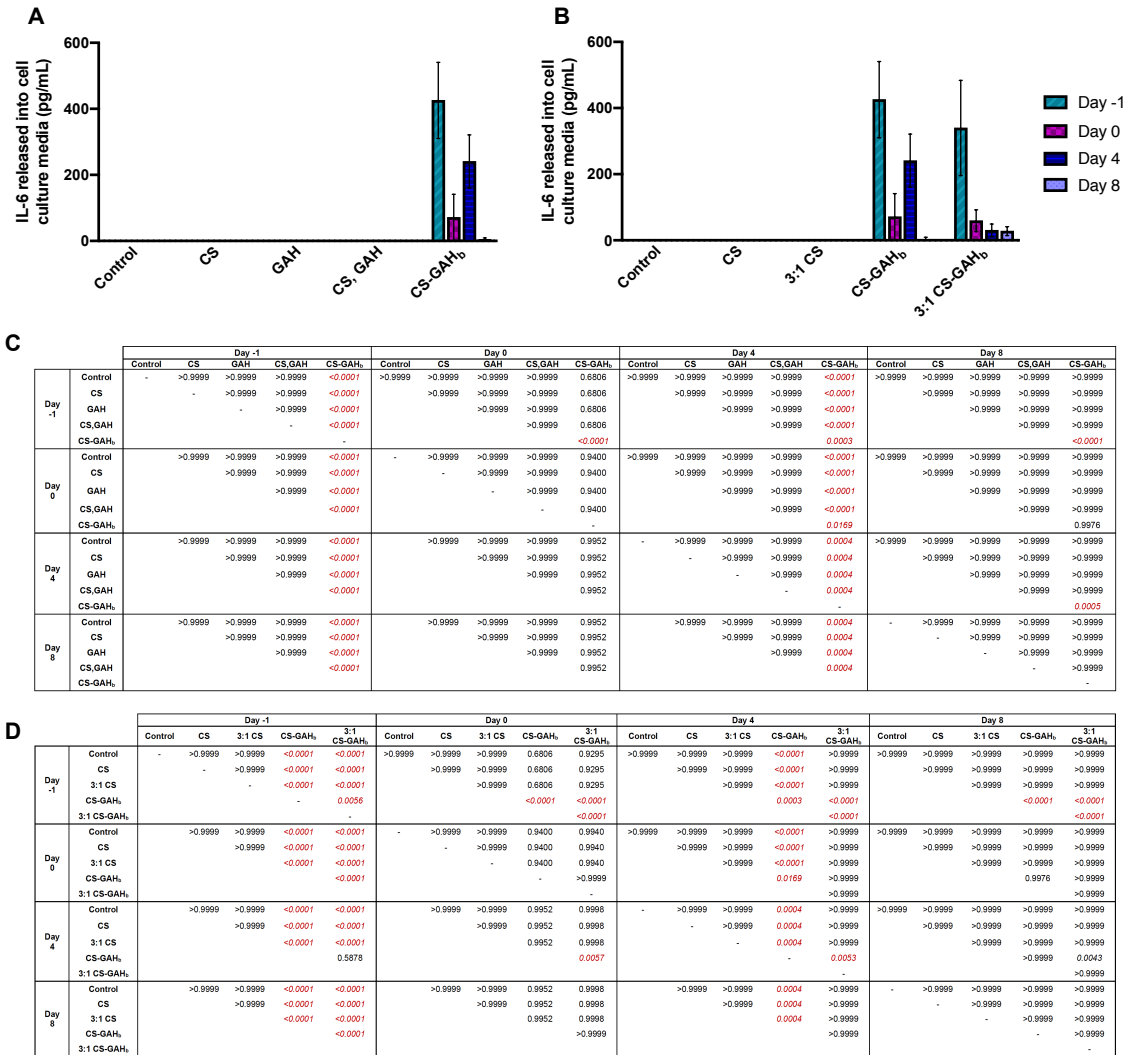


Figure 3.6.: IL-6 released from collagen gels into cell culture media over eight days. IL-6 release was measured with an ELISA and is shown as day to day measurements for days -1 and 0. For days 4 and 8, data is reported as cumulative measurements from days 2 and 4 and days 6 and 8, respectively. IL-6 produced in chondrocyte embedded (A) collagen I gels with added CS, GAH, CS and GAH, or CS-GAH<sub>b</sub> and (B) collagen I and 3:1 collagen I and II gels with added CS or CS-GAH<sub>b</sub> were analyzed. P-values obtained from Tukey's *post hoc* tests denote statistical significance (red) between the (C) collagen I gels and (D) collagen I and II blend gels ( $\alpha=0.05$ ). Bars represent average  $\pm$  standard deviation ( $n \geq 3$ ).

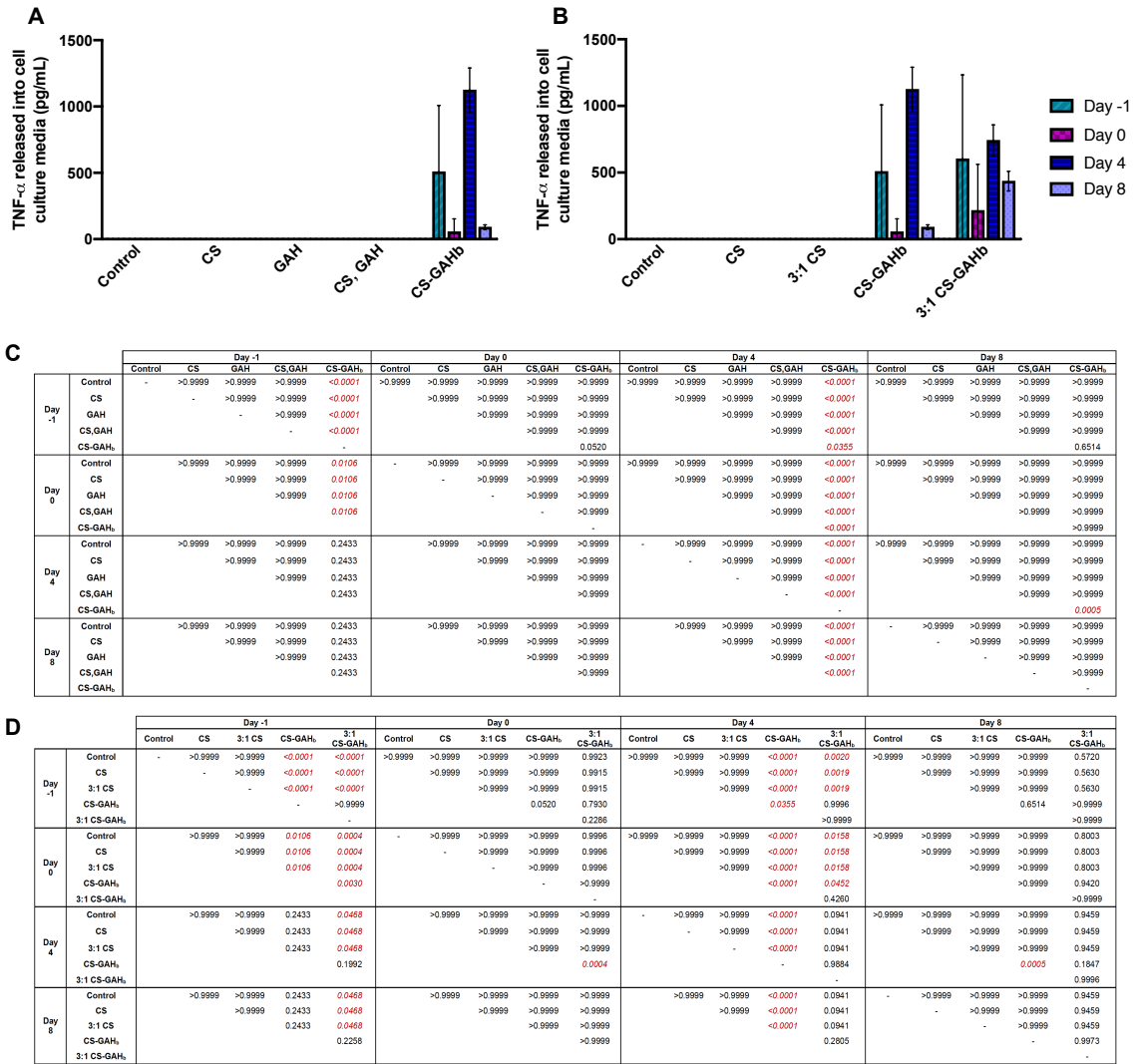


Figure 3.7.: TNF- $\alpha$  released from collagen gels into cell culture media over eight days. TNF- $\alpha$  release was measured with an ELISA and is shown as day to day measurements for days -1 and 0. For days 4 and 8, data is reported as cumulative measurements from days 2 and 4 and days 6 and 8, respectively. TNF- $\alpha$  produced in chondrocyte embedded (A) collagen I gels with added CS, GAH, CS and GAH, or CS-GAH<sub>b</sub> and (B) collagen I and 3:1 collagen I and II gels with added CS or CS-GAH<sub>b</sub> were analyzed. P-values obtained from Tukey's *post hoc* tests denote statistical significance (red) between the (C) collagen I gels and (D) collagen I and II blend gels ( $\alpha=0.05$ ). Bars represent average  $\pm$  standard deviation ( $n \geq 3$ ).

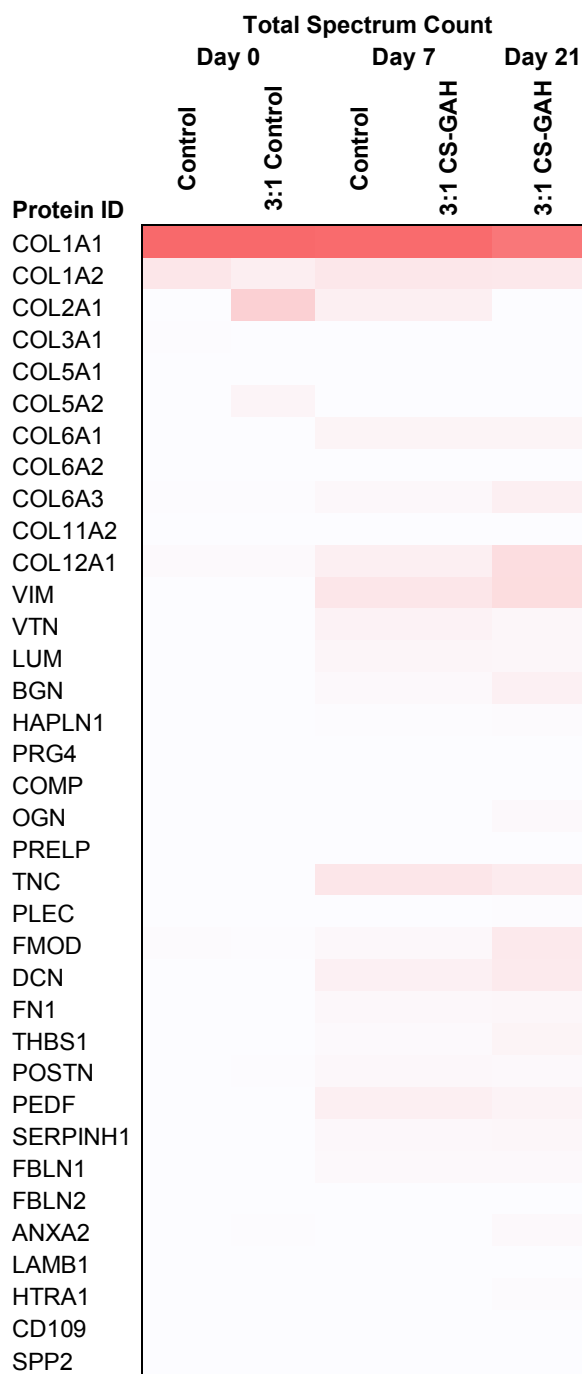


Figure 3.8.: Heat map showing the preliminary expression of proteins involved in cartilage synthesis, degradation, and homeostasis produced by the chondrocytes in the different collagen scaffolds (n=2). Increasing red gradient indicates an increase in the protein expression remaining in the gels.

### 3.4.5 Encapsulated chondrocytes produce ECM proteins involved in cartilage synthesis, degradation, and homeostasis

A preliminary set of chondrocyte embedded collagen gels collected at days 0, 7, and 21 were reduced, alkylated, and trypsin digested to perform LC MS/MS and detect the proteins produced by the chondrocytes that still remained in the collagen scaffolds. Figure 3.8 shows the proteins detected in the collagen scaffolds and Table 3.2 describes their roles in cartilage synthesis, degradation, and homeostasis. The results obtained show an increase in COL6A1, COL6A3, COL12A1, VIM, VTN, LUM, BGN, HAPLN1, OGN, TNC, FMOD, DCN, FN1, THBS1, POSTN, PEDF, SERPINH1, FBLN1, ANXA2, and HTRA1 production during the 21 day incubation period. In contrast, there was a decrease in COL2A1 and COL5A2 production.

Table 3.2.: Proteins detected in the chondrocyte embedded collagen gels.

Protein	ID	Function
Collagen type I	COL1A1 COL1A2	Confers tensile strength to the ECM [77].
Collagen type II	COL2A1	Makes up 90-95% of all the collagen in articular cartilage and forms the network of fibrils that contain the ECM proteoglycans [48, 49, 78]. Fragments induce pro-inflammatory cytokines and MMPs [50].
Collagen type III	COL3A1	Synthesized as a modifier of existing fibril networks in response to tissue and matrix damage [79].
Collagen type V	COL5A1 COL5A2	Regulator of collagen fibril formation, structure, and matrix organization [80].

*continued on next page*

Table 3.2.: *continued*

<b>Protein</b>	<b>ID</b>	<b>Function</b>
Collagen type VI	COL6A1	Forms a network that anchors the chondrocyte to the PCM in articular cartilage [81]. Mediates cell-matrix interactions and intermolecular interactions [82]. Transmits mechanical and osmotic stresses from the ECM to the chondrocytes [83].
	COL6A2	
	COL6A3	
Collagen type XI	COL11A2	Involved in PCM organization by interacting with cartilage proteoglycans [81, 84]. First cartilage collagen produced by MSCs during chondrogenic differentiation [85].
Collagen type XII	COL12A1	Protects tissue integrity [81, 86]. Mediates interactions between fibrils and matrix macromolecules and cells [81, 86, 87].
Vimentin	VIM	Contributes to chondrocyte stiffness [88].
Vitronectin	VTN	Mediates the attachment and spreading of cells to the ECM [89]. Binds collagen and GAGs [89].
Lumican	LUM	Mediator of TLR-4 activation of inflammation, cartilage degradation and macrophage polarization [90].

*continued on next page*



Table 3.2.: *continued*

<b>Protein</b>	<b>ID</b>	<b>Function</b>
Biglycan	BGN	Binds fibrillar collagens [91]. Activates chondrocytes via TLR-4 resulting in net loss of cartilage [92]. Possesses pro-inflammatory properties [92]. Plays a role in bone mineralization [93].
Hyaluronan and proteoglycan link protein 1	HAPLN1	Strengthens and stabilizes proteoglycan aggregates with HA in the ECM [94].
Lubricin (Proteoglycan 4)	PRG4	Plays a role in joint lubrication and synovial homeostasis [95–97].
Cartilage oligomeric matrix protein	COMP	Stimulates chondrocyte proliferation and MSC chondrogenesis [98–100]. Catalyzes collagen fibrillogenesis [101]. Enhances osteogenesis [102]. COMP fragments serve as biomarkers of OA [103].
Osteoglycin (Mimecan)	OGN	Induces bone formation in conjunction with BMPs and may regulate osteoblast differentiation [104, 105].
Prolargin	PRELP	Anchors basement membranes (perlecan) to the underlying connective tissue (collagen I and II) [106].

*continued on next page*

Table 3.2.: *continued*

<b>Protein</b>	<b>ID</b>	<b>Function</b>
Tenascin	TNC	Protects articular cartilage from proteoglycan depletion [107]. Promotes chondrocyte proliferation and increases proteoglycan content [108]. TNC fragments might induce cartilage matrix degradation [109].
Plectin	PLEC	Links the cytoskeleton to junctions found in the plasma membrane that connect different cells [110]. Acts as a link between actin microfilaments, microtubules, and intermediate filaments [110,111].
Fibromodulin	FMOD	Regulates collagen fibril assembly [112].
Decorin	DCN	Binds to collagen type I fibrils [113–115] and plays a role in fibrillogenesis [115–117] and matrix assembly [115,117,118].
Cumulus cell specific fibronectin 1 transcript variant	FN1	Contributes to protease-mediated damage to cartilage [119,120].
Thrombospondin-1	THBS1	Mediates cell-to-cell and cell-to-matrix interactions [121,122]. Binds to ECM ligands [123].

*continued on next page*

Table 3.2.: *continued*

<b>Protein</b>	<b>ID</b>	<b>Function</b>
Periostin	POSTN	Promotes cartilage degradation in OA by upregulating MMP-13 and ADAMTS4 through the canonical Wnt pathway [124, 125]. Contributes to the maturation and shape retention of tissue engineered cartilage [126]. Involved in osteoblast recruitment, attachment, and spreading [127].
Pigment epithelium-derived factor	PEDF	Heightens cartilage degeneration in an inflamed environment [128].
Serpin H1	SERPINH1	Binds specifically to collagen [129]. Plays a role in cartilage and endochondral bone formation [130].
Fibulin	FBLN1	Involved in the structural integrity of basement membranes and elastic fibers [131–133]. Mediates cellular processes and tissue remodeling [131].
	FBLN2	
Annexin A2	ANXA2	Increases osteoclast formation [134].
Laminin subunit $\beta$ 1	LAMB1	Binds to basement membrane proteins [135]. May guide or maintain chondrocyte differentiation [135].
Serine protease HTRA1	HTRA1	Damages the PCM network and alters chondrocyte metabolism [136].

*continued on next page*

Table 3.2.: *continued*

Protein	ID	Function
CD109 molecule	CD109	Regulates TGF- $\beta$ signaling [137, 138] and ECM protein production. May maintain cartilage function and integrity [138].
Secreted phospho-protein 24	SPP24	Affects BMP activity and regulates bone formation [139, 140].

ECM: extracellular matrix; MMPs: matrix metalloproteinases; PCM: pericellular matrix; GAGs: glycosaminoglycans; TLR-4: toll-like receptor 4; HA: hyaluronic acid; MSC: mesenchymal stem cells; OA: osteoarthritis; BMPs: bone morphogenetic proteins; ADAMTS4: a disintegrin and metalloproteinase with thrombospondin motifs-4; TGF- $\beta$ : transforming growth factor- $\beta$

### 3.5 Discussion

In this work, we studied the effects of the CS-GAH<sub>b</sub> molecule and its separate components on chondrocytes embedded in collagen type I constructs. Furthermore, we also studied the effects of the addition of collagen type II to the chondrocyte-embedded collagen type I gels with CS or CS-GAH<sub>b</sub>.

First, we measured the amount of GAGs and collagen released into cell culture media and the amount of GAGs retained in the gels to determine whether the CS-GAH<sub>b</sub> molecules and the separate components stimulated or prevented GAG and collagen degradation. The results suggest CS-GAH<sub>b</sub> gels are able to decrease GAG release into cell culture media (Figure 3.3) and increase GAG retention in the gels (Figure 3.4) in comparison to other gels with added CS. The addition of CS or CS-GAH<sub>b</sub> into the gels decreased collagen release from the gels (Figure 3.5). These results are consistent with Sharma *et al* where they saw a decrease in CS and collagen release

from chondrocyte-embedded collagen type I gels that incorporated CS-BMPH-GAH when compared to gels with CS and HA [12]. Similarly, we previously observed a decrease in CS released from bovine cartilage explants treated with CS-BMPH-GAH when compared to untreated explants (Figure S 3.9) [76]. These observations could be attributed to the CS-GAH<sub>b</sub> molecules binding to HA and forming denser and more stable gel networks that are able to limit the amount of CS and collagen being released. These results could also be due to the mimetic molecule's ability to protect from collagen and CS degradation, hence, decreasing GAG and collagen release into cell culture media and increasing GAG retention in the gels as observed previously [12, 66, 67, 76].

The collagen type II addition to the 3:1 collagen type I to II gels did not affect GAG retention and release (Figures 3.3B, 3.3D, 3.4B, and 3.4D). Similarly, Rutgers *et al* observed no significant differences in GAG release and retention between chondrocyte seeded collagen type I gels and chondrocyte seeded collagen type II gels [38]. Additionally, Pulkkinen *et al* compared chondrocytes in culture medium and chondrocytes seeded in recombinant human collagen type II gels injected into nude mice and did not observe significant differences in GAG content between these samples [36]. In contrast, Kontturi *et al* and Pieper *et al* have observed significant increases in GAG production in collagen type II gels [28, 40]. Even though collagen type II did not affect GAG retention and release, collagen type II addition increased the amount of collagen released into cell culture media when compared to collagen type I only gels. Collagen type II gels have been known to be difficult to synthesize due to collagen type II's lesser ability to form fibrils [17, 66] and incorporate into hydrogels [17] which could result in more collagen being released than incorporated into the gels. Future work should focus on studying the collagen content in the gels to determine the amount of collagen incorporated and the amount released.

In OA, chondrocytes produce proinflammatory cytokines involved in the degradation of the ECM and its components [141–145]. For this reason, we studied whether the incorporation of the CS-GAH<sub>b</sub> molecule and collagen type II in the chondrocyte

embedded gels affects the production and release of cytokines IL-6 and TNF- $\alpha$ . In OA, IL-6 enhances MMP-13 production stimulating proteoglycan degradation [145–147]. Meanwhile, TNF- $\alpha$  increases production of MMPs and a disintegrin and metalloproteinase with thrombospondin motifs (ADAMTS) [145,148–151], inhibits proteoglycan and collagen type II synthesis [145,152,153], and stimulates synthesis of proinflammatory cytokines such as IL-6 [145,154] and IL-8 [145,155]. Our results showed an initial increase in IL-6 and TNF- $\alpha$  production and release followed by a decrease in cytokine production at day 8 in gels containing CS-GAH<sub>b</sub> (Figures 3.6 and 3.7). Even though proinflammatory cytokine presence is largely associated with catabolic activities in the ECM, the relationship between cytokines and cartilage degradation and repair is a more complex system [145,156–161]. Previous work has revealed IL-6 to have anabolic effects on chondrocytes *in vitro* [156,157]. IL-6 has shown abilities to increase tissue inhibitor of metalloproteinases-1 (TIMP-1), transforming growth factor-1 (TGF-1), interleukin-1 receptor antagonist (IL-1ra), and collagen type II synthesis [156,162–165]. Moreover, chondrocytes, isolated from defects and OA cartilage, with an increase in inflammatory cytokine release were able to produce cartilaginous tissue *in vitro* similar to the tissue produced by healthy chondrocytes with respect to GAG production [157]. In previous studies, Sharma *et al* showed that CS-BMPH-GAH molecules incorporated in chondrocyte embedded collagen gels were able to increase compressive stiffness, decrease collagen and GAG release, and enhance aggrecan and collagen type II expression [67]. Similarly, the aggrecan mimic was able to reduce catabolic gene expression in a simulated OA environment [76]. These results coupled with our GAG retention and release, collagen release, and the initial spike in cytokine production observed in our experiments could be attributed to an early attempt at repair and could be a signal of anabolic activities in the ECM.

Finally, we identified the proteins expressed by the chondrocytes into the gels to determine whether the CS-GAH<sub>b</sub> molecules stimulated ECM protein production. Our preliminary results showed that 36 of the proteins expressed are involved in cartilage synthesis, degradation, and homeostasis (Figure 3.8 and Table 3.2) [166]. The

presence of collagen types I [77], II [48, 49], III [79], V [80], VI [81–83], XI [81, 84], and XII [81, 86, 87] are indicative of pericellular matrix (PCM) and ECM synthesis. Other proteins expressed that are involved in network synthesis, structure, stiffness, and maturation are periostin [126], CD109 molecule [138], hyaluronan and proteoglycan link protein 1 [94], decorin [113–118], fibromodulin [112], cartilage oligomeric matrix protein (COMP) [101, 167], lubricin [95–97], prolargin [106], biglycan [91], vitronectin [89], Serpin H1 [129], and fibulin [131–133]. Proteins involved in cell attachment, stiffness, proliferation, and interaction detected in the gels are vimentin [88], vitronectin [89], plectin [110, 111], COMP [98–100], thrombospondin-1 [121–123], laminin subunit  $\beta 1$  [135], and tenascin [108]. The identification of tenascin [108], vitronectin [89], and hyaluronan and proteoglycan link protein [94] also indicated the presence of proteins associated with increased proteoglycan content and proteoglycan binding. Proteins related to ECM degradation and homeostasis such as lumican [90], COMP [103], biglycan [92], periostin [124, 125], fibronectin [119, 120], pigment epithelium-derived factor [128], and serine protease HTRA1 [136] were also identified. Additionally, molecules associated with osteoclast and osteogenesis like biglycan [93], COMP [102], osteoglycin [104, 105], annexin A2 [134], and secreted phosphoprotein 24 [139, 140] were detected. The presence and interactions of all of these elements suggest the development and homeostasis of PCM and ECM and cell-matrix interfaces in the gels as previously observed by Wilson *et al* in femoral head cartilage obtained from mice at post-natal days 3 and 21 [168] and in 3-week neocartilage and 3-day post-natal mouse cartilage [169].

Based on all of the results obtained in the work presented, collagen type II did not significantly affect GAG retention and release, cytokine production, and protein expression in the gels. Collagen type II did increase collagen release which could be attributed to collagen type II not being fully incorporated in the gels. These results are consistent with previous work [36, 38]. However, collagen type II incorporation in gels has been known to stimulate a cartilaginous tissue synthesis [22, 33, 36] and

chondrocyte morphology [1,22,26,28]. These contrasting results suggest collagen type II interactions with chondrocytes need to be further elucidated.

### 3.6 Conclusion

The work discussed herein has shown that the incorporation of CS-GAH<sub>b</sub> in chondrocyte embedded collagen gels with HA, and not its separate components, is able to prevent GAG and collagen release. CS-GAH<sub>b</sub> also stimulated cytokine production during the initial days of scaffold culture. CS-GAH<sub>b</sub>, however, did not affect the chondrocytes' ECM protein expression in the gels. The incorporation of collagen type II into the collagen type I scaffolds did not significantly affect GAG and cytokine production and ECM protein synthesis, but did increase collagen release. Thus, these results suggest CS-GAH<sub>b</sub> incorporation into chondrocyte embedded collagen type I gels are promising constructs for articular cartilage repair. Addition of collagen type II to these gels could help maintain chondrocyte morphology and stimulate cartilaginous tissue synthesis, but needs to be further studied. Future work will focus on studying the effects of CS-GAH<sub>b</sub> and its separate components on the proteome of chondrocytes embedded in collagen gels over a 7 day period.

### 3.7 Acknowledgements

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### 3.8 Supporting Information

The supporting information consists of parts of a manuscript by Sharma S, Vazquez-Portalatin N, Calve S, and Panitch A, published in ACS Biomaterials Science & Engineering, Volume 2, Issue 2, 2016.

#### 3.8.1 Materials and Methods

Peptide GAHWQFNALTVRGGGC (GAH) and WYRGRLGC (WYRGRL) were purchased from Genscript (Piscataway, NJ).

#### Synthesis of molecules

Synthesis of collagen type I and HA binding peptidoglycans has been previously described by our laboratory [66, 170]. Utilizing the same methods, CS augmented with collagen type II and HA binding peptides were synthesized. Briefly, 2  $\mu$ M chondroitin-6 sulfate was oxidized by reacting with 30 mM sodium periodate for 48 h to form reactive aldehyde functional groups. A heterobifunctional crosslinker N-( $\beta$ -Maleimidopropionic acid) hydrazide, trifluoroacetic acid salt (BMPH) and sodium cyanoborohydride were added to the oxidized CS and reacted for 4 h. A glycine-cysteine spacer was added by the manufacturer to the C terminus of the respective binding peptides to yield GAHWQFNALTVRGGGC (HA binding peptide, referred to as GAH) and WYRGRLGC (collagen type II binding peptide, referred to as WYRGRL). Ten binding peptides of either GAH or WYRGRL were conjugated to the CS-BMPH molecule by allowing the thiol group on the peptides to react with the maleimide group of BMPH for 2 h. Peptides were added at a 1:1 molar ratio of peptide:CS. The end product of the functionalized molecules yielded CS-BMPH-WYRGRL (type II-binding molecule) and CS-BMPH-GAH (HA-binding molecule). Molecules were separated using size exclusion chromatography with an ÄKTA Purifier FPLC (GE Healthcare) and a column packed with polyacrylamide beads (Bio-Rad

Labs). After synthesis, molecules were lyophilized and stored at  $-80^{\circ}\text{C}$  until further testing.

### **Tissue harvest**

Cartilage explants were obtained from three month old bovine knee joints obtained from an abattoir within 24 h of slaughter (Dutch Valley Veal, South Holland, IL). The explants were removed using a 3 mm diameter cork borer from the load bearing region of the femoral condyle and washed three times in serum free DMEM medium with 50  $\mu\text{g}/\text{mL}$  ascorbic acid 2-phosphate, 100  $\mu\text{g}/\text{mL}$  sodium pyruvate, 0.1% BSA, 100 units/mL penicillin, 100  $\mu\text{g}/\text{mL}$  streptomycin and 25 mM HEPES. Explants required for *ex vivo* inflammatory model were weighed in a sterile hood and cultured for three days in 5% FBS supplemented media prior to testing.

### ***Ex vivo* inflammatory model**

#### **Aggrecan Removal**

An *ex vivo* model stimulating early OA like conditions was designed by removing aggrecan from cartilage explants. Freshly harvested explants were cultured for three days in DMEM/F-12 media (50  $\mu\text{g}/\text{mL}$  ascorbic acid 2-phosphate, 100  $\mu\text{g}/\text{mL}$  sodium pyruvate, 0.1% BSA, 100 units/mL penicillin, 100  $\mu\text{g}/\text{mL}$  streptomycin and 25 mM HEPES) supplemented with 5% FBS [171]. Native aggrecan was removed from harvested cartilage explants using a previously described protocol [172]. Briefly, plugs were treated with 0.5% (w/v) trypsin in Hank's Balanced Salt Solution (HBSS) for 3 h at  $37^{\circ}\text{C}$ . After trypsin treatment, explants were washed three times in HBSS and incubated with 20% FBS to inactivate residual trypsin activity.

#### **Diffusion of molecules through cartilage explant**

Following trypsin treatment, molecules were diffused through aggrecan depleted cartilage explants. Molecules dissolved in distilled water at 10  $\mu\text{M}$  concentration

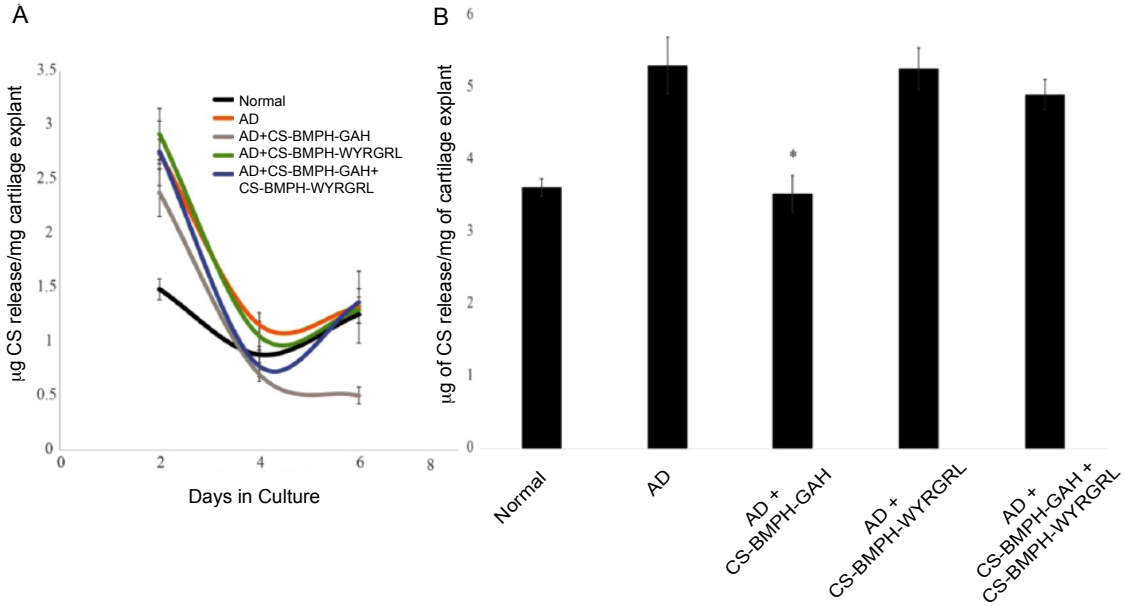


Figure S 3.9.: CS released into cell culture media over a seven day culture period. CS release was (A) measured every 2 days and (B) measured as a culmination of seven day culture period. CS loss was measured by a DMMB assay. The addition of CS-BMPH-GAH, has a significant effect on preventing loss of CS from the aggrecan-depleted (AD) scaffolds ( $p < 0.01$ ). \* denotes statistical significance between explants treated with CS-BMPH-GAH after aggrecan depletion and explants left untreated. Bars represent average  $\pm$  SEM ( $n=9$ ).

were diffused through the articular surface of cartilage explants by placing 10  $\mu$ L of the solution on the surface every ten minutes for one hour at room temperature. The molecules diffused included: 1) CS-BMPH-GAH, 2) CS-BMPH-WYRGRL, and 3) CS-BMPH-GAH+CS-BMPH-WYRGRL. Normal cartilage and aggrecan depleted cartilage were treated with 1x PBS as positive and negative controls, respectively. The explants were cultured for three weeks. Experimental conditions were: 1) Normal cartilage, 2) aggrecan depleted cartilage (AD), 3) AD+CS-BMPH-GAH, 4) AD+CS-BMPH-WYRGRL and 5) AD+CS-BMPH-GAH+CS-BMPH-WYRGRL. Culture medium was replaced every two days for 21 days. Media aliquots collected were stored at  $-80^{\circ}\text{C}$  for fragmentation assessment.

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## 4. ULTRASOUND-GUIDED INTRA-ARTICULAR INJECTIONS IN GUINEA PIG KNEES

This chapter consists of a manuscript by Vazquez-Portalatin N, Breur GJ, Panitch A, and Goergen CJ, published in Bone and Joint Research, Volume 4, Issue 1, 2015.

### 4.1 Abstract

Dunkin Hartley guinea pigs, a commonly used animal model of osteoarthritis, were used to determine if high frequency ultrasound can ensure intra-articular injections are accurately positioned in the knee joint. A high-resolution small animal ultrasound system with a 40 MHz transducer was used for image-guided injections. A total of 36 guinea pigs were anaesthetized with isoflurane and placed on a heated stage. Sterile needles were inserted directly into the knee joint medially, while the transducer was placed on the lateral surface, allowing the femur, tibia, and fat pad to be visualized in the images. B-mode cine loops were acquired during 100  $\mu$ L. We assessed our ability to visualize 1) important anatomical landmarks, 2) the needle and 3) anatomical changes due to the injection. From the ultrasound images, we were able to visualize clearly the movement of anatomical landmarks in 75% of the injections. The majority of these showed separation of the fat pad (67.1%), suggesting the injections were correctly delivered in the joint space. We also observed dorsal joint expansion (23%) and patellar tendon movement (10%) in a smaller subset of injections. The results demonstrate this image-guided technique can be used to visualize the location of an intra-articular injection in the joints of guinea pigs. Future studies using an ultrasound-guided approach could help improve the injection accuracy in a variety of anatomical locations and animal models, in the hopes of developing anti-arthritis therapies.

## 4.2 Introduction

Osteoarthritis is a chronic joint condition characterized by joint pain, crepitus, reduction of range of movement and variable degrees of inflammation, cartilage erosion and subchondral changes in bone. It is estimated that somewhere between 15% and 20% of the entire US population suffers from some form of arthritis [1]. While osteoarthritis occurs at multiple locations, cartilage breakdown in the knee leads to significant comorbidities since these are weight-bearing joints [2]. The development of locally injected anti-arthritic drugs to limit or even prevent cartilage breakdown is a current area of research [3–6], but it is difficult to ensure that injected material is deposited adjacent to cartilage in the synovial space. These false positives can lead to question of whether a therapy is not working correctly or has simply not been delivered to the right location. Previous clinical studies in humans have demonstrated that ultrasound guidance will improve the accuracy of an intra-articular injection [7–10], but similar studies that focus on animal disease models have not been conducted. Indeed, the small body size of many laboratory animals requires high frequency ultrasound capable of producing images with good image resolution at shallow depths [11–13].

Dunkin Hartley guinea pigs develop spontaneous, age-related osteoarthritis, characterized by cartilage degeneration, osteophyte formation, subchondral bone changes and synovitis, making these animals a popular disease model for the human condition [14]. These albino guinea pigs have histological evidence of osteoarthritis as early as three months old and disease severity continues to increase with age. Previous work has shown that proteoglycan content increases, collagen concentration in cartilage decreases, and radiological changes (including osteophyte formation, sclerosis of subchondral bone, femoral condyle cyst formation and calcification of collateral ligaments) present more in older animals [14]. At the level of the tibial plateau, their knee joints are typically 2 cm to 3 cm in the cranio-caudal direction and 1 cm to 2 cm in the medio-lateral direction. The joint is  $< 1$  cm below the skins surface in

the parapatellar region. These features thus make visualization with high frequency ultrasound possible.

The purpose of this study was to determine if high-frequency ultrasound could be used to ensure intra-articular injections were correctly deposited in the knee joint. While we focused on the knees of Dunkin Hartley guinea pigs, the methods of ultrasound-guided injection described here could be used to improve accuracy of injection in a variety of small animal models. Indeed, joint visualization should be possible as long as the anatomy allows for both needle insertion and placement of the ultrasound transducer head. We did not focus on direct visualization of the needle with ultrasound, but rather the movement and changes within the intra-articular space in order to quantify the location of the injection. Future small animal studies using this ultrasound-guided approach can help confirm intra-articular deposition of injected fluid and aid in the development of anti-arthritis therapies.

### **4.3 Materials and Methods**

#### **4.3.1 Animal Model**

A total of 216 injections in 36 Dunkin Hartley guinea pigs were imaged for this study: 12 animals received one injection bilaterally, 12 animals received three injections bilaterally, and 12 animals received five injections bilaterally. The right stifle joints were injected with 100  $\mu$ L of phosphate-buffered saline and the left joints with 100  $\mu$ L of a proteoglycan (aggrecan) mimetic. Animals were anaesthetized with 2% to 5% isoflurane in 2 L/min O<sub>2</sub> using a chamber and a mask. The hair covering both knee joints was removed with clippers and the skin was scrubbed with chlorhexidine gluconate (2% dilution) and sterile saline. The rate of respiration was monitored visually and each animal was placed on a heated stage to maintain body temperature. Depth of anaesthesia was assessed with periodic toe pinches. Alcohol swabs were used to clean the transducer and stage between animals.



Figure 4.1.: Photograph of intra-articular injections in the right knee of a guinea pig. Image shows the ultrasound transducer, needle and knee during the injection. The right hand of the operator held the syringe that was inserted in the joint, while the left hand controlled the positioning of the limb of the guinea pig. The ultrasound probe, clamped to an adjustable rail system (not shown), was oriented in a craniolateral-caudomedial oblique direction.

#### 4.3.2 Ultrasound Imaging

A high-resolution small animal ultrasound system was used for the image-guided injections (Vevo2100, FUJIFILM VisualSonics Inc., Toronto, Canada). A 256-element, 40 MHz linear transducer with a 7.0 mm geometric focus (MS550D) was clamped to an adjustable bench-mounted rail system designed for the positioning of a small animal. The axial, lateral and elevation image resolution were 40  $\mu\text{m}$ , 90 $\mu\text{m}$ , and 193  $\mu\text{m}$ , respectively. Guinea pigs were positioned in dorsal recumbency on the heated stage such that the knee joint could be easily manipulated (Figure 4.1).

A sterile 28-gauge needle was then inserted approximately halfway between the patella and tibial tuberosity, just medial to the patellar tendon. The needle was inserted into the knee joint until it was in direct contact with the medial condyle of the femur, without the benefit of ultrasound visualization (Figure 4.1). We then applied sterile ultrasound gel over the lateral joint area before lowering the ultrasound

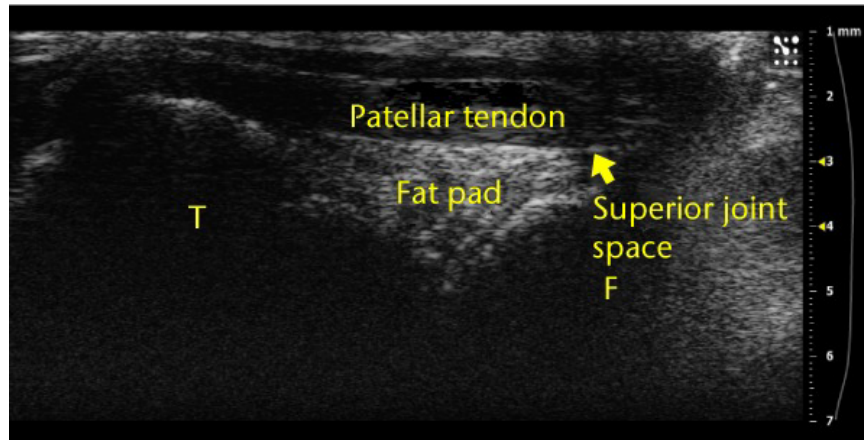


Figure 4.2.: Example ultrasound image of a knee joint with labels over the femur ('F'), tibia ('T'), superior joint space and fat pad. These anatomical landmarks were observed for each injection.

probe to the animal. Moderate extension of the joint and firmly pushing the back of the leg against the transducer surface is crucial to visualize the tibial plateau, medial femoral condyle and fat pad at the same time. We further refined the joint position until lucent lines separating the fat pad and tibia and fat pad and femur, representing joint space and articular cartilage, were clearly distinguishable (Figure 4.2). The needle was inserted at an angle of  $45^\circ$  to the long axis of the ultrasound transducer in order to image the entire joint, including the femur, tibia and fat pad. Once an acceptable orientation was obtained, a 500-frame B-mode cine loop of approximately five seconds was acquired during the  $100\ \mu\text{L}$  injection of aggrecan mimic or PBS (control). For each animal, one knee received aggrecan mimic injections while the contralateral joint received PBS injections. We then assessed our ability to visualize 1) important anatomical landmarks, 2) the needle and 3) anatomical changes due to the injection.

#### 4.4 Results and Discussion

Anatomical landmarks of the knee joint could be distinguished from the ultrasound images in all animals (Figure 4.2) and clearly visualized 75% of the injections (Table

4.1). We defined 'visualized' injections as those where separation, movement, or expansion due to the build-up of intra-articular fluid was observed of the fat pad, of the joint space dorsal to the patella and trochlea, or of the patellar tendon. Separation of the fat pad was identified when the fat pad was physically pushed away from the femur or tibia (Figure 4.3). Dorsal joint expansion was identified when fluid or bubbles moved into the joint space proximal to the patella or trochlea. Finally, movement of the patellar tendon was identified as injections that led to a pressure increase that caused the tendon to bend or arch. No differences between injections of aggrecan mimic or PBS were observed. Table 4.1 summarizes our results by identifying and characterizing several anatomical features and observed movement due the injections.

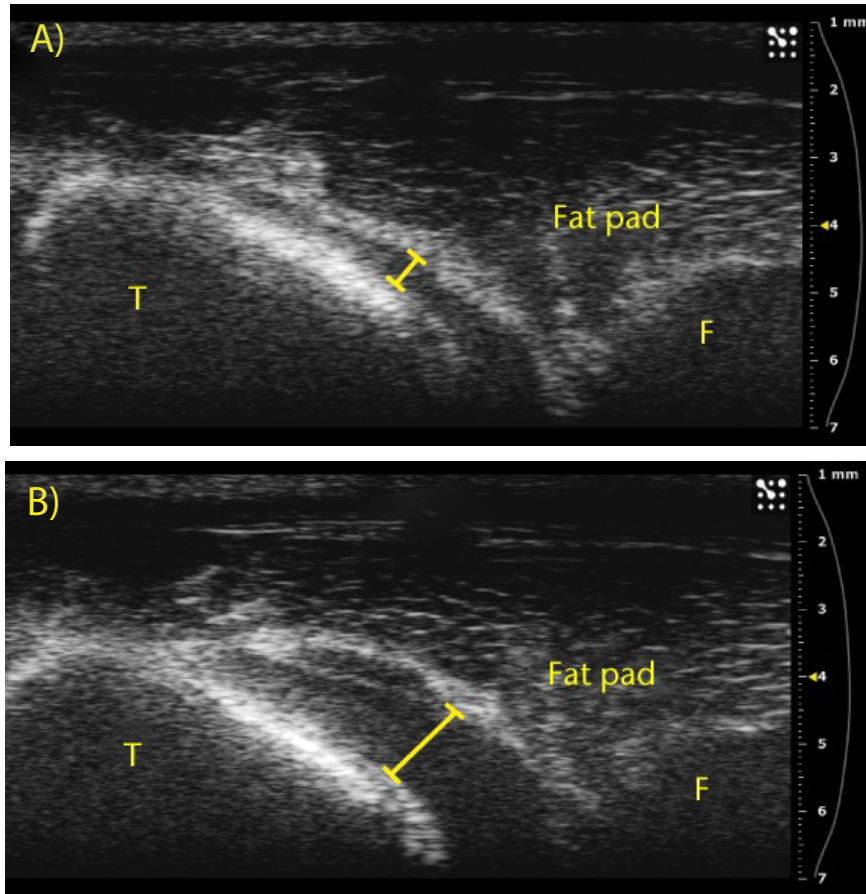


Figure 4.3.: Ultrasound images showing substantial separation of the fat pad from the tibia (A) before and (B) after an injection.

Table 4.1.: Summary of total injections visualized and anatomical landmarks observed. We quantified the number and percentage of injections where the needle or movement of the fat pad, superior joint space and/or patellar tendon was clearly observed.

	Number of Injections	Visualized	Needle Observed	Fat Pad Observed	Separation of Fat Pad	Superior Joint Space Observed	Superior Joint Space Expansion	Patellar Tendon Observed	Patellar Tendon Movement
n (%)	216	162 (75.0)	21 (9.7)	205 (95.0)	145 (67.1)	108 (50.0)	50 (23.1)	92 (42.6)	22 (10.2)

The vast majority of the ultrasound images where the injection was visualized also showed separation of the fat pad (145 of 162; 89.5%), providing confidence that the injected fluid was delivered to the correct location. Furthermore, proximal/superior joint expansion was observed both with and without separation of the fat pad. Only 12 of the 50 injections where dorsal joint space expansion was observed occurred without separation of the fat pad. We also observed small bubbles in 28 of the images (Figure 4.4A), an occurrence that increased echogenicity of the injected fluid and helped with visualization. Finally, five injections led to subcutaneous expansion below the skin surface but above the patellar tendon (Figure 4.4B).

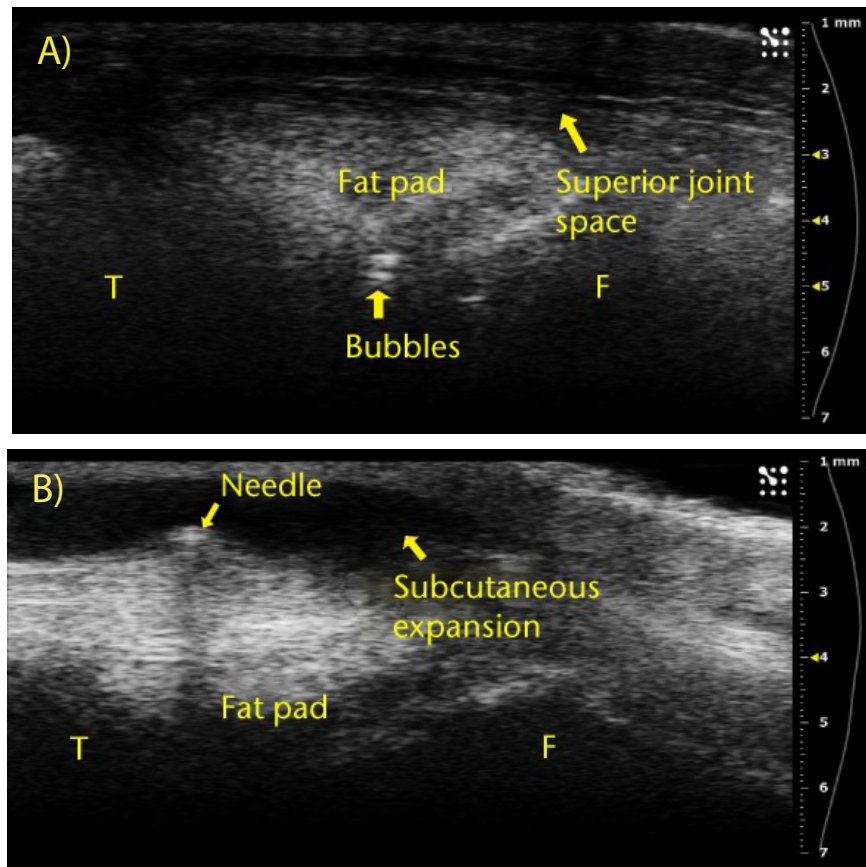


Figure 4.4.: Ultrasound images showing (A) that bubbles helped visualize the injections and were clearly observed in the joint space between femur and fat pad. The needle (B) and subsequent metal shadow are clearly seen during an injection that created subcutaneous expansion.



The results of this study suggest that high frequency ultrasound can be used to measure the accuracy of intra-articular injections in the knee joints of Dunkin Hartley guinea pigs. Because of the size of the stifle joint and the need to maintain aseptic technique, insertion of the needle under ultrasound guidance was not possible. However, we were able to demonstrate that 75% of the injections were deposited intra-articularly. The small knee joint size, difficulties with probe positioning, variation in the location of the needle tip and unanticipated animal limb movement during the injection are likely reasons why we were not able to visualize 25% of the injections. Furthermore, even though we made a strong effort to keep the ultrasound probe position consistent, the innate variation between animals and the fast injection times meant that visualization was not always possible. In future studies, longer cine loops of more than 500 frames can be obtained to help ensure the exact time of injection is acquired with the ultrasound system.

We realized during this study that several small changes could be made to improve visualization of the injection. First, we began adding a small amount of air into the syringes in order to create bubbles during the injection. These bubbles helped us distinguish exactly where the injected fluid appeared and spread. Similarly, contrast-enhanced ultrasound using gas-filled microbubbles has become common in clinical imaging [15]. Second, the knee joints were also placed on the left side of the image such that both the fat pad and superior joint space could be visualized at the same time. This joint placement facilitated observation of injections that spread from the center of the joint into the superior joint space. Finally, small movements of the needle, before the injection, allowed us to localize the tip location by either visualization of the metal artifact caused by the needle, or from movement of adjacent tissue. While the  $90^\circ$  orientation difference between the probe and needle reduced our ability to directly visualize the tip, small amounts of movement of the needle helped to ensure tip placement near the cartilage surface. Our experience also suggests that both the success of the injection and quality of the image improves with practice.

This high frequency technique of ultrasound injection could be used to help guide intra-articular needle placement for studies that focus on developing treatments for osteoarthritis with a variety of animal models. While we focused on the knee in this study, the hip, shoulder, elbow, or any other joint where arthritis is common, could also benefit from the use of ultrasound to improve the accuracy of injections. This is particularly important as advancements in protein and tissue engineering have led to exciting new potential candidates that may one day slow, prevent, or even reverse cartilage damage [5,6].

The choice of animal models and anatomy will influence the ideal ultrasound frequency and position of the probe. Larger animals or joints deep within the body would likely require transducer frequencies of  $<40$  MHz due to the inverse relationship between frequency and depth. In other words, lower frequency ultrasound can penetrate deeper into the body, but then produces images with a lower resolution. Furthermore, joint anatomy and positioning are important to consider as ultrasound does not easily penetrate calcified bone. The dense femur and tibia comprising the knee required us to place the joint in moderate flexion such that the intra-articular space could be visualized. Thus, ultrasound probe placement, in addition to needle insertion, may require some adjustments depending on the size, depth and orientation of the region of interest. If the desired location is not reached, an injection can always be repeated to ensure proper administration. Indeed, clinical studies have shown that the use of ultrasound improves the accuracy of injection in the knee [7,10] and hip [9].

#### **4.5 Conclusion**

In conclusion, these data suggest that high frequency ultrasound can be used to visualize intra-articular needle placement and injections in small animals. Separation of the fat pad from the cartilage surface showed that fluid accumulates in the correct location within the knee joint. This method could also be used with different fre-

quency probes for other animal models to ensure the correct positioning of injections when developing anti-osteoarthritic therapies.

## 4.6 Acknowledgements

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## 5. CONCLUSIONS AND FUTURE WORK

### 5.1 Conclusions

Articular cartilage tissue engineering aims to repair damaged cartilage by introducing a combination of cells, scaffold, and bioactive factors that altogether mimic articular cartilage. This thesis took into account the articular cartilage components and the protective role of aggrecan in cartilage degradation to develop scaffolds composed of collagen type I or blends of collagen type I and II that also incorporate the aggrecan mimic. The ultimate goal was to develop a scaffold that could halt the progression of OA and stimulate cartilage repair.

Collagen type I has been extensively used in articular cartilage tissue engineering for over 20 years. However, instead of collagen type I, collagen type II makes up the majority of the collagen present in articular cartilage [1, 2]. Previous studies have shown that collagen type II is able to stabilize chondrocyte morphology [3–6] and stimulate collagen type II synthesis [7–14], but it also exhibits poor mechanical properties [15, 16]. Collagen type II gels have been known to be difficult to synthesize due to collagen type II's lesser ability to form fibrils and incorporate into hydrogels [17]. The focus of Chapter 2 was to create hydrogels composed of different ratios of collagen type I to collagen type II to elucidate their unique properties. The collagen blend hydrogels were able to incorporate both types of collagen and retain chondroitin sulfate (CS) and hyaluronic acid (HA). Using cryoscanning electron microscopy images, we were able to show the 3:1 ratio of collagen type I to type II gels had a lower void space percentage (36.4%) than the 1:1 gels (46.5%). The complex modulus was larger for the 3:1 gels ( $G^*=5.0$  Pa) compared to the 1:1 gels ( $G^*=1.2$  Pa). Our results demonstrated that the addition of collagen type II alters gel formation, network structure, and mechanical properties. The 3:1 collagen type I to collagen type II ratio blend

formed consistent gels with superior mechanical properties compared to the other blends studied. For this reason, the 3:1 collagen gels were used in subsequent studies.

In Chapter 3, we explored whether an aggrecan mimic, CS-GAH<sub>b</sub>, composed of CS and HA binding peptides, GAH, and not its separate components, is able to prevent glycosaminoglycan (GAG) and collagen release when incorporated into chondrocyte-embedded collagen gels. Previously, the aggrecan mimic was synthesized using a BMPH crosslinker and was named CS-BMPH-GAH [17]. In Chapter 3, we introduce a new chemistry to synthesize CS-GAH<sub>b</sub> without the crosslinker. Bovine chondrocytes were cultured and embedded in collagen type I scaffolds with CS, GAH, CS and GAH, or CS-GAH<sub>b</sub> molecules. Gels composed of 3:1 collagen type I and II with CS or CS-GAH<sub>b</sub> were also studied. Our findings show that the incorporation of CS-GAH<sub>b</sub> in chondrocyte-embedded collagen gels with HA, and not its separate components, is able to prevent GAG and collagen release. CS-GAH<sub>b</sub> also stimulated cytokine production during the initial days of scaffold culture. CS-GAH<sub>b</sub>, however, did not affect the chondrocytes' ECM protein expression in the gels. The incorporation of collagen type II into the collagen type I scaffolds did not significantly affect GAG and cytokine production and ECM protein expression in the gels, but did increase collagen release. The results suggest the complex interaction between CS-GAH<sub>b</sub>, the chondrocytes, and the gel matrix make these scaffolds promising constructs for articular cartilage repair.

In Chapter 4, we used Dunkin Hartley guinea pigs, commonly used as an animal model of osteoarthritis, to determine whether high frequency ultrasound can ensure intra-articular injections are accurately positioned in the knee joint. A high-resolution small animal ultrasound system with a 40 MHz transducer was used for image-guided injections of 1x PBS or the aggrecan mimic. We assessed our ability to visualize important anatomical landmarks, the needle, and anatomical changes due to the injection. From the ultrasound images, we were able to visualize clearly the movement of anatomical landmarks in 75% of the injections. Separation of the fat pad from the cartilage surface, observed in 67.1% of the injections, showed that fluid accumulates

in the correct location within the knee joint. We also observed dorsal joint expansion (23%) and patellar tendon movement (10%) in a smaller subset of injections. Our results demonstrated that high frequency ultrasound can be used to visualize intra-articular needle placement and injections in small animals. This method could also be used with different frequency probes for other animal models to ensure the correct positioning of injections when developing anti-osteoarthritic therapies.

All of the work presented here suggests that the aggrecan mimic, CS-GAH<sub>b</sub>, is able to protect collagen and GAGs in the gels and prevent them from being released into the scaffold surroundings, thus, maintaining the scaffold composition. Moreover, the results obtained with the aggrecan mimic could be attributed to an early attempt at repair and could be a signal of anabolic activities in the ECM. Since we are able to effectively inject the aggrecan mimic into the knee joints in small animals, the aggrecan mimic has shown a strong potential for use in cartilage repair, molecular therapy design, and articular cartilage tissue engineering.

## 5.2 Future Work

Future work will further explore the chondrocyte-embedded collagen type I gels with HA and the aggrecan mimic to determine whether the addition of collagen type II to the gels helps maintain chondrocyte morphology and stimulate cartilaginous tissue synthesis. We will also study the collagen content in the gels and culture medium to determine the amount of collagen incorporated in the gels and the amount released into the surroundings. These experiments will help us further understand the role and effects of collagen type II in these chondrocyte-embedded scaffolds. Moreover, the effects of the aggrecan mimic incorporation into the chondrocyte-embedded collagen gels will be assessed by analyzing the proteins remaining in the collagen gels via LC MS/MS. The collagen type I and collagen type I and II gels with CS, GAH, CS and GAH, and CS-GAH<sub>b</sub> will be analyzed after a 7 day culture period. These experiments

will help us better understand these scaffolds and their potential for articular cartilage tissue engineering.

### 5.3 References

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## APPENDICES

## A. CHARACTERIZATION OF COLLAGEN AND ELASTIN BLEND HYDROGELS

### A.1 Abstract

Collagen and elastin networks are present in the extracellular matrix (ECM) of many organs including the skin, blood vessels, and lungs. Thus, collagen and elastin composites have been proposed for use in vascularisation, wound repair, and the development of alveolar and lung replacements. In this study, we characterized gels composed of collagen and elastin blends (1:0, 2:1, 1:1, 2:3, 1:2, and 1:5) to elucidate their unique properties. We demonstrated that the addition of varying amounts of elastin alters collagen fibrillogenesis and D-banding pattern. The addition of elastin in varying ratios does not seem to affect fibril diameter, but has shown to decrease storage modulus. The work presented here serves as a deeper understanding of how varying amounts of elastin affect collagen constructs. This knowledge will prove useful when developing collagen and elastin composites for tissue engineering in the future.

### A.2 Introduction

Collagen has been extensively used in tissue engineering due to its biocompatibility, abundant presence in body tissues, and wide clinical approval [1–5]. However, collagen constructs do not fully mimic the complex composition and network as well as the biological and mechanical properties observed in native tissues [4]. Previous work has focused on the introduction of other ECM components to their construct to develop more complex materials that possess strong mechanical and biological properties and are reminiscent of native tissue composition and network organization [4, 6–24].

Elastin is a structural protein present in connective, vascular, and load-bearing tissues [6, 25, 26] that has also been used in tissue engineering due to its elastic mechanical properties [4, 9, 27–31]. Collagen and elastin networks are present in the ECM of many organs including the skin, blood vessels, and lungs [25, 26, 30]. Thus, collagen and elastin composites have been proposed for use in vascularisation [6, 10, 31, 32], wound repair [30, 31, 33, 34], and the development of alveolar and lung replacements [9].

In the work presented here, we characterized gels composed of collagen and elastin blends to better understand the effect of elastin addition to collagen fibril formation and the mechanical properties of collagen constructs.

### **A.3 Materials and Methods**

#### **A.3.1 Gel Preparation**

Rat tail collagen type I with neutralization solution was purchased from Advanced Biomatrix (San Diego, CA). Soluble elastin from bovine neck ligament was purchased from Elastin Products Company, Inc (Owensville, MO). Stock collagen solutions were prepared on ice by combining 1 part of the neutralizing solution and 9 parts of the collagen type I solution for the desired volume. Stock elastin solutions were prepared in 1x PBS at a concentration of 100 mg/mL. The stock collagen and elastin solutions were used to prepare collagen type I:elastin mixtures with ratios of 1:0, 2:1, 1:1, 2:3, 1:2, and 1:5 (Table A.1). The collagen and elastin mixtures were dispensed into 8 mm diameter silicone molds, covered with glass slides, and incubated overnight at 37 °C and 5% CO<sub>2</sub>. The gels were stored in 1x PBS for a day at 4 °C before measuring their mechanical properties.

#### **A.3.2 Fibrillogenesis Assay**

Collagen and elastin hydrogel mixtures (n=9) were prepared on ice and diluted 1:10 in 1x PBS for the fibrillogenesis assay. Samples of 200  $\mu$ L were dispensed into 96-

Table A.1.: Concentration of collagen type I and elastin in different ratio hydrogels.

Gel Ratio	Collagen (mg/ml)	Elastin (mg/ml)
1:0 C	3.6	0
2:1	3.6	1.8
1:1	3.6	3.6
2:3	3.6	5.4
1:2	3.6	7.2
1:5	3.6	18

well plates and the fibrillogenesis of the samples was measured as described previously [35]. Briefly, the turbidity of the samples was monitored at 313 nm every 30 s for 3 h in a SpectraMax M5 spectrophotometer set at 37 °C (Molecular Devices, San Jose, CA).

### A.3.3 Transmission Electron Microscopy (TEM)

A subset (1:0 C, 1:1, and 1:5) of the collagen and elastin hydrogel solutions was prepared on ice and diluted 1:10 in 1x PBS. Samples of 7  $\mu$ L were added to 200 mesh copper-coated grids and allowed to settle for 10 min. The sample excess was removed and 10  $\mu$ L of a 2% uranyl acetate stain were added and removed immediately. A Talos L120C transmission electron microscope (FEI, Hillsboro, OR) was used to image the samples after allowing them to air dry.

Collagen D-banding patterns ( $n \geq 46$ ) were measured using ImageJ software (National Institutes of Health, Bethesda, MD). A parallel line was drawn along a fibril, over a light and a dark band, to obtain a measurement. ImageJ software was also used to measure the fibril diameter ( $n \geq 46$ ) as described previously [14]. A perpendicular line was drawn across a fibril to obtain the diameter.

### A.3.4 Rheological Measurements

A DHR-3 rheometer (TA Instruments, New Castle, DE) was used to perform rheological analysis with an 8 mm crosshatched plate geometry. Gels ( $n \geq 16$ ) were placed on a crosshatched bottom plate surrounded by 1x PBS to prevent dehydration. Temperature sweeps were performed from 20 °C to 40 °C with a frequency of 0.1 Hz and a controlled stress of 0.3 Pa.

### A.3.5 Statistical Analysis

Results are represented as a mean with error bars corresponding to the standard deviation. Statistical analysis was performed using GraphPad Prism (GraphPad Software, San Diego, CA) with  $\alpha = 0.05$  and significance was determined with  $p$  value  $< 0.05$ . All results were analyzed using single factor analysis of variance (ANOVA) and Tukey's *post hoc* tests or Dunnett's multiple comparisons tests.

## A.4 Results

### A.4.1 Elastin increases the rate of fibrillogenesis

The collagen and elastin solutions for the different blends (1:0 C, 2:1, 1:1, 2:3, 1:2, and 1:5) were used to measure their turbidity. The addition of elastin to the collagen gel solutions increases the rate of fibrillogenesis and the optical density at 313 nm when compared to a collagen only solution (Figure A.1). All of the collagen and elastin blend gel solutions showed a significantly higher rate of fibrillogenesis than control, but were not significantly different to each other.

### A.4.2 Elastin addition decreases the collagen D-banding pattern

TEM images were used to measure the D-banding pattern of collagen fibrils present in a subset (1:0 C, 1:1, and 1:5) of the collagen and elastin blend hydro-

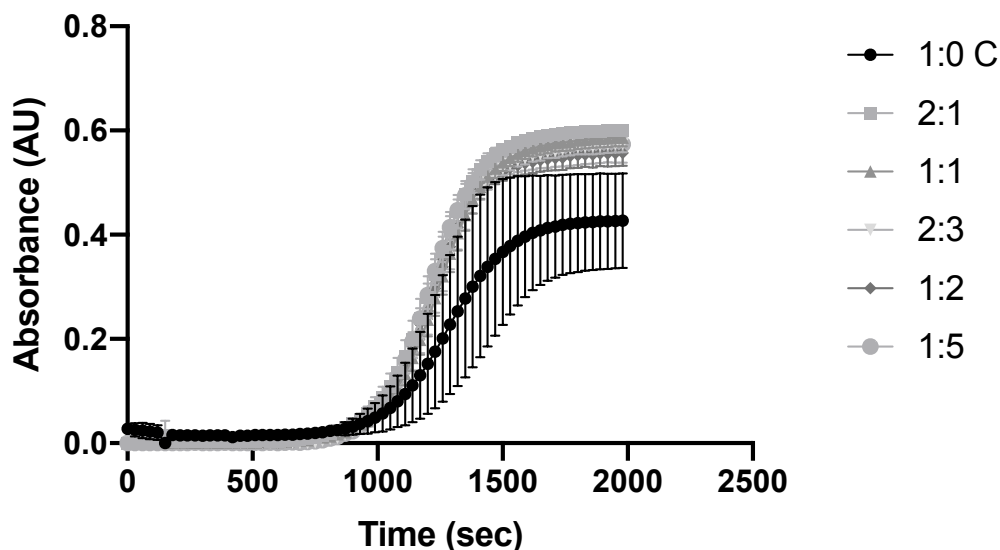


Fig. A.1.: Turbidity measurements during fibrillogenesis for collagen and elastin blend gel solutions. Addition of elastin significantly increases the rate of fibrillogenesis ( $p < 0.05$ ). Data represents average  $\pm$  standard deviation ( $n=3$ ).

gels (Figure A.2). A parallel line to the collagen fibril was drawn over the D-banding pattern (a light and a dark band) to obtain a measurement. The results were compared to the control and showed the addition of elastin to the 1:5 collagen and elastin solutions significantly decreased the D-banding pattern observed in the collagen fibrils with respect to the D-banding pattern observed in the control gels (Figure A.3 and Table A.2). No significant difference was observed between the 1:0C and 1:1 collagen and elastin blends.

#### A.4.3 Elastin addition does not affect collagen fibril diameter

The fibril diameter of the collagen fibrils present in the collagen and elastin solutions was measured by using TEM images (Figure A.2) and drawing a perpendicular line across a fibril. The results obtained showed no significant differences among the collagen and elastin blends (1:1 and 1:5) and control (Figure A.4). Table A.3 shows

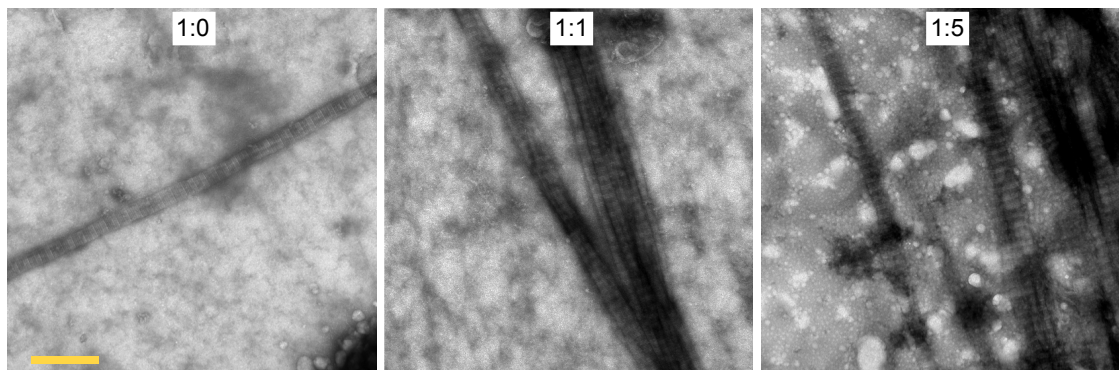


Fig. A.2.: Representative TEM images of collagen fibrils observed in 1:0, 1:1, and 1:5 collagen and elastin blend gels. TEM images were used to measure D-banding pattern and fibril diameter. Scale bar: 500 nm.

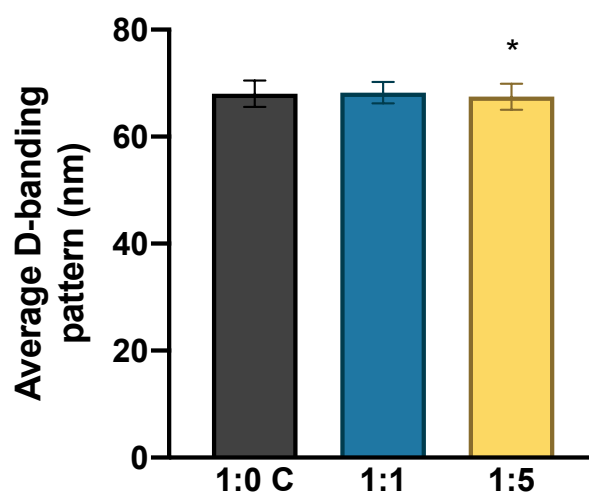


Fig. A.3.: Average D-banding pattern and standard deviation values for fibrils observed in gels ( $n \geq 46$ ) prepared from mixtures of 1:0 C, 1:1, and 1:5 collagen type I to elastin. One-way ANOVA and Dunnett's method for comparisons with control were performed. A significant difference ( $p < 0.05$ ) was observed between 1:0 C and 1:5 collagen and elastin blends.

the average fibril diameter, standard deviation, and  $p$ -values for fibrils present in the 1:0 C, 1:1, and 1:5 gels.



Table A.2.: Average D-banding pattern, standard deviation, and  $p$  values for fibrils observed in gels prepared from mixtures of 1:0 C, 1:1, and 1:5 collagen type I to elastin.  $P$ -values were obtained by performing Dunnett's method for comparisons with control.

Gel Type	N	Avg D-banding Pattern (nm)	Standard Deviation	$p$ value
1:0 C	62	68.15	1.582	
1:1	46	68.25	1.992	0.9409
1:5	48	67.30	2.087	0.0366

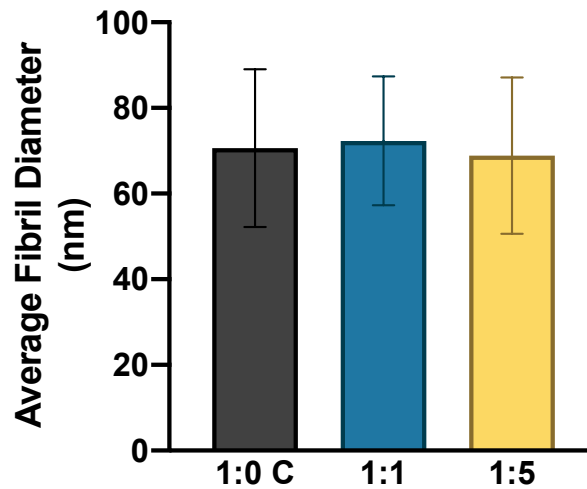


Fig. A.4.: Average fibril diameter and standard deviation values for fibrils present in gels ( $n \geq 46$ ) prepared from mixtures of 1:0 C, 1:1, and 1:5 collagen type I to elastin. One-way ANOVA and Dunnett's method for comparisons with control were performed. No significant differences ( $p < 0.05$ ) were observed between 1:0 C, 1:1, and 1:5 blend gels.

#### A.4.4 Addition of elastin alters storage modulus

The storage moduli of the collagen and elastin gels was measured and the results showed the addition of elastin to the collagen gels decreases the storage modulus (Figure A.5). All of the collagen and elastin gels showed a significantly different

Table A.3.: Average fibril diameter, standard deviation, and  $p$  values for fibrils present in gels prepared from mixtures of 1:0 C, 1:1, and 1:5 collagen type I to elastin.  $P$ -values were obtained by performing Dunnett's method for comparisons with control.

Gel Type	N	Avg Fibril Diameter (nm)	Standard Deviation	$p$ value
1:0 C	62	70.62	18.44	
1:1	46	72.32	15.04	0.8740
1:5	48	68.90	18.25	0.8035

storage modulus from the control (1:0 C) gels, except for the 2:1 collagen to elastin blend (Table A.4).

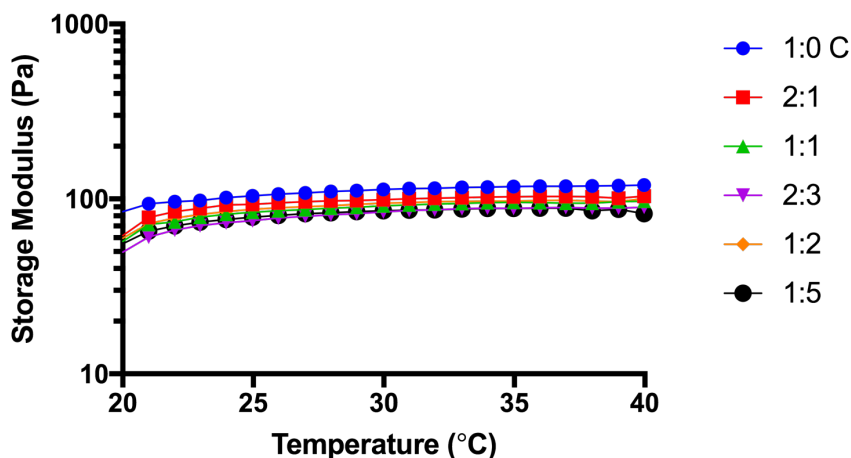


Fig. A.5.: Storage moduli of gels prepared from mixtures of 1:0 C, 2:1, 1:1, 2:3, 1:2, and 1:5 collagen type I to elastin. Data ( $n = 16-18$ ) are represented as the mean  $\pm$  the standard deviation.

## A.5 Discussion

In the work presented here, we characterized gels composed of collagen and elastin blends to better understand the effect of elastin addition to collagen constructs. First, the collagen fibril formation was studied to determine whether the elastin addition to collagen affects the rate of fibrillogenesis. The rate of fibrillogenesis was measured

Table A.4.: Average storage moduli and standard deviation values for gels prepared from mixtures of 1:0 C, 2:1, 1:1, 2:3, 1:2, and 1:5 collagen type I to elastin. ANOVA and Dunnett’s method for comparisons with control were performed. A significant difference ( $p < 0.05$ ) was observed between 1:0 C and the rest of the mixtures except for 2:1.

Gel Type	N	Avg Storage Modulus (Pa)	Standard Deviation	$p$ value
1:0 C	17	109.4	13.8	
2:1	17	99.2	13.7	0.0807
1:1	16	91.2	14.0	0.0039
2:3	16	86.4	9.5	0.0003
1:2	18	94.8	11.7	<0.0001
1:5	18	85.7	12.0	<0.0001

turbidimetrically [35,36]. Previous studies have shown that addition of molecules to collagen solutions can alter the collagen fibril rate of fibrillogenesis [35]. However, to our knowledge, this is the first study to determine whether elastin addition affects collagen fibril fibrillogenesis. Our findings demonstrated that addition of elastin to collagen gel solutions increases their rate of fibrillogenesis and their optical density at 313 nm (Figure A.1).

Next, we performed TEM imaging on a subset of the collagen and elastin gel blend solutions (1:0 C, 1:1, and 1:5) to obtain images of the fibrils present (Figure A.2). With these images, we were able to measure the D-banding pattern associated with collagen fibrils. The D-banding pattern is a repeating banding pattern of a D-periodicity distance of 64-67 nm that is characteristic of fibrillar collagens [5,37–41]. This pattern occurs when tropocollagen molecules are organized in a staggered formation that produces overlap and gap regions [37,38,41,42]. The overlap regions are observed as dark bands while the gap regions are observed as light bands [38,39,41]. Our results showed that 1:0 C and 1:1 collagen and elastin blends had average D-banding patterns of 68.15 nm and 68.25 nm, respectively (Table A.2). The 1:5 solutions, however, had a significantly smaller average D-banding pattern of 67.30

nm (Figure A.3 and Table A.2). This slight difference observed in D-banding pattern size in our control gels and previous studies could be attributed to the manipulation of the samples, which can cause the spacing in the fibrils to increase or decrease [39]. The use of control samples in experiments is, thus, encouraged to determine the effect of the variables being studied on the D-banding pattern. The cause for the difference in D-banding pattern between the 1:0 C and 1:5 solutions needs to be further elucidated.

Using the TEM images, we also analyzed the fibril diameter of the collagen fibrils present in the solutions. The diameter of collagen fibrils ranges from 10-500 nm and depends on several factors such as tissue location, age, and animal species [40]. Our results showed a fibril diameter range of 68-72 nm. The results also showed that elastin addition does not affect collagen fibril diameter (Figure A.4 and Table A.3). Even though the elastin addition affected the D-banding pattern, it did not affect the collagen fibril diameter. This observation is consistent with previous studies that have shown that fibril diameter is independent from D-banding pattern [43].

Finally, we studied the mechanical properties of the collagen and elastin blend hydrogels. We measured the storage moduli of the gels and determined that the addition of elastin to the collagen gels decreases their storage moduli. Similarly, Nguyen *et al* detected a decrease in strength and stiffness with the addition of elastin to collagen fibers [44]. However, Berglung *et al* and Bax *et al* saw increases in stiffness in collagen and elastin constructs when compared to collagen only constructs [4, 10]. These contrasting results could be caused by the isolation process used to obtain the elastin product as well as the scaffold fabrication process and the concentration of elastin used [4].

Many groups have focused their attention on studying collagen and elastin constructs and their uses in tissue engineering. However, to our knowledge, this work is the first to present the effect of elastin on collagen fibrillogenesis, D-banding pattern, and fibril diameter.

## A.6 Conclusions

In this study, we characterized gels composed of collagen and elastin blends to elucidate their unique properties. We demonstrated that the addition of varying amounts of elastin alters collagen fibrillogenesis and D-banding pattern. The addition of elastin in varying ratios does not seem to affect fibril diameter, but has shown to affect storage modulus. The work presented here serves as a deeper understanding of how varying amounts of elastin affect collagen constructs. This knowledge will prove useful when developing collagen and elastin constructs for tissue engineering in the future.

Future work will focus on performing second harmonic generation (SHG) to study the effect of elastin addition on the collagen network organization. Fluorescence lifetime imaging (FLIm) will also be performed to retrieve fluorescence spectral and lifetime (LT) properties from the collagen and elastin blend gels.

## A.7 Acknowledgments

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## B. CHARACTERIZATION AND INCORPORATION OF BONE MORPHOGENETIC PROTEIN-2 AND AGGRECAN MIMETIC MOLECULES IN COLLAGEN I AND II HYDROGELS

### B.1 Introduction

The bone morphogenetic protein-2 (BMP-2) is a growth factor that belongs to the transforming growth factor- $\beta$  (TGF- $\beta$ ) family. Previous studies with BMP-2 have shown that this growth factor is involved in cartilage formation [1]. BMP-2 is also involved in chondrocyte proliferation and differentiation and matrix maturation [2]. Additionally, BMP-2 is able to stimulate collagen type II and aggrecan expression in chondrocytes [3, 4]. BMP-2 has also been used in bone healing and osteogenesis [5]. Furthermore, BMP-2 has been used to differentiate human mesenchymal stem cells (hMSCs) into chondrocytes for cartilage repair [6].

Recently, a peptide (KIPKASSVPTELSAISTLYL) derived from residues 73-92 of the knuckle epitope of BMP-2 has been used to differentiate hMSCs into chondrocytes [6, 7]. This BMP-2 peptide, or BMP, has also shown to increase collagen and glycosaminoglycan (GAG) production as well as expression of anabolic factors in cartilage. It has also shown little increase in collagen type X, a hypertrophic marker, when compared to BMP-2 [6].

Tissue engineering efforts aim towards the development of cartilage repair strategies that mimic articular cartilage. With this in mind, this work takes into account the articular cartilage architecture, the protective role of aggrecan in cartilage degradation, and the importance of growth factors, specifically BMP-2, in cartilage production and homeostasis. Previously, our lab designed and synthesized an aggrecan mimic (CS-BMPH-GAH) composed of HA binding peptides attached to a CS backbone [8].

This mimetic molecule has shown to bind to extracellular matrix (ECM) components, resist proteolytic degradation, protect collagen type II, and lower catabolic protein and gene expression [8,9].

In this work, we proposed a new peptidoglycan (CS-BMP-GAH) composed of HA binding and BMP peptides attached to a CS backbone via a new 4-(4,6-dimethoxy-1,3,5-triazin-2-yl)-4-methyl-morpholinium chloride (DMTMM) chemistry that does not require the BMPH crosslinker. Collagen type I and II gels were synthesized with hyaluronic acid (HA) and CS, CS-GAH, or CS-BMP-GAH to evaluate the catabolic and anabolic effects of these mimetic molecules on chondrocytes embedded within these scaffolds in healthy or inflamed environments. We hypothesized the addition of CS-GAH would decrease the catabolic activities involved in cartilage degradation while the addition of CS-BMP-GAH would stimulate the anabolic activities involved in ECM synthesis. The ultimate goal of this work was to design a scaffold construct that was expected to overcome the limitations of current matrices and was likely to promote repair of articular cartilage. We hypothesized these molecules would resist proteolytic degradation, stimulate chondrocyte anabolic activities, and prove useful for cartilage repair.

## B.2 Materials and Methods

### B.2.1 Peptide Synthesis

The HA binding peptide was modified and biotinylated as described in Section 3.3.1. Similarly, the BMP peptide (KIPKASSVPTELSAISTLYL) synthesis with an additional GSG spacer and a hydrazide group at the C terminus (KIPKASSVPTELSAISTLYLGSG -NHNH<sub>2</sub>) was loosely based on the method described by Zheng *et al* [10].

## Resin Modification

The 2-Chlorotrityl chloride (2-ClTrt) resin was modified as described in Section 3.3.1.

## Automated Peptide Synthesis and Biotinylation

The HA binding peptide was synthesized and biotinylated as described in Section 3.3.1. For the BMP peptide, the amino acid coupling step was run at 37 °C for 45 min. Afterwards, the resin was washed with DMF and deprotected with piperidine. The next six amino acids were coupled similarly. However, the last 15 amino acids were double coupled. The amino acid double coupling step was run at 37 °C for 45 min, the resin was washed, and another coupling step took place at 37 °C for 30 min. The subsequent amino acids were added until the sequence was completed. Finally, the resin was washed, deprotected, collected, and dried as described in Section 3.3.1.

## Peptide Cleavage and Purification

The peptides were cleaved and purified as described in Section 3.3.1.

### B.2.2 Biomimetic Molecule Synthesis

The CS-GAH and CS-BMP-GAH molecules were synthesized by following the steps described in Section 3.3.2. For the CS-BMP-GAH molecules, the CS backbone was functionalized with 1 mole of BMP peptide per 1 mole of CS. Once the BMP peptide had been conjugated to the CS backbone for 12 h and lyophilized, the steps were repeated with the CS-BMP molecule and GAH, the HA binding peptide. The CS-BMP molecule was functionalized further with an average of 10.5 moles of GAH per 1 mole of CS. These BMP and GAH peptide conjugated CS backbones were named CS-BMP-GAH. The CS-GAH and CS-BMP-GAH molecules were filtered, lyophilized, and stored at −80 °C for later use.

### B.2.3 Peptide Quantification

To quantify the BMP peptide concentration attached to the CS backbone, a fluoraldehyde (OPA) assay was performed. Briefly, 20  $\mu\text{L}$  of the CS-BMP molecule (without the GAH peptide) and 200  $\mu\text{L}$  of the fluoraldehyde reagent solution were combined into a 96-well plate and mixed. The fluorescence of the samples was measured at 360nm excitation and 455 nm emission. A BMP peptide standard curve was used to calculate the peptide concentration in the samples. The final concentration of the GAH peptides attached to the CS backbone was quantified as described in Section 3.3.3.

### B.2.4 Gel Preparation

Stock solutions of rat tail collagen type I (BD Biosciences, Franklin Lakes, NJ) and chicken sternal collagen type II (Millipore Sigma, Saint Louis, MO) were prepared in 20 mM acetic acid at a concentration of 5 mg/mL. The pH of the collagen solutions was raised to 7.4 with the addition of 10x phosphate buffered saline (PBS) and 1 M NaOH. The final concentrations of the collagen solutions were brought to 4 mg/mL with the addition of HA and the corresponding biomimetic molecule (e.g. CS-GAH or CS-BMP-GAH) in 1x PBS. The gels prepared consisted of a 3:1 collagen type I to collagen type II ratio with 0.2 mg/mL of added HA. HA ( $1.5 - 1.8 \times 10^6$  Da) (Millipore Sigma, Saint Louis, MO) was dissolved in 1x PBS to 10 mg/mL. The biomimetic molecule and CS stock solutions were dissolved in 1x PBS to a final concentration of 65 mg/mL. Gels were made with CS, CS-GAH, or CS-BMP-GAH at a final concentration of 47  $\mu\text{M}$  of added CS or mimetic molecule. Gels with a final volume of 100  $\mu\text{L}$  were allowed to polymerize in a 96-well plate for 30 min at 37 °C in a 5% CO<sub>2</sub> humidified incubator as previously described [11]. After polymerization, 150  $\mu\text{L}$  of 1x PBS were added to each gel. The 1x PBS supernatants were collected and replaced on days 7, 14, and 21. Gels were collected at days 7, 14, and 21. Gels

and supernatants were stored at  $-80^{\circ}\text{C}$  until further testing. Table A.1 describes the experimental groups used.

Table B.1.: Experimental design for the collagen type I and II gels with biomimetic molecules.

Samples	Collagen (mg/mL)		Molecules (mg/mL)			
	Type I	Type II	CS	BMP	CS-GAH	CS-BMP-GAH
3:1 Control	3	1	-	-	-	-
3:1 CS	3	1	1.88	-	-	-
1:0 CS-GAH	4	-	-	-	2.44	-
3:1 CS-GAH	3	1	-	-	2.44	-
3:1 CS-GAH, BMP	3	1	-	0.11	2.44	-
3:1 CS-BMP-GAH	3	1	-	-	-	2.62

### B.2.5 Molecule Retention

The gels and 1x PBS supernatants stored at  $-80^{\circ}\text{C}$  were used in a DMMB assay to quantify the amount of CS or biomimetic molecule retained in the gels and the amount released into the solutions. The DMMB assay was performed as described in Section 3.3.7. The frozen gels were lyophilized and digested with a papain digestion buffer, as described in Section 3.3.6, prior to performing the DMMB assays.

### B.2.6 Chondrocyte Isolation and Culture

Fetal bovine chondrocytes were isolated from bovine knees as described in Section 3.3.4.

### B.2.7 Chondrocyte-embedded Gel Preparation

Collagen type I, collagen type II, CS, and HA stock solutions and gels were prepared as indicated in Section 3.1.1. The biomimetic stock solutions (e.g. CS-GAH

or CS-BMP-GAH) were dissolved in 1x PBS to a final concentration of 65 mg/mL. Collagen type I and II stock solutions were used to prepare stock solutions of 1:0 and 3:1 collagen type I to collagen type II with biomimetic molecules (CS-GAH or CS-BMP-GAH) and HA. Chondrocytes were added to the stock solutions at a final concentration of  $5 \times 10^5$  cells/mL. Gels were allowed to polymerize 30 min and equilibrate for 3 days. Live/Dead assays were performed at days 3 and 21 to verify the viability of the cells within the gels. The experimental design for this study is shown in Table A.1.

### **B.2.8 IL-1 $\beta$ Stimulation of Gels**

IL-1 $\beta$  was used to simulate an osteoarthritic environment. The different chondrocyte embedded gels were incubated at 37°C with or without 20 ng/mL of IL-1 $\beta$  in chondrocyte growth medium. The culture medium was replaced every 2 days for 21 days with gel evaluation time periods at days 0, 7, 14, and 21. The gels were stored at -80°C until further testing.

### **B.2.9 GAG Retention and Molecule Release and Retention**

Percentage of molecule released and retained as well as amount of GAGs retained in the chondrocyte embedded gels were detected with DMMB assays as described in Section 3.3.7.

### **B.2.10 Collagen Retention**

Collagen retention in the gels was studied as described in Section 3.3.8.

### B.3 Results

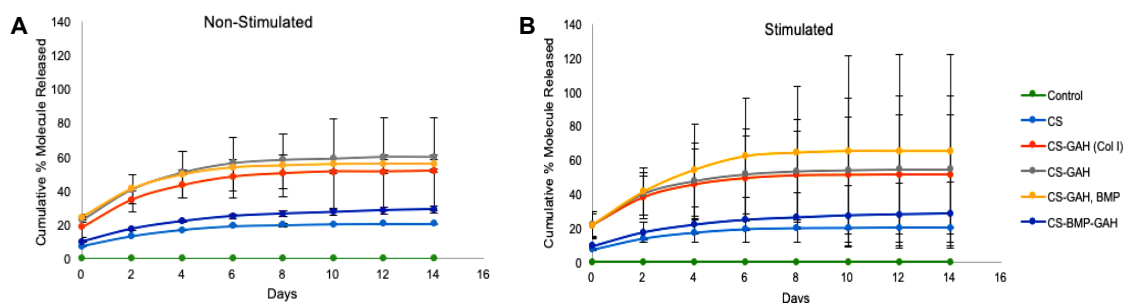


Figure B.1.: Percentage of molecules released from collagen gels into 1x PBS over a 14-day culture period. Percentage of molecule released is reported as cumulative measurements and was quantified with a DMMB assay. Gels were (A) non-stimulated or (B) stimulated with IL-1 $\beta$  to recreate healthy and OA environments, respectively, and analyze their effects on molecule release. Data is shown as the mean  $\pm$  standard deviation ( $n = 6-9$ ).

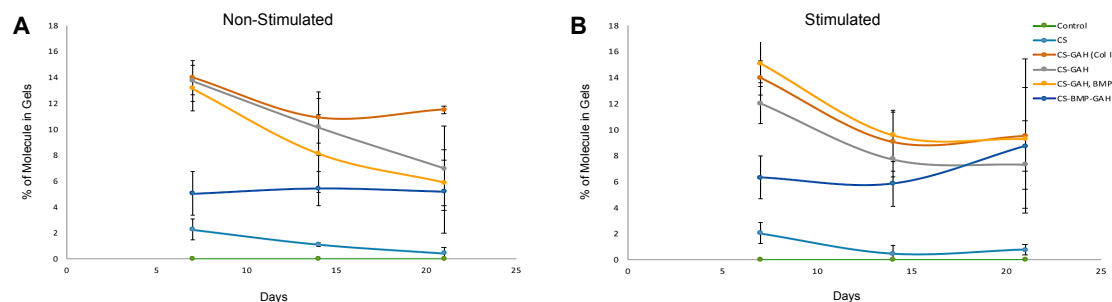


Figure B.2.: Percentage of molecules retained in the collagen gels over a 21-day culture period. Percentage of molecule retained is reported as day to day measurements and was quantified with a DMMB assay. Gels were (A) non-stimulated or (B) stimulated with IL-1 $\beta$ . Data is shown as the mean  $\pm$  standard deviation ( $n = 6-9$ ).



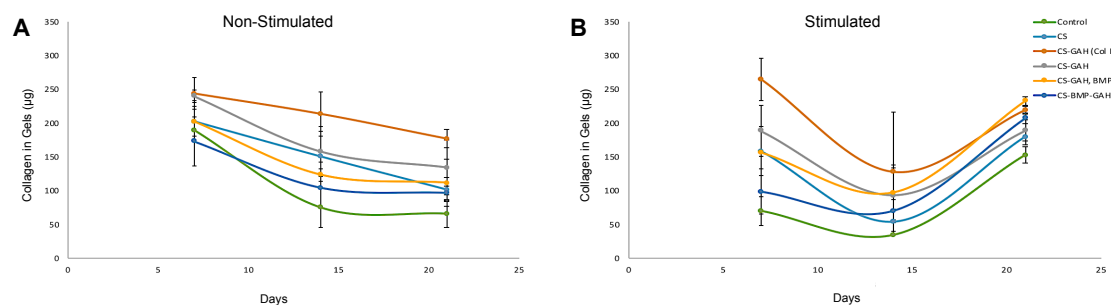


Figure B.3.: Amount of collagen retained in the gels over a 21-day culture period. Collagen retained in the gels is reported as day to day measurements and was quantified with a Sircol assay. Gels were (A) non-stimulated or (B) stimulated with IL-1 $\beta$ . Data is shown as the mean  $\pm$  standard deviation ( $n = 6-9$ ).

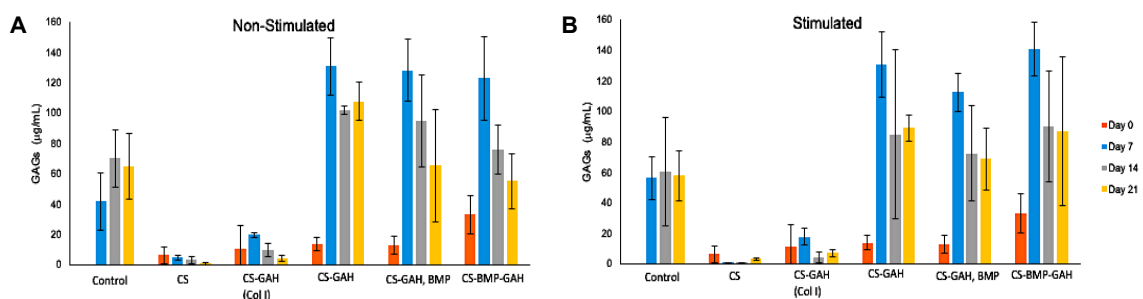


Figure B.4.: GAGs retained in the chondrocyte embedded collagen gels over a 21-day culture period. Data is reported as day to day measurements and was quantified with a DMMB assay. Gels were (A) non-stimulated or (B) stimulated with IL-1 $\beta$ . Data is shown as the mean  $\pm$  standard deviation ( $n = 6-9$ ).

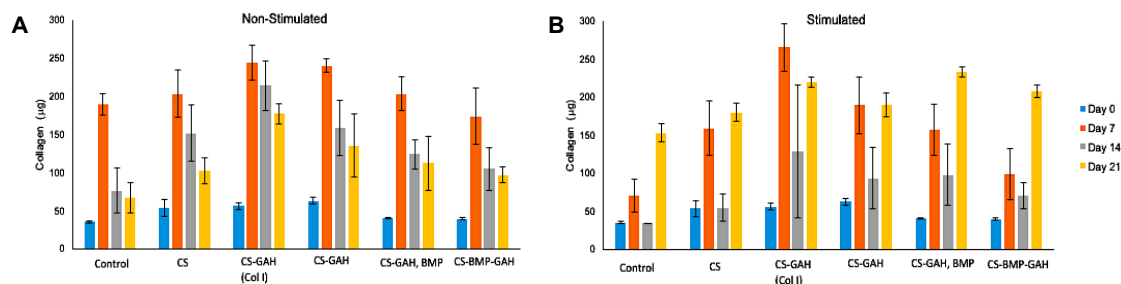


Figure B.5.: Collagen retained in the chondrocyte embedded gels over a 21-day culture period. Data is reported as day to day measurements and was quantified with a Sircol assay. Gels were (A) non-stimulated or (B) stimulated with IL-1 $\beta$ . Data is shown as the mean  $\pm$  standard deviation ( $n = 6-9$ ).

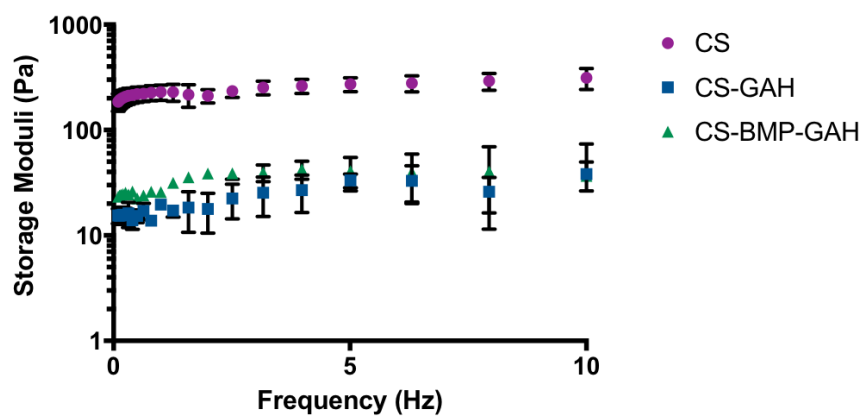


Figure B.6.: Frequency sweeps of storage moduli of collagen I and II hydrogels prepared with chondroitin sulfate (CS), CS-GAH and CS-BMP-GAH. Data ( $n = 2-3$ ) are represented as the mean  $\pm$  the standard deviation.

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VITA

## VITA

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## PUBLICATIONS AND PATENTS

### Peer-Reviewed Journal Articles

1. Vázquez-Portalatín, N., Kilmer, C., Panitch, A., and Liu, J. (2016) Characterization of collagen type I and II blended hydrogels for articular cartilage tissue engineering. *Biomacromolecules* 17(10): 31453152.
2. Sharma, S., Vázquez-Portalatín, N., Calve, S., and Panitch, A. (2015) Biomimetic molecules lower catabolic expression and prevent chondroitin sulfate degradation in an osteoarthritic ex vivo model. *ACS Biomater Sci Eng* 2(2): 241250.
3. Vázquez-Portalatín, N., Breur, G. J., Panitch, A., and Goergen, C. J. (2015) Accuracy of ultrasound-guided intra-articular injections in guinea pig knees. *Bone Joint Res* 4(1): 1-5.

### Patents

1. Panitch, A., Paderi, J.E., Sharma, S., Stuart, K.A., and Vázquez-Portalatín, N. Extracellular matrix-binding synthetic peptidoglycans U.S. Patent 9200039, issued December 1, 2015.

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1. Vázquez-Portalatín, N. Effects of matrix factors on chondrocytes embedded in collagen type I and II scaffolds for cartilage repair. Preliminary Exam Oral Presentation. West Lafayette, IN. 2016.
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